CHAPTER 9
ADDENDUM E

# REPORT DESCRIPTIONS AND EXAMPLES

| | |
|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ **BASIC** |
| **PRINTED REPORT TITLE:** | Duplicate Claim System Sets Grouped by Set Number |
| **REPORT DESCRIPTION:** | This report provides set-level information regarding all of the sets loaded in the Duplicate Claim System. The fields displayed on the report are: Institutional/Non-Institutional Indicator; Set Number; Status; Set Match Type; Multi-Contractor Set? (Y/N); Owner FI; Region; Initial Load Date; Current Load Date; Last Update Date; Adjustments? (Y/N); Total Amount Identified For Recoupment; Total Amount Actually Recouped; Total HCSR Adjustment Amount; and Set Level User Defined Code. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Set Level User Defined Codes. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002

# DUPLICATE CLAIM SYSTEM SETS
## GROUPED BY SET NUMBER



Status Codes = ALL
Adjust Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = 0
Region Code = ALL
Set Range = >=1,<=300
Set User Code = ALL

## Region

INSTITUTIONAL

| SET # | STATUS | MATCH TYPE | MULTI FI? | OWNER FI | REGION | INITIAL DATE | CURRENT DATE | LAST UPDATE | ADJ? | ID RECOUP | ACTUAL RECOUP | ADJUSTMENT AMOUNT | SET USER CODE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 46 | C | D | N | 06 | 95C0005 | 04/14/1997 | 04/15/1997 | 08/17/2000 | Y | $2,451.00 | $2,451.00 | $2,451.00 | |
| 65 | V | N | N | 06 | 95C0005 | 04/14/1997 | 04/14/1997 | 02/18/1998 | N | $1,002.00 | $0.00 | $0.00 | |
| 77 | P | O | N | 06 | 95C0005 | 04/14/1997 | 04/14/1997 | 11/06/1998 | N | $5,730.00 | $5,730.00 | $0.00 | |
| | | | | | | | INSTITUTIONAL TOTAL | | | $9,183.60 | $8,181.00 | $2,451.00 | |

NON-INSTITUTIONAL

| SET # | STATUS | MATCH TYPE | MULTI FI? | OWNER FI | REGION | INITIAL DATE | CURRENT DATE | LAST UPDATE | ADJ? | ID RECOUP | ACTUAL RECOUP | ADJUSTMENT AMOUNT | SET USER CODE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 146 | P | E | N | 06 | 95C0005 | 04/14/1997 | 04/14/1997 | 11/03/1997 | N | $585.12 | $585.12 | $0.00 | |
| 255 | P | E | N | 06 | 95C0005 | 04/14/1997 | 04/14/1997 | 06/02/1998 | N | $124.35 | $0.00 | $0.00 | |
| 266 | P | N | N | 06 | 95C0005 | 04/14/1997 | 04/14/1997 | 11/05/1997 | N | $620.70 | $620.70 | $0.00 | |
| 282 | V | E | N | 06 | 95C0005 | 04/14/1997 | 04/14/1997 | 12/03/1998 | N | $875.50 | $0.00 | $0.00 | |
| 283 | V | E | N | 06 | 95C0005 | 04/14/1997 | 04/14/1997 | 10/17/1997 | N | $297.60 | $0.00 | $0.00 | |
| 284 | V | E | N | 06 | 95C0005 | 04/14/1997 | 04/14/1997 | 09/30/1997 | N | $289.90 | $0.00 | $0.00 | |
| | | | | | | | | INSTITUTIONAL TOTAL | | $2,792.27 | $1,205.82 | $0.00 | |
| | | | | | | | | Region 6 TOTAL | | $11,975.87 | $9,386.82 | $2,451.00 | |
| | | | | | | | | 06 TOTAL | | $11,975.87 | $9,386.82 | $2,451.00 | |

| | |
|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ **BASIC BY USER CODE** |
| **PRINTED REPORT TITLE:** | Duplicate Claim System Sets Grouped by User Code |
| **REPORT DESCRIPTION:** | This report provides set-level information regarding all of the sets loaded in the Duplicate Claim System grouped by Set Level User Defined Codes. The fields displayed on the report are: Institutional/Non-Institutional Indicator; Set Number; Status; Set Match Type; Multi-Contractor Set? (Y/N); Owner FI; Region; Initial Load Date; Current Load Date; Last Update Date; Adjustments? (Y/N); Total Amount Identified For Recoupment; Total Amount Actually Recouped; Total HCSR Adjustment Amount; and Set Level User Defined Code. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Set Level User Defined Codes. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002

# DUPLICATE CLAIM SYSTEM SETS GROUPED BY USER CODE



Status Codes = ALL
Adjust Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = 06
Region Code = ALL
Set Range = >=1,<=300
Set User Code = ALL

## Region

Blank User Code

INSTITUTIONAL

| SET # | STATUS | MATCH TYPE | MULTI FI? | OWNER FI | REGION | INITIAL DATE | CURRENT DATE | LAST UPDATE | ADJ? | ID RECOUP | ACTUAL RECOUP | ADJUSTMENT AMOUNT | SET USER CODE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 46 | C | D | N | | 95C000 | 04/14/1997 | 04/15/1997 | 08/17/2000 | Y | $2,451.00 | $2,451.00 | $2,451.00 | |
| 65 | V | N | N | | 95C000 | 04/14/1997 | 04/14/1997 | 02/18/1998 | N | $1,002.00 | $0.00 | $0.00 | |
| 77 | P | O | N | | 95C000 | 04/14/1997 | 04/14/1997 | 11/06/1998 | N | $5,730.00 | $5,730.00 | $0.00 | |
| | | | | | | | | INSTITUTIONAL TOTAL | | $9,183.60 | $8,181.00 | $2,451.00 | |

NON-INSTITUTIONAL

| SET # | STATUS | MATCH TYPE | MULTI FI? | OWNER FI | REGION | INITIAL DATE | CURRENT DATE | LAST UPDATE | ADJ? | ID RECOUP | ACTUAL RECOUP | ADJUSTMENT AMOUNT | SET USER CODE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 146 | P | E | N | | 95C000 | 04/14/1997 | 04/14/1997 | 11/03/1997 | N | $585.12 | $585.12 | $0.00 | |
| 255 | P | E | N | | 95C000 | 04/14/1997 | 04/14/1997 | 06/02/1998 | N | $124.35 | $0.00 | $0.00 | |
| 266 | P | N | N | | 95C000 | 04/14/1997 | 04/14/1997 | 11/05/1997 | N | $620.70 | $620.70 | $0.00 | |
| 282 | V | E | N | | 95C000 | 04/14/1997 | 04/14/1997 | 12/03/1998 | N | $875.50 | $0.00 | $0.00 | |
| 283 | V | E | N | | 95C000 | 04/14/1997 | 04/14/1997 | 10/17/1997 | N | $297.60 | $0.00 | $0.00 | |
| 284 | V | E | N | | 95C000 | 04/14/1997 | 04/14/1997 | 09/30/1997 | N | $289.90 | $0.00 | $0.00 | |
| | | | | | | | | NON-INSTITUTIONAL TOTAL | | $2,792.27 | $1,205.82 | $0.00 | |
| | | | | | | | | Blank User Code TOTAL | | $11,975.87 | $9,386.82 | $2,451.00 | |
| | | | | | | | | Region 6 TOTAL | | $11,975.87 | $9,386.82 | $2,451.00 | |
| | | | | | | | | 06 TOTAL | | $11,975.87 | $9,386.82 | $2,451.00 | |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ **USER LOG REPORT** |
| **PRINTED REPORT TITLE:** | User Log Grouped By Set Number (Transaction History) |
| **REPORT DESCRIPTION:** | This report identifies the users who made changes to a set and the dates on which the changes occurred. The fields displayed on the report are: Set Number; Status; Owner FI; Region; Initial Load Date; Current Load Date; Transaction Date; User ID; Total Amount Identified For Recoupment; Total Amount Actually Recouped; and Total HCSR Adjustment Amount. The report will identify all of the sets meeting the criteria selected on the report parameter screen and list all of the changes made to those sets along with the associated User Ids. The system detects changes to: the status of a set; the Owner FI; the Region; and the three total dollar amount fields. Whenever a change to one or more of these fields occurs, a "log" record is created and will appear on this report along with the User ID associated with the change(s). The report will not show log entries generated as a result of: sets to which claims have been added during the monthly load process; or sets that have been archived out of the active database to history. Users may see entries with an "System" or "CLAIMADD" as the User ID. These two User IDs are used by the DCS for set management purposes. These User Ids may appear when the system makes a change to a set. The report groups the data by Set Number in ascending order. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus User IDs |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002

## USER LOG GROUPED BY SET NUMBER
### (TRANSACTION HISTORY)



Status Codes = ALL
Adjusted Sets Only = No
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = 0
Region Code = ALL
Set Range = >=1,<=1000
Set User Code = ALL

| Set Number | Stat | Owner FI | Region | Initial Load Date | Current Load Date | Transaction Date | User_Id | Total Amount Ident Recoup | Total Amount Actual Recoup | Total Allowed HCSR Adj |
|---|---|---|---|---|---|---|---|---|---|---|
| 129 | O | | | | 04/14/1997 | 04/14/1997 | CLAIMADD | $0.00 | $0.00 | $0.00 |
| 129 | O | | | | 04/14/1997 | 04/23/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 129 | P | | | | 04/23/1997 | 10/30/1997 | PGBLITT | $58.28 | $0.00 | $0.00 |
| 129 | O | | | | 04/23/1997 | 12/17/1999 | PGBSTET | $58.28 | $0.00 | $0.00 |
| 129 | P | | | | 04/23/1997 | 12/17/1999 | PGBSTET | $58.28 | $0.00 | $0.00 |
| 354 | O | | | | 04/14/1997 | 04/14/1997 | CLAIMADD | $0.00 | $0.00 | $0.00 |
| 354 | O | | | | 04/14/1997 | 04/14/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 354 | O | | | | 12/30/1997 | 12/30/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 354 | P | | | | 12/30/1997 | 02/23/1998 | PGBLITT | $110.00 | $0.00 | $0.00 |
| 354 | P | | | | 12/30/1997 | 05/13/1998 | PGBGAND | $110.00 | $110.00 | $0.00 |
| 355 | O | | | | 04/14/1997 | 04/14/1997 | CLAIMADD | $0.00 | $0.00 | $0.00 |
| 355 | O | | | | 04/14/1997 | 04/14/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 355 | O | | | | 12/30/1997 | 12/30/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 355 | P | | | | 12/30/1997 | 02/23/1998 | PGBLITT | $220.00 | $0.00 | $0.00 |
| 355 | P | | | | 12/30/1997 | 05/13/1998 | PGBGAND | $220.00 | $220.00 | $0.00 |
| 356 | O | | | | 04/14/1997 | 04/14/1997 | CLAIMADD | $0.00 | $0.00 | $0.00 |
| 356 | O | | | | 04/14/1997 | 04/14/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 356 | O | | | | 12/30/1997 | 12/30/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 356 | P | | | | 12/30/1997 | 02/23/1998 | PGBLITT | $110.00 | $0.00 | $0.00 |
| 356 | P | | | | 12/30/1997 | 05/13/1998 | PGBGAND | $110.00 | $110.00 | $0.00 |
| 491 | O | | | | 04/14/1997 | 04/14/1997 | CLAIMADD | $0.00 | $0.00 | $0.00 |
| 491 | O | | | | 04/14/1997 | 04/14/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 491 | O | | | | 12/30/1997 | 12/30/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 491 | P | | | | 12/30/1997 | 02/23/1998 | PGBLITT | $40.29 | $0.00 | $0.00 |
| 521 | O | | | | 04/14/1997 | 04/14/1997 | CLAIMADD | $0.00 | $0.00 | $0.00 |
| 521 | O | | | | 04/14/1997 | 04/14/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 521 | O | | | | 12/30/1997 | 12/30/1997 | SYSTEM | $0.00 | $0.00 | $0.00 |
| 521 | P | | | | 12/30/1997 | 02/23/1998 | PGBLITT | $945.40 | $0.00 | $0.00 |
| 521 | P | | | | 12/30/1997 | 04/02/1998 | PGBLITT | $876.55 | $0.00 | $0.00 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

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|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ EXPLANATION REPORT ⇒ **NOTEPAD** |
| **PRINTED REPORT TITLE:** | Notepad |
| **REPORT DESCRIPTION:** | This report provides a listing of the notepad entries made on selected sets. The fields displayed on this report are: Set Number; Status; Match Type; Owner FI; Region; Initial Load Date; Current Load Date; and Notepad Entries. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type. Set Range, FI, Region). |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002 **NOTEPAD** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Duplicate Type = ALL
FI Code = ALL
Region Code = ALL
Set Number Range = >=1,<=500

## Region

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 199 | C | O | 04/14/1997 | 04/14/1997 |

""

## Region

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 46 | C | D | 04/14/1997 | 04/15/1997 |

"Unable to close, unsure why. Adjustment amount matches recoup amount, though system states it doesn't."

## Region

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 344 | P | N | | 09/07/1998 |

"Residual OOJ claim."

## Region

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 157 | c | o | 04/14/1997 | 04/14/1997 |

"4-7-00 See new claims/INFO entry from John Doe regarding this set. Anesthesia charges were incorrectly processed as surgery. SS"

# 99 - Inactive Contractor

## Inactive -

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 20 | O | N | 04/14/1997 | 04/14/1997 |

"testing notepad report"

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ EXPLANATION REPORT ⇒ **VALIDATE** |
| **PRINTED REPORT TITLE:** | Validate Status Explanations |
| **REPORT DESCRIPTION:** | This report provides a listing of the explanations entered when sets are resolved to a VALIDATE status. The Duplicate Claims System requires that an explanation be entered when a set is resolved to a VALIDATE status. One of the required Validate explanations describes why the amount actually recouped and the allowed amount of the HCSR adjustments submitted do not equal the amount identified for recoupment. The other required Validate explanation describes why all of the line-items of a non-institutional actual duplicate claim have not been adjusted. The fields displayed on this report are: Set Number; Status; Match Type; Owner FI; Region; Initial Load Date; Current Load Date; and Validate Explanations. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Set Status (Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus the Solicited Indicator. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002 **VALIDATE STATUS EXPLANATIONS** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Duplicate Type = ALL
FI Code = ALL
Region Code = ALL
Set Number Range = >=1,<=1000

## Region

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 200 | V | O | 04/14/1997 | 04/14/1997 |

""

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 544 | V | N | 04/14/1997 | 05/05/1998 |

"clm is under $////
under 30"

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 817 | V | O | 04/14/1997 | 04/14/1997 |

"30.00"

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 873 | V | E | 04/14/1997 | 04/14/1997 |

"under 30"

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 914 | V | C | 04/14/1997 | 04/14/1997 |

"under 300"

## Region

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 65 | V | N | 04/14/1997 | 04/14/1997 |

$102 received, the remainder transferred over to OCHAMPUS 09/26/94. John Doe 02/18/98

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 282 | V | E | 04/14/1997 | 04/14/1997 |

case transferred to och 7-11-97

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ EXPLANATION REPORT ⇒ **MODIFY** |
| **PRINTED REPORT TITLE:** | Modify FI Explanations |
| **REPORT DESCRIPTION:** | This report provides a listing of the explanations entered when the Owner FI is changed on multi-contractor sets. The Duplicate Claims System requires that an explanation be entered when ownership of a multi-contractor set is changed from one contractor to another. The explanation entered should indicate who changed set ownership, who the change was discussed with at the receiving contractor, the date the discussions and the change took place, and why ownership was changed. The fields displayed on the report are: Set Number; Status; Match Type; Owner FI; Region; Initial Load Date; Current Load Date; and the Modify FI Explanations. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Owner Type (Claim Set Status; Adjustments, Claim Type, Match Type, Date Type, Set Range, FI, Region). |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002 **MODIFY FI EXPLANATIONS** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = Multi FI
Claim Type = ALL
Duplicate Type = ALL
FI Code = ALL
Region Code = ALL
Set Number Range = >=1,<=5000

## Region

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 544 | V | N | 04/14/1997 | 05/05/1998 |

Services were rendered in NY. Bene lives NY. Per phone conversation with Jane Doe. ok to transfer set.
John Smith 5/5/98.
"clm is under $30////
under 30"

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 2204 | V | N | 04/14/1997 | 03/01/1999 |

"Per conversation with Jane Doe 04/14/98. Claim copy sent. Bill Brown////
03/01/99-Doe per info. provider belong to region 3/4 at time of service..recoupment should be from your ent."////
Patient zip of 71459 was in the New Orleans Coordinated Care Standard CHAMPUS Program (See enrolled indicator of "Q") administered by XXXX. This should not have been processed as FI. Patient zip codes are not consistent, but a great deal of claims history exists for this patient for these D.O.S. in R6. Bill Brown 03/01/99
"we have researched all avenues for claim cannot locate cart are frame for claim per Joe Johnson."

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 3059 | P | N | 04/14/1997 | 02/12/2001 |

"At the time of service the patient lived in Region 2/5. Per John Doe the zip code belong to region 2/5."

| Set Number | Status | Match Type | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 1757 | P | N | 04/14/1997 | 10/01/1998 |

10098-bene resided in standard region & services rendered in tricare region at time of services.

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| | |
|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ **REGION UNASSIGNED** |
| **PRINTED REPORT TITLE:** | Multi-Contractor Sets<br>Region Missing |
| **REPORT DESCRIPTION:** | This report provides a listing of the multi-contractor sets in the Duplicate Claims System for which a region has not been assigned. All sets are assigned a region when they are loaded into the system and when mass changes occur. When ownership of a multi-contractor set is changed from one contractor to another, the receiving contract7or must assign the applicable region to the set. If the receiving contractor does not assign a region, the set cannot be associated with a particular contract. This report will provide receiving contractors with a listing of the sets which have not had regions assigned. The fields displayed on the report are: Set Number; Status; Initial Load Date; Current Load Date; and Owner FI. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Owner Type and Set Range (Claim Set Status; Adjustments, Claim Type, Match Type, Date Type, FI, Region). |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002

## MULTI-CONTRACTOR SETS
## REGION MISSING

1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL

| Set Number | Owner FI | Status | Initial Load Date | Current Load Date |
|---|---|---|---|---|
| 62256 | | P | 04/18/1997 | 09/08/1999 |
| 74650 | | P | 04/21/1997 | 10/22/1999 |
| 74651 | | P | 04/21/1997 | 10/22/1999 |
| 83131 | | P | 04/23/1997 | 08/09/1999 |
| 83649 | | V | 04/23/1997 | 12/02/1999 |
| 84229 | | O | 04/23/1997 | 02/27/2002 |
| 85884 | | P | 04/23/1997 | 12/02/1999 |
| 92223 | | P | 06/30/1997 | 08/05/1999 |
| 96477 | | P | 07/30/1997 | 12/02/1999 |
| 97663 | | P | 08/22/1997 | 12/02/1999 |
| 97798 | | P | 08/22/1997 | 08/09/1999 |
| 97992 | | P | 08/22/1997 | 12/02/1999 |
| 98117 | | P | 08/22/1997 | 12/02/1999 |
| 98493 | | C | 08/22/1997 | 12/02/1999 |
| 98534 | | V | 08/22/1997 | 08/31/1999 |
| 98677 | | P | 08/22/1997 | 12/02/1999 |
| 99090 | | P | 08/22/1997 | 12/07/1999 |
| 99091 | | P | 08/22/1997 | 12/07/1999 |
| 99771 | | P | 08/22/1997 | 08/05/1999 |
| 99772 | | P | 08/22/1997 | 08/05/1999 |
| 100244 | | P | 09/08/1997 | 08/09/1999 |
| 100245 | | P | 09/08/1997 | 08/09/1999 |
| 100246 | | P | 09/08/1997 | 08/09/1999 |
| 100247 | | P | 09/08/1997 | 08/09/1999 |
| 100413 | | P | 09/08/1997 | 12/02/1999 |
| 101996 | | P | 09/08/1997 | 12/02/1999 |
| 102716 | | P | 10/02/1997 | 12/02/1999 |
| 103160 | | V | 10/02/1997 | 12/02/1999 |
| 103254 | | P | 10/02/1997 | 12/02/1999 |
| 103255 | | P | 10/02/1997 | 12/02/1999 |
| 103609 | | V | 10/02/1997 | 12/02/1999 |
| 105024 | | P | 11/05/1997 | 12/02/1999 |
| 105181 | | C | 11/05/1997 | 12/02/1999 |
| 105182 | | P | 11/05/1997 | 12/02/1999 |
| 105853 | | P | 11/05/1997 | 08/31/1999 |
| 106529 | | P | 11/05/1997 | 12/02/1999 |
| 107927 | | C | 12/17/1997 | 12/02/1999 |
| 109014 | | C | 12/17/1997 | 12/02/1999 |
| 109015 | | C | 12/17/1997 | 12/02/1999 |
| 109016 | | C | 12/17/1997 | 12/02/1999 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ **SET COUNTS BY REGION** |
| **PRINTED REPORT TITLE:** | Set Counts By Region |
| **REPORT DESCRIPTION:** | This report provides the numbers of sets of each match type by contract region. The report shows the number of sets of each match type, the percentage each match type represents of the total number of sets for the region, the number of sets for each match type which have associated adjustments, and the percentage of each match type which have been adjusted. This report will show the distribution of sets for a region across match types. It will also show the user how many sets in a given match type category have associated adjustments and the percentage of that match type category which have adjustments. This report can serve as a tool for contractors to help diagnose causes for duplicate payments and to help determine workload and needed resources. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Match Type and Set Range (Claim Set Status; Adjustments, Claim Type, Date Type, FI, Region) plus Set Level User Defined Codes. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002 **SET COUNTS BY REGION** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL
Set User Code = ALL

## Undetermined Region

| Match Type | # of Sets | % of Total | # of Adjusted Sets | % Adjusted |
|---|---|---|---|---|
| Exact | 4 | 26.7 % | 3 | 75 % |
| Near | 7 | 46.7 % | 1 | 14.3 % |
| Other | 4 | 26.7 % | 1 | 25 % |
| **Region Totals** | **15** | **100 %** | **5** | **33.3 %** |

| Match Type | # of Sets | % of Total | # of Adjusted Sets | % Adjusted |
|---|---|---|---|---|
| CPT-4 | 1,874 | 9.8 % | 733 | 39.1 % |
| Date Overlap | 212 | 1.1 % | 133 | 62.7 % |
| Exact | 5,859 | 30.7 % | 2,873 | 49 % |
| Near | 5,122 | 26.8 % | 2,414 | 47.1 % |
| Other | 6,033 | 31.6 % | 1,385 | 23 % |
| **Region Totals** | **19,115** | **100 %** | **7,543** | **39.5 %** |
| **FI Totals** | **19,115** | **100 %** | **7,543** | **39.5 %** |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Set Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SET REPORTS ⇒ **SET LEVEL USER CODES** |
| **PRINTED REPORT TITLE:** | Set Level User Defined Field Definitions |
| **REPORT DESCRIPTION:** | This report displays the Owner FI; the Set Level User Defined Codes; their definitions, and whether they are active or inactive. |
| **REPORT PARAMETER OPTIONS:** | Users may not customize this report. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/08/2002

## SET LEVEL USER DEFINED FIELD DEFINITIONS



| Owner FI | Contract # | Code | Description | Active ? |
|---|---|---|---|---|
| | 96C000 | CE | check, eob | Y |
| | 96C000 | CL | claim only | Y |
| | 96C000 | DC | check only | Y |
| | 96C000 | DE | eob only | Y |
| | 96C000 | DN | claim, check and eob | Y |

| Owner FI | Contract # | Code | Description | Active ? |
|---|---|---|---|---|
| | 95C000 | CH | No check was generated/System | Y |
| | 95C000 | ER | Emergency Room charges | Y |
| | 95C000 | RI | SHCP/TPR wrong risk indicator | Y |
| | 95C000 | TL | TFL Claim | Y |

| Owner FI | Contract # | Code | Description | Active ? |
|---|---|---|---|---|
| | 94C000 | CH | No check was generated/System | Y |
| | 94C000 | ER | Emergency Room charges | Y |
| | 94C000 | RI | SHCP/TPR wrong risk indicator | Y |
| | 94C000 | TL | TFL Claim | Y |

| Owner FI | Contract # | Code | Description | Active ? |
|---|---|---|---|---|
| | 96C | TL | TFL Claim | Y |

| Owner FI | Contract # | Code | Description | Active ? |
|---|---|---|---|---|
| | 95C000 | 01 | Pod 1 Errors | Y |
| | 95C000 | 02 | Pod 2 Errors | Y |
| | 95C000 | 03 | Pod 3 Errors | Y |
| | 95C000 | 04 | Pod 4 Errors | Y |
| | 95C000 | 05 | Pod 5 Errors | Y |
| | 95C000 | 06 | Pod 6 Errors | Y |
| | 95C000 | 07 | Pod 7 Errors | Y |
| | 95C000 | 09 | Pod 9 Errors | Y |
| | 95C000 | 10 | Pod 10 Errors | Y |
| | 95C000 | 11 | Pod 11 Errors | Y |
| | 95C000 | 12 | Pod 12 Errors | Y |
| | 95C000 | 13 | Pod 13 Errors | Y |
| | 95C000 | 14 | Pod 14 Errors | Y |
| | 95C000 | AD | Active Duty | Y |
| | 95C000 | HC | HCSR Error (?) | Y |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ **BASIC** |
| **PRINTED REPORT TITLE:** | Basic Duplicate Claim Report<br>Institutional and Non-Institutional<br>Claim and Line Item Level Data |
| **REPORT DESCRIPTION:** | This report lists all of the claims loaded in the system grouped by claim number. The report will show institutional and non-institutional claims. This report format will allow the user to select by Duplicate Flag values. The fields displayed on the report are: Owner FI; ICN; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Set Number; Duplicate Flag Value; Reason Code; Processed-To-Completion Date; Responsible FI Number; Sponsor Social Security Number; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; Amount Identified For Recoupment; Amount Actually Recouped. For non-institutional claims, line item data will also be displayed. The line item fields displayed include: Line Item Number; Line Item Match Type; Procedure Code; Place of Service; Type of Service; Care Begin Date; Care End Date; Line Item Amount Billed for the Procedure; and Amount Allowed for the Procedure. The report identifies and prints all of the claims occurring in sets meeting the criteria selected on the report parameter screen. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator; Solicited Indicator; Exclude Base; PTC Date; Set Level User Defined Codes; Claim Level User Defined Codes; Responsible FI and Region. |
| **REPORT NOTES:** | The data used by this report format is claim level and line item level data. If a non-institutional claim exists in more than one set, it will print for each set in which it exists. Each instance of these non-institutional claims existing in multiple sets will contain a different set number on the report. |

03/09/2002

# BASIC DUPLICATE CLAIM SYSTEM REPORT
## INSTITUTIONAL AND NON-INSTITUTIONAL
## CLAIM & LINE ITEM LEVEL DATA



Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Set Range = >=1,<=100
Set User Code = ALL
Exclude Base = No
Solicited = ALL
Claim User Code = ALL

| ICN | SFX | USR CD | S SET# ? | DUP FLG | RSN CODE | PTC DATE | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | AMT ID RECOUP | AMT ACTUAL RECOUP |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19941121298252 | A | | 94 | N | N900 | 10/13/1994 | | | | 02 | | 0001 | $1,045.27 | $510.00 | $0.00 | $0.00 |
| 19942291298092 | A | | 17 | N | BASE | 08/18/1994 | | | | 01 | | 0000 | $554.00 | $320.00 | $0.00 | $0.00 |
| 19942311298375 | A | | 17 | N | N105 | 10/03/1994 | | | | 01 | | 0000 | $8,038.00 | $4,480.00 | $0.00 | $0.00 |
| 19942341216190 | A | | 94 | N | BASE | 09/01/1994 | | | | 02 | | 0001 | $14,482.23 | $11,280.00 | $0.00 | $0.00 |
| 19942434706972 | A | N | 58 | Y | D100 | 10/20/1994 | | | | 01 | | 0000 | $40,677.28 | $8,404.00 | $8,404.00 | $0.00 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ **BASIC BY SET** |
| **PRINTED REPORT TITLE:** | Basic Duplicate Claim Report By Set<br>Institutional and Non-Institutional<br>Claim and Line Item Level Data |
| **REPORT DESCRIPTION:** | This report lists all of the claims loaded in the system grouped by set number. The report will show institutional and non-institutional claims. This report format will allow the user to select by Duplicate Flag values. The fields displayed on the report are: Owner FI; ICN; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Set Number; Duplicate Flag Value; Reason Code; Processed-To-Completion Date; Responsible FI Number; Sponsor Social Security Number; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; Amount Identified For Recoupment; Amount Actually Recouped. For non-institutional claims, line item data will also be displayed. The line item fields displayed include: Line Item Number; Line Item Match Type; Procedure Code; Place of Service; Type of Service; Care Begin Date; Care End Date; Line Item Amount Billed for the Procedure; and Amount Allowed for the Procedure. The report identifies and prints all of the claims occurring in sets meeting the criteria selected on the report parameter screen. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator; Solicited Indicator; Exclude Base; PTC Date; Set Level User Defined Codes; Claim Level User Defined Codes; Responsible FI and Region. |
| **REPORT NOTES:** | The data used by this report format is claim level and line item level data. If a non-institutional claim exists in more than one set, it will print for each set in which it exists. Each instance of these non-institutional claims existing in multiple sets will contain a different set number on the report. |

03/09/2002

Page 1

# BASIC DUPLICATE CLAIM SYSTEM REPORT BY SET
## INSTITUTIONAL AND NON-INSTITUTIONAL
## CLAIM & LINE ITEM LEVEL DATA

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Set Range = >=1,<=100
Set User Code = ALL
Exclude Base = No
Solicited = ALL
Claim User Code = ALL

| SET# | ICN | SFX | USR CD | S ? | DUP FLG | RSN CODE | PTC DATE | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | AMT ID RECOUP | AMT ACTUAL RECOUP |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 17 | 19942291298092 | A | | | N | BASE | 08/18/1994 | | | | 01 | | 0000 | $554.00 | $320.00 | $0.00 | $0.00 |
| 17 | 19942311298375 | A | | | N | N105 | 10/03/1994 | | | | 01 | | 0000 | $8,038.00 | $4,480.00 | $0.00 | $0.00 |
| 58 | 19942434706972 | A | N | | Y | D100 | 10/20/1994 | | | | 01 | | 0000 | $40,677.28 | $8,404.00 | $8,404.00 | $0.00 |
| 58 | 19942454797399 | A | | | N | BASE | 09/12/1994 | | | | 01 | | 0000 | $4,433.54 | $3,438.00 | $0.00 | $0.00 |
| 94 | 19941121298252 | A | | | N | N900 | 10/13/1994 | | | | 02 | | 0001 | $1,045.27 | $510.00 | $0.00 | $0.00 |

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| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ **INSTITUTIONAL** |
| **PRINTED REPORT TITLE:** | Institutional Claims |
| **REPORT DESCRIPTION:** | This report lists institutional claims grouped by current set status. This report lists institutional claims within their respective sets. The fields displayed on the report are: Owner FI; Institutional Indicator; Status Code; Set Number; ICN; HCSR Suffix (S); Claim Level User Defined Code; Solicited Indicator; Dupe Flag Indicator; Processed to Completion Date; Responsible FI Number; Sponsor Social Security Number; Patient Name; DEERS Dependent Suffix; Date of Birth; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; and Net Government Paid Amount. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Type (Claim Set Status, Adjustments, Set Owner Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator; Solicited Indicator; Exclude Base; PTC Date; Care Dates; Set Level User Defined Codes; Claim Level User Defined Codes; Responsible FI; and Region. |
| **REPORT NOTES:** | The data used by this report format is claim level data. The billed, allowed and net Government paid amounts are claim level dollar amounts. |

03/09/2002 **INSTITUTIONAL CLAIMS** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Set Range = >=1,<=100
Set User Code = ALL
Exclude Base = No
Solicited = ALL
Claim User Code = ALL

INSTITUTIONAL
Status Code : C

| SET # | ICN | SFX | USR CD | S ? | DUP FLG | PTC DATE | RS FI | SPON SSAN | PATIENT NAME | DDS | DOB | PROVIDER TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | NET GOVT PAID AMT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 17 | 19942291298092 | A | | | N | 08/18/94 | | | | 01 | 02/06/1977 | | 0000 | $554.00 | $320.00 | $272.00 |
| 17 | 19942311298375 | A | | | N | 10/03/94 | | | | 01 | 02/06/1977 | | 0000 | $8,038.00 | $4,480.00 | $3,808.00 |
| 94 | 19941121298252 | A | | | N | 10/03/94 | | | | 02 | 05/31/1977 | | 0001 | $1,045.27 | $510.00 | $510.00 |
| 94 | 19942341216190 | A | | | N | 09/01/94 | | | | 02 | 05/31/1977 | | 0001 | $14,482.23 | $11,280.00 | $6,953.86 |
| | | | | | | | | | | | | | | $24,199.50 | | $11,543.86 |
| | | | | | | | | | | | | Status Totals | | | $16,590.00 | |

Status Code : P

| SET # | ICN | SFX | USR CD | S ? | DUP FLG | PTC DATE | RS FI | SPON SSAN | PATIENT NAME | DDS | DOB | PROVIDER TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | NET GOVT PAID AMT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 58 | 19942434706972 | A | | N | Y | 10/20/94 | | | | 01 | 01/28/1979 | | 0000 | $40,677.28 | $8,404.00 | $8,404.00 |
| 58 | 19942454797399 | A | | | N | 09/12/94 | | | | 01 | 01/28/1979 | | 0000 | $4,433.54 | $3,438.00 | $3,438.00 |
| | | | | | | | | | | | | | | $45,110.82 | | $11,842.00 |
| | | | | | | | | | | | | Status Totals | | | $11,842.00 | |
| | | | | | | | | | | | | Contractor Totals | | $69,230.32 | | $23,385.86 |
| | | | | | | | | | | | | | | | $28,432.00 | |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ NON-INSTITUTIONAL ⇒ **BY CLAIM** |
| **PRINTED REPORT TITLE:** | Non-Institutional Claims |
| **REPORT DESCRIPTION:** | This report lists non-institutional claims grouped by current set status. This report lists non- institutional claims within their respective sets. The fields displayed on the report are: Owner FI; Region; Set Status Code; ICN; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Set Number; Dupe Flag Indicator; Processed to Completion Date; Responsible FI; Sponsor Social Security Number; Patient Name; DEERS Dependent Suffix; Date of Birth; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; and Net Government Paid Amount. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Type (Claim Set Status, Adjustments, Set Owner Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator, Solicited Indicator, Exclude Base, Processed To Completion date, Care dates, Set Level User Defined Codes, Claim Level User Defined Codes, Responsible FI, and Region. |
| **REPORT NOTES:** | The data used by this report format is claim level data. The billed, allowed and net Government paid amounts are claim level not line-item level dollar amounts. |

03/09/2002 **NON-INSTITUTIONAL CLAIMS** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = NonInstitutional
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Exclude Base = No
Solicited = ALL
Set Range = >=500,<=600
Set User Code = ALL
Claim User Code = ALL

**Region**

Status Code : C

| ICN | SFX | USR CD | S ? | SET # | DUP FLG | PTC DATE | RS FI | SPON SSAN | PATIENT NAME | DDS | DOB | PROVIDER TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | NET GOVT PAID AMT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19942491246269 | A | | | 549 | N | 10/18/94 | | | | 01 | 09/12/83 | | A008 | $260.00 | $199.35 | $159.48 |
| 19942691244498 | A | | | 549 | N | 10/12/94 | | | | 01 | 09/12/83 | | A008 | $175.00 | $132.90 | $106.32 |
| 19942561211458 | A | | | 563 | N | 09/16/94 | | | | 30 | 08/13/72 | | 0000 | $42.00 | $42.00 | $33.60 |
| 19942691202556 | A | | | 563 | N | 10/04/94 | | | | 30 | 08/13/72 | | 0000 | $42.00 | $42.00 | $33.60 |
| 19923562212301 | A | | | 576 | N | 11/10/93 | | | | 02 | 01/27/83 | | H286 | $180.00 | $54.40 | $40.80 |
| 19942762276579 | A | | | 576 | N | 10/24/94 | | | | 02 | 12/27/83 | | H286 | $270.00 | $134.10 | $100.57 |
| 19942161211118 | A | | | 583 | N | 09/02/94 | | | | 30 | 08/08/1933 | | A002 | $1,434.00 | $1,265.79 | $59.91 |
| 19942301211640 | A | | | 583 | N | 09/22/94 | | | | 30 | 08/08/1933 | | A002 | $1,225.00 | $1,103.19 | $47.42 |
| 19942621211159 | A | | | 583 | N | 10/10/94 | | | | 30 | 08/08/1933 | | A002 | $1,146.00 | $1,108.20 | $377.25 |
| 19941611203636 | A | | | 584 | N | 06/20/94 | | | | 30 | 02/23/1939 | | A009 | $110.00 | $70.72 | $70.72 |
| 19942901200432 | A | | | 584 | N | 10/20/94 | | | | 30 | 02/23/1939 | | A009 | $465.00 | $189.92 | $189.92 |
| | | | | | | | | | | | | | | $5,349.00 | | $1,219.59 |
| | | | | | | | | | | | | Status Totals | | | $4,342.57 | |

Status Code : P

| ICN | SFX | USR CD | S ? | SET # | DUP FLG | PTC DATE | RS FI | SPON SSAN | PATIENT NAME | DDS | DOB | PROVIDER TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | NET GOVT PAID AMT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19942691240550 | A | | | 519 | N | 09/29/94 | | | | 20 | 06/01/1930 | | A001 | $230.00 | $111.70 | $83.77 |
| 19942691244412 | A | | Y | 519 | N | 10/08/94 | | | | 20 | 06/01/1930 | | A001 | $260.00 | $132.24 | $99.18 |
| | | | | | | | | | | | | | | $490.00 | | $182.95 |
| | | | | | | | | | | | | Status Totals | | | $243.94 | |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

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|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ NON-INSTITUTIONAL ⇒ **BY LINE ITEM** |
| **PRINTED REPORT TITLE:** | Non-Institutional Claims By Line Item |
| **REPORT DESCRIPTION:** | This report lists non-institutional claims grouped by current set status. This report displays line-item data. The fields displayed on the report are: Owner FI; Region; Set Status Code; ICN; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Set Number; Responsible FI; Sponsor Social Security Number; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Line Item Number; CPT-4 Code; Care Begin Date; Care End Date; and Amount Allowed CPT-4 Code. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Type (Claim Set Status, Adjustments, Set Owner Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator, Solicited Indicator, Exclude Base, PTC Date; Care Dates; Set Level User Defined Codes; Claim Level User Defined Codes; Responsible FI; and Region. |
| **REPORT NOTES:** | The data used by this report format is line item level data. The allowed amounts are line item level dollar amounts. |

03/09/2002 **NON-INSTITUTIONAL CLAIMS BY LINE ITEM** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Exclude Base = No
Solicited = ALL
Set Range = >=500,<=600
Set User Code = ALL
Claim User Code = ALL

**Region**
Status Code : C

| ICN | SFX | USR CD | S ? | SET # | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | LI | CPT-4 CODE[1] | CARE BEGIN | CARE END | AMT ALLOWED CPT-4 CODE |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19942491246269 | A | | | 549 | | | | 01 | | A008 | 1 | 90830 | 08/24/94 | 08/24/94 | $199.35 |
| 19942691244498 | A | | | 549 | | | | 01 | | A008 | 1 | 90830 | 08/24/94 | 08/24/94 | $132.90 |
| 19942561211458 | A | | | 563 | | | | 30 | | 0000 | 1 | 06902 | 04/15/94 | 04/15/94 | $42.00 |
| 19942691202556 | A | | | 563 | | | | 30 | | 0000 | 1 | 06902 | 04/15/94 | 04/15/94 | $42.00 |
| 19923562212301 | A | | | 576 | | | | 02 | | H286 | 1 | 88304 | 11/18/92 | 11/18/92 | $27.20 |
| 19923562212301 | A | | | 576 | | | | 02 | | H286 | 2 | 88304 | 11/18/92 | 11/18/92 | $27.20 |
| 19942762276579 | A | | | 576 | | | | 02 | | H286 | 1 | 88304 | 11/18/92 | 11/18/92 | $44.70 |
| 19942762276579 | A | | | 576 | | | | 02 | | H286 | 2 | 88304 | 11/18/92 | 11/18/92 | $44.70 |
| 19942762276579 | A | | | 576 | | | | 02 | | H286 | 3 | 88304 | 11/18/92 | 11/18/92 | $44.70 |
| 19942161211118 | A | | | 583 | | | | 30 | | A002 | 8 | 96545 | 07/01/94 | 07/01/94 | $758.00 |
| 19942301211640 | A | | | 583 | | | | 30 | | A002 | 6 | 96545 | 07/01/94 | 07/20/94 | $670.00 |
| 19942621211159 | A | | | 583 | | | | 30 | | A002 | 2 | 96545 | 07/01/94 | 07/01/94 | $376.00 |
| 19941611203636 | A | | | 584 | | | | 30 | | A009 | 1 | 99233 | 08/01/93 | 08/01/93 | $70.72 |
| 19942901200432 | A | | | 584 | | | | 30 | | A009 | 2 | 99233 | 08/01/93 | 08/01/93 | $70.72 |
| | | | | | | | | | | | | | Status Totals | | $2,550.19 |

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| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ RISK ⇒ **RISK BASIC** |
| **PRINTED REPORT TITLE:** | Risk Report By ICN |
| **REPORT DESCRIPTION:** | This report provides a listing of claims based on the Risk Indicator values selected by the user. The Risk Indicator identifies the claim as either Financially Underwritten or Non-Financially Underwritten. The claims are grouped by claim number. The report can show both institutional and non- institutional claims. The fields displayed on the report are: Owner FI; Region; ICN; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Set Number; Duplicate Flag Value; Risk Indicator; Responsible FI; Sponsor Social Security Account Number; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; Government Paid Amount; Amount Identified For Recoupment; Amount Actually Recouped; Adjustment Amount. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator, Solicited Indicator, Exclude Base, PTC Dates, Care Dates, Set Level User Defined Codes, Claim Level User Defined Codes, Responsible FI, Region, and Risk Indicator. |
| **REPORT NOTES:** | The data used by this report format is claim level data. For non-institutional claims, the billed, allowed and net Government paid amounts are claim level not line-item level dollar amounts. |

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# RISK REPORT BY ICN



Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Exclude Base = No
Solicited = ALL
Set Range = >=1,<=100
Set User Code = ALL
Claim User Code = ALL

| ICN | SFX | USR CD | S ? | SET# | DUP FLG | RISK IND | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | GOV PAID | ID RECOUP | ACTUAL RECOUP | ADJ AMOUNT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Region** | | | | | | | | | | | | | | | | | | |
| 19941121298252 | A | | | 94 | N | N | | | | 02 | | 0001 | $1,045.27 | $510.00 | $510.00 | $0.00 | $0.00 | $0.00 |
| 19942291298092 | A | | | 17 | N | N | | | | 01 | | 0000 | $554.00 | $320.00 | $272.00 | $0.00 | $0.00 | $0.00 |
| 19942311298375 | A | | | 17 | N | N | | | | 01 | | 0000 | $8,038.00 | $4,480.00 | $3,808.00 | $0.00 | $0.00 | $0.00 |
| 19942341216190 | A | | | 94 | N | N | | | | 02 | | 0001 | $14,482.23 | $11,280.00 | $6,953.86 | $0.00 | $0.00 | $0.00 |
| 19942434706972 | A | | N | 58 | Y | N | | | | 01 | | 0000 | $40,677.28 | $8,404.00 | $8,404.00 | $8,404.00 | $0.00 | $0.00 |
| 19942454797399 | A | | | 58 | N | N | | | | 01 | | 0000 | $4,33.54 | $3,438.00 | $3,438.00 | $0.00 | $0.00 | $0.00 |
| | | | | | | | | | | Region Total | | | $69,230.32 | $28,432.00 | $23,385.86 | $8,404.00 | $0.00 | $0.00 |
| | | | | | | | | | Contractor Total | | | | $69,230.32 | $28,432.00 | $23,385.86 | $8,404.00 | $0.00 | $0.00 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ RISK ⇒ **RISK BY SET** |
| **PRINTED REPORT TITLE:** | Risk Report By Set Number |
| **REPORT DESCRIPTION:** | This report provides a listing of claims based on the Risk Indicator values selected by the user. The Risk Indicator identifies the claim as either Financially Underwritten or Non-Financially Underwritten. The claims are grouped by set number. The report can show both institutional and non- institutional claims. The fields displayed on the report are: Owner FI; Region; Set Number; ICN; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Duplicate Flag Value; Risk Indicator; Responsible FI; Sponsor Social Security Account Number; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; Government Paid Amount; Amount Identified For Recoupment; Amount Actually Recouped; Adjustment Amount. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator, Solicited Indicator, Exclude Base, PTC Dates, Care Dates, Set Level User Defined Codes, Claim Level User Defined Codes, Responsible FI, Region, and Risk Indicator. |
| **REPORT NOTES:** | The data used by this report format is claim level data. For non-institutional claims, the billed, allowed and net Government paid amounts are claim level not line-item level dollar amounts. |

03/09/2002

## RISK REPORT BY SET NUMBER



Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Exclude Base = No
Solicited = ALL
Set Range = >=1,<=100
Set User Code = ALL
Claim User Code = ALL

| SET# | ICN | SFX | USR CD | S ? | DUP FLG | RISK IND | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | GOV PAID | ID RECOUP | ACTUAL RECOUP | ADJ AMOUNT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Region** | | | | | | | | | | | | | | | | | | |
| 17 | 19942291298092 | A | | | N | N | | | | 01 | | 0000 | $554.00 | $320.00 | $272.00 | $0.00 | $0.00 | $0.00 |
| 17 | 19942311298375 | A | | | N | N | | | | 01 | | 0000 | $8,038.00 | $4,480.00 | $3,808.00 | $0.00 | $0.00 | $0.00 |
| 58 | 19942434706972 | A | | N | Y | N | | | | 01 | | 0000 | $40,677.28 | $8,404.00 | $8,404.00 | $8,404.00 | $0.00 | $0.00 |
| 58 | 19942454797399 | A | | | N | N | | | | 01 | | 0000 | $4,33.54 | $3,438.00 | $3,438.00 | $0.00 | $0.00 | $0.00 |
| 94 | 19941121298252 | A | | | N | N | | | | 02 | | 0001 | $1,045.27 | $510.00 | $510.00 | $0.00 | $0.00 | $0.00 |
| 94 | 19942341216190 | A | | | N | N | | | | 02 | | 0001 | $14,482.23 | $11,280.00 | $6,953.86 | $0.00 | $0.00 | $0.00 |
| | | | | | | | | | | Region Total | | | $69,230.32 | $28,432.00 | $23,385.86 | $8,404.00 | $0.00 | $0.00 |
| | | | | | | | | | Contractor Total | | | | $69,230.32 | $28,432.00 | $23,385.86 | $8,404.00 | $0.00 | $0.00 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ RISK ⇒ **RISK SUMMARY** |
| **PRINTED REPORT TITLE:** | Risk Summary Report |
| **REPORT DESCRIPTION:** | This report summarizes by Region the amounts billed, allowed and Government paid amounts, as well as the amounts identified for recoupment, amounts actually recouped, and adjustment amounts. The fields displayed on the report are: Region; Amount Billed; Amount Allowed; Government Paid Amount; Amount Identified for Recoupment; Amount Actually Recouped; and Adjustment Amount. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator, Solicited Indicator, Exclude Base, PTC Dates, Care Dates, Set Level User Defined Codes, Claim Level User Defined Codes, Responsible FI, Region, and Risk Indicator. |
| **REPORT NOTES:** | The data used by this report format is claim level data. For non-institutional claims, the billed, allowed and net Government paid amounts are claim level not line-item level dollar amounts. |

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## RISK SUMMARY REPORT

Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Exclude Base = No
Solicited = ALL
Set Range = >=1,<=100
Set User Code = ALL
Claim User Code = ALL

| | AMT BILLED | AMT ALLOWED | GOV PAID | ID RECOUP | ACTUAL RECOUP | ADJ AMOUNT |
|---|---|---|---|---|---|---|
| **Region** | $69,230.32 | $28,432.00 | $23,385.86 | $8,404.00 | $0.00 | $0.00 |
| **Contractor Total** | $69,230.32 | $28,432.00 | $23,385.86 | $8,404.00 | $0.00 | $0.00 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ PROVIDER ⇒ **CLAIM COUNTS** |
| **PRINTED REPORT TITLE:** | Provider Claim Count Report<br>Grouped By Provider Number and Sub-ID |
| **REPORT DESCRIPTION:** | This report provides a total count by Provider Tax ID and Provider Sub-ID of all claims associated with selected providers. The fields displayed are: Provider Tax ID; Provider Sub-ID; and Total Number of Claims. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Last (update) Date, Set Range (Claim Set Status; Adjustments, Set Owner Type; Claim Type, Match Type, Date Type, FI, Region) plus Dupe Flag Indicator, PTC Dates, Responsible FI, Region, and Provider Tax IDs. |
| **REPORT NOTES:** | The data used by this report format is claim level data. |

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## PROVIDER CLAIM COUNT REPORT
### GROUPED BY PROVIDER NUMBER AND SUB ID

Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = Institutional
Match Type = ALL
FI Code =
Region Code = ALL
Actual Dupes Flag = No

Tax ID : **# Claims**

Sub ID: **0001**

| | |
|---|---|
| Sub ID Totals | **2** |
| Tax ID Totals | **2** |

Tax ID : **# Claims**

Sub ID: **0000**

| | |
|---|---|
| Sub ID Totals | **2** |
| Tax ID Totals | **2** |

Tax ID : **# Claims**

Sub ID: **0000**

| | |
|---|---|
| Sub ID Totals | **2** |
| Tax ID Totals | **2** |

Tax ID : **# Claims**

Sub ID: **0000**

| | |
|---|---|
| Sub ID Totals | **2** |
| Tax ID Totals | **2** |

Tax ID : **# Claims**

Sub ID: **0001**

| | |
|---|---|
| Sub ID Totals | **3** |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ PROVIDER ⇒ **CLAIM DETAIL** |
| **PRINTED REPORT TITLE:** | Provider Claim Detail Report<br>Grouped By Provider Number And Sub-ID |
| **REPORT DESCRIPTION:** | This report provides a listing of claims grouped by Provider Tax ID and Sub-ID, associated with selected providers. The fields displayed are: Provider Tax ID; Provider Sub-ID; ICN; HCSR Time Stamp; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Set #; Duplicate Flag Indicator; Sponsor SSAN; Patient Name; DEERS Dependent Suffix; Amount Allowed; PTC Date; Responsible FI; Total number of claims and total Allowed Amounts by Provider Sub-ID; and Total number of claims and total Allowed Amounts by Provider Tax-ID. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Last (update) Dates, Set Range (Owner Type, Claim Set Status; Adjustments, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator, Solicited Indicator, PTC Dates, Responsible FI, Region, Set Level User Defined Codes, Claim Level User Defined Codes, and Provider Tax IDs. |
| **REPORT NOTES:** | The data used by this report format is claim level data. |

03/09/2002

# PROVIDER CLAIM DETAIL REPORT

## GROUPED BY PROVIDER NUMBER AND SUB ID



Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = Institutional
Match Type = ALL
FI Code =
Region Code = ALL
Actual Dupes Only = ALL
Exclude Base = No
Solicited = ALL
Claim User Code = ALL

| ICN | TIME | SUFFIX | USER CODE | S ? | SET # | DUP FLG | SPON SSAN | PATIENT NAME | DDS | AMT ALLOWED | PTC DATE | RESP FI |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Tax ID: | | | | | | | | | | | | |
| Sub ID: **0001** | | | | | | | | | | | | |
| 19992002542689 | 593167 | A | | | 205135 | N | | | 02 | $55,866.44 | 07/27/99 | 25 |
| 20000072517134 | 432008 | A | | | 205135 | Y | | | 02 | $30,038.92 | 01/18/00 | 06 |
| | | | | | | | | **# Claims** | | **Allowed $** | | |
| | | | | | | | **Sub ID Totals** | **2** | | **$85,905.36** | | |
| | | | | | | | **Tax ID Totals** | **2** | | **$85,905.36** | | |
| Tax ID: | | | | | | | | | | | | |
| Sub ID: **0000** | | | | | | | | | | | | |
| 19983624405210 | 303971 | A | | | 163682 | N | | | 30 | $3,473.64 | 04/07/99 | 26 |
| 19991124416098 | 301684 | A | | | 163682 | Y | | | 30 | $3,473.63 | 06/09/99 | 06 |
| | | | | | | | | **# Claims** | | **Allowed $** | | |
| | | | | | | | **Sub ID Totals** | **2** | | **$6,947.27** | | |
| | | | | | | | **Tax ID Totals** | **2** | | **$6,947.27** | | |
| Tax ID: | | | | | | | | | | | | |
| Sub ID: **0000** | | | | | | | | | | | | |
| 20000243612556 | 385454 | A | | Y | 205078 | Y | | | 20 | $949.10 | 02/01/00 | 06 |
| 20001303601812 | 410600 | A | | | 205078 | N | | | 20 | $949.10 | 05/10/00 | 3F |
| | | | | | | | | **# Claims** | | **Allowed $** | | |
| | | | | | | | **Sub ID Totals** | **2** | | **$1,898.20** | | |
| | | | | | | | **Tax ID Totals** | **2** | | **$1,898.20** | | |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ PROVIDER ⇒ **CPT-4** |
| **PRINTED REPORT TITLE:** | Provider CPT-4 Report<br>Grouped By Provider Tax-ID and Sub-ID<br>(CPT-4 Claim Level Match Types Only) |
| **REPORT DESCRIPTION:** | This report shows line items which appear on non-institutional **claims** which carry a CPT-4 match type ('C') at the claim level (see REPORT NOTES below). Due to the way the Duplicate Claims System assigns match types to claims and sets, this report must be used very carefully. Users have the option in this report of selecting actual duplicate claims only. The user may think that the report is showing only actual duplicate line items identified by the CPT-4 match type criteria. In fact, the report is showing the line-items of actual ('Y') non-institutional duplicate claims which have been assigned a match type of CPT-4 (see REPORT NOTES below). As a result, line items identified using the OTHER match type may appear on this report along with the line items identified under the CPT-4 criteria which caused the claim to be assigned the match type of CPT-4. This report will not show any line items identified under the EXACT or NEAR match criteria since line items identified using the EXACT and NEAR match would force the claim(s) to be assigned a higher level match type than CPT- 4. This report looks for only those actual duplicate non-institutional **claims** with a match type of CPT- 4 and then lists the line items on those claims.<br><br>This report can be used by Program Integrity staff to obtain a listing of the claims carrying a match type of CPT-4 and their associated line items. Using the Provider Claim Count Report, users can identify the provider numbers associated with high volumes of non-institutional claims involving line items whose last two digits of the procedure code have been changed. Then using the Provider CPT-4 Report and entering those provider numbers identified, the user can generate a listing of the non-institutional claims with line item details associated with those provider numbers.<br><br>The fields displayed on this report are: ICN; HCSR Timestamp; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Set #; Duplicate Flag Indicator; Sponsor SSAN; Patient Name; DEERS Dependent Suffix; Line Item Match Type; Line Item Number; CPT-4 Code; Amount Allowed CPT-4; PTC Date; and Responsible FI. The report is grouped by Provider Number and Sub-ID and provides sub-totals for each provider Sub-ID and grand totals for each provider Tax-ID. The sub-totals and grand totals sum the number of line items and the total Allowed dollars. |

| | |
|---|---|
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Match Type, Claim Type, Last Dates; Set Range (Set Owner Type, Claim Set Status; Adjustments, Date Type, FI, Region) plus Dupe Flag Indicator, Solicited Indicator, PTC Dates, Responsible FI, Region, Set Level User Defined Codes, Claim Level User Defined Codes, and Provider Tax ID.<br><br>Users may customize the report by selecting: All claims or actual duplicate claims only (to be counted as an actual duplicate claim, it must have a "Y" Duplicate Flag value and be in a PENDING, VALIDATE, or CLOSED set); status (All, Open, Pending, Closed, Validate); only sets that have adjustments associated with them; multi-FI sets, single FI sets, or both; **set** match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to- completion dates; a single load date or a range of load dates; one or all FIs; one, several or all regions within selected FIs. Users may also select one, several or all Provider Tax ID numbers to be included in the report. |
| **REPORT NOTES:** | Match types are applied at the line-item, claim, and set levels based on a hierarchy. The most stringent match type applicable is assigned at each level. The hierarchy for institutional claims is as follows: Exact, Near, Date Overlap and Other. For non-institutional claims, the hierarchy is as follows: Exact, Near, CPT-4, and Other. For both claim types, Exact Match criteria is the most stringent with Near Match next. Other Match is the least stringent. When the Duplicate Claims System identifies non- institutional potential duplicates, it is doing so at a **line item** level. When a line item is identified as a potential duplicate, the system labels the **line item** with the Match Type used to identify it as a potential duplicate. If a non-institutional **claim** contains line items identified as potential duplicates using more than one match type criteria (one line item identified under Exact Match criteria and another line item under CPT-4 criteria), the system uses the match type hierarchy and labels the **claim** with the most stringent match type appearing on the line items. If the **set** contains **claims** labeled with different match types (one claim labeled 'Near' and another labeled 'CPT-4'), the system uses the match type hierarchy and labels the **set** with the most stringent match type appearing on the claims. |

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# PROVIDER CPT-4 REPORT

## GROUPED BY PROVIDER TAX ID AND SUB ID
## (CPT-4 CLAIM LEVEL MATCH TYPES ONLY)

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type' = ALL
FI Code =
Region Code = ALL
Actual Dupes Only = No
Exclude Base = ALL
Solicited = ALL
Claim User Code = ALL

| ICN | TIME | SFX | USR CD | S ? | SET # | DUP FLG | SPON SSAN | PATIENT NAME | DDS | LI MATCH | LINE ITEM # | CPT-4 CODE[1] | AMT ALLOWED CPT-4 CODE | PTC DATE | RESP FI |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Tax ID: | | | | | | | | | | | | | | | |
| Sub ID: **0001** | | | | | | | | | | | | | | | |
| 19991443312368 | 413957 | A | | | 163810 | N | | | 02 | C | 2 | 99282 | $75.00 | 05/28/99 | 06 |
| 19991653313204 | 521965 | A | | | 163810 | Y | | | 02 | C | 2 | 99283 | $75.00 | 06/18/99 | 06 |
| | | | | | | | | | | **# Line Items** | | | **Allowed $** | | |
| | | | | | | | | **Sub ID Totals** | | **2** | | | **$150.00** | | |
| | | | | | | | | **Prov ID Totals** | | **2** | | | **$150.00** | | |
| Tax ID: | | | | | | | | | | | | | | | |
| Sub ID: **A002** | | | | | | | | | | | | | | | |
| 20011492546319 | 285262 | B | | | 248566 | N | | | 30 | C | 2 | 93555 | $180.00 | 06/05/01 | 06 |
| 20011492549773 | 214907 | A | | | 248566 | N | | | 30 | C | 1 | 93556 | $180.00 | 06/05/01 | 06 |
| | | | | | | | | | | **# Line Items** | | | **Allowed $** | | |
| | | | | | | | | **Sub ID Totals** | | **2** | | | **$360.00** | | |
| | | | | | | | | **Prov ID Totals** | | **2** | | | **$360.00** | | |
| Tax ID: | | | | | | | | | | | | | | | |
| Sub ID: **0000** | | | | | | | | | | | | | | | |
| 20002132551521 | 291356 | A | | | 215290 | N | | | 30 | O | 2 | 83891 | $10.01 | 08/03/00 | 06 |
| 20002132551521 | 291356 | A | | | 215290 | N | | | 30 | O | 3 | 83898 | $28.85 | 08/03/00 | 06 |
| 20002132551521 | 291356 | A | | | 215290 | N | | | 30 | O | 5 | 83912 | $29.40 | 08/03/00 | 06 |
| 20002272545854 | 114374 | A | | | 215290 | N | | | 30 | O | 1 | 83891 | $10.01 | 08/17/00 | 06 |
| 20002272545854 | 114374 | A | | | 215290 | N | | | 30 | O | 2 | 83891 | $10.01 | 08/17/00 | 06 |
| 20002272545854 | 114374 | A | | | 215290 | N | | | 30 | C | 3 | 83892 | $12.01 | 08/17/00 | 06 |
| 20002272545854 | 114374 | A | | | 215290 | N | | | 30 | C | 4 | 83892 | $12.01 | 08/17/00 | 06 |
| 20002272545854 | 114374 | A | | | 215290 | N | | | 30 | C | 5 | 83894 | $8.49 | 08/17/00 | 06 |
| 20002272545854 | 114374 | A | | | 215290 | N | | | 30 | C | 6 | 83894 | $8.49 | 08/17/00 | 06 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

[1] CPT codes, descriptions and other data only are copyright 2001 American Medical Association. All rights reserved. Applicable FARS/DFARS Restrictions Apply to Government use.

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ REASON CODE EXPLANATION ⇒ **INDIVIDUAL CLAIMS** |
| **PRINTED REPORT TITLE:** | Reason Code Explanation Report<br>Individual Claims |
| **REPORT DESCRIPTION:** | This report provides a listing of the explanations associated with reason codes on individual claims. The Duplicate Claims System requires that an explanation be entered when certain reason codes are used to describe why a claim is or is not a duplicate claim. This report prints the reason code explanation associated with a claim. Individual claim data is grouped within their respective sets. The fields displayed on this report are: Owner FI; Region; Set Number; Set Status; Initial Load Date; ICN; HCSR Suffix; HCSR Time Stamp; Responsible FI; PTC Date; Dupe Flag Indicator; Reason Code; and Reason Code Explanation. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Responsible FI, Region, and Reason Codes. |
| **REPORT NOTES:** | The data used by this report format is claim level data. |

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# REASON CODE EXPLANATION REPORT
## INDIVIDUAL CLAIMS

Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Set Number Range = >=1,<=500

**Region**

| SET # | STATUS | LOAD DATE | ICN | S | TIME | RESP FI | PTC DATE | DUP FLG | RSN CODE | REASON CODE EXPLANATION |
|---|---|---|---|---|---|---|---|---|---|---|
| 17 | C | 04/14/1997 | 19942311298375 | A | 000000 | | 10/03/1994 | N | N105 | |
| 58 | P | 04/14/1997 | 19942434706972 | A | 000000 | | 10/20/1994 | Y | D100 | |
| 94 | C | 04/14/1997 | 19941121298252 | A | 000000 | | 10/13/1994 | N | N900 | differ dos |
| 199 | C | 04/14/1997 | 19942131201553 | B | 000000 | | 10/18/1994 | N | N900 | prior to contractor's data retrieval <$50 |
| 200 | V | 04/14/1997 | 19942731208602 | A | 000000 | | 10/13/1994 | Y | D207 | under 30.00 |
| 225 | C | 04/14/1997 | 19942721300319 | A | 000000 | | 10/04/1994 | N | N113 | |
| 408 | C | 04/30/1997 | 19942362205271 | A | 000000 | | 10/17/1994 | N | N101 | |
| 424 | C | 04/14/1997 | 19942421311452 | A | 000000 | | 10/03/1994 | N | N101 | |
| 424 | C | 04/14/1997 | 19942761316148 | A | 000000 | | 10/05/1994 | N | N101 | |
| 483 | C | 04/14/1997 | 19941781216845 | A | 000000 | | 10/14/1994 | N | N112 | cpts not the same |

**Region**

| SET # | STATUS | LOAD DATE | ICN | S | TIME | RESP FI | PTC DATE | DUP FLG | RSN CODE | REASON CODE EXPLANATION |
|---|---|---|---|---|---|---|---|---|---|---|
| 46 | C | 04/15/1997 | 19902294803615 | A | 000000 | | 08/15/1994 | N | N112 | Overlapping dates of service |
| 46 | C | 04/15/1997 | 19921124800478 | A | 000000 | | 08/17/1994 | Y | D900 | overlapping DOS. Dup services refunded. |
| 65 | V | 04/14/1997 | 09933052237875 | B | 000000 | | 01/21/1994 | Y | D102 | |
| 77 | P | 04/14/1997 | 19941514876029 | A | 000000 | | 08/09/1994 | Y | D200 | Over lapping DOS |
| 146 | P | 04/14/1997 | 19940104802915 | A | 000000 | | 04/14/1994 | Y | D900 | ORIGINAL PAYMENT RETURNED, REISSUE WAS DONE WITH CORRECT OHI PAYMENT |
| 255 | P | 04/14/1997 | 19942804884281 | A | 000000 | | 10/21/1994 | Y | D100 | |
| 266 | P | 04/14/1997 | 19941034805455 | A | 000000 | | 07/26/1994 | Y | D200 | SAME DME ALLOWED ON BOTH CLAIMS |
| 282 | V | 04/14/1997 | 19940272281651 | B | 000000 | | 05/04/1994 | Y | D102 | |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ **REASON CODE EXPLANATION ⇒ ENTIRE SET** |
| **PRINTED REPORT TITLE:** | Reason Code Explanation Report<br>Entire Set |
| **REPORT DESCRIPTION:** | This report provides a listing of the explanations associated with reason codes by set number. The Duplicate Claims System requires that an explanation be entered when certain reason codes are used to describe why a claim is or is not a duplicate claim. This report prints the reason code explanations associated with the claims in a set. Individual claim data is grouped within their respective sets. The fields displayed on this report are: Owner FI; Region; Set Number; Set Status; Initial Load Date; ICN; HCSR Suffix; HCSR Time Stamp; Responsible FI; PTC Date; Dupe Flag Indicator; Reason Code; and Reason Code Explanation. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Responsible FI, Region, and Reason Codes. |
| **REPORT NOTES:** | The data used by this report format is claim level data. |

03/09/2002

# REASON CODE EXPLANATION REPORT
## ENTIRE SET



Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Set Number Range = >=1,<=500
Reason Codes = ALL

**Region**

| SET# | STATUS | LOAD DATE |
|---|---|---|
| 17 | C | 04/14/1997 |

| ICN | S | TIME | RESP FI | PTC DATE | DUPE FLAG | RSN CODE | REASON CODE |
|---|---|---|---|---|---|---|---|
| 19942291298092 | A | 000000 | | 08/18/1994 | N | BASE | |
| 19942311298375 | A | 000000 | | 10/03/1994 | N | N105 | |

| SET# | STATUS | LOAD DATE |
|---|---|---|
| 58 | P | 04/14/1997 |

| ICN | S | TIME | RESP FI | PTC DATE | DUPE FLAG | RSN CODE | REASON CODE |
|---|---|---|---|---|---|---|---|
| 19942434706972 | A | 000000 | | 10/20/1994 | Y | D100 | |
| 19942454797399 | A | 000000 | | 09/12/1994 | N | BASE | |

| SET# | STATUS | LOAD DATE |
|---|---|---|
| 94 | C | 04/14/1997 |

| ICN | S | TIME | RESP FI | PTC DATE | DUPE FLAG | RSN CODE | REASON CODE |
|---|---|---|---|---|---|---|---|
| 19941121298252 | A | 000000 | | 10/13/1994 | N | N900 | differ dos |
| 19942341216190 | A | 000000 | | 09/01/1994 | N | BASE | |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ **ADJUSTMENTS** |
| **PRINTED REPORT TITLE:** | Claims With Associated Adjustments |
| **REPORT DESCRIPTION:** | This report provides a listing of claims, grouped in their respective sets, with any associated adjustment claims which have been submitted. Only sets which contain one or more claims that have associated adjustments will be listed. The fields displayed on the report are: Set Number; ICN; HCSR Suffix; HCSR Time Stamp; Dupe Flag Indicator; Reason Code; Responsible FI; Sponsor SSAN; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Claim Level Allowed; Line Item Number; Line Item Allowed Amount; Adjustment Flag; Adjustment Line Item; and Adjustment Allowed Amount. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Adjustments (Claim Set Status, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus PTC Dates, Responsible FI, Region, Claim Level User Defined Codes. |
| **REPORT NOTES:** | The data used by this report format is claim and line item level data. |

03/09/2002

## CLAIMS WITH ASSOCIATED ADJUSTMENTS

Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Dupe Flag = ALL
Set Range = >=1,<=500
Set User Code = ALL

| SET# | ICN | S | TIME | DUP FLG | RSN CODE | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | AMT ALLOWED | LINE ITEM | AMT ALLOWED | CPT4 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 17 | 19942291298092 | A | 000000 | N | BASE | | | | 01 | | 0000 | $320.00 | | | |
| 17 | 19942311298375 | A | 000000 | N | N105 | | | | 01 | | 0000 | $4,480.00 | | | |
| | | | | Adjust Flag | | | Adjust Line Item | Adjustment Allowed Amt | | | | | | | |
| | | | | N | | | 0 | $748.00 | | | | | | | |
| 58 | 19942434706972 | A | 000000 | Y | D100 | | | | 01 | | 0000 | $8,404.00 | | | |
| | | | | Adjust Flag | | | Adjust Line Item | Adjustment Allowed Amt | | | | | | | |
| | | | | | | | 0 | $7,479.00 | | | | | | | |
| | | | | | | | 0 | $11,460.00 | | | | | | | |
| 58 | 19942454797399 | A | 000000 | N | BASE | | | | 01 | | 0000 | $3,438.00 | | | |
| 94 | 19941121298252 | A | 000000 | N | N900 | | | | 02 | | 0001 | $510.00 | | | |
| 94 | 19942341216190 | A | 000000 | N | BASE | | | | 02 | | 0001 | $11,280.00 | | | |
| 199 | 19942131201553 | B | 000000 | N | N900 | | | | 30 | | A001 | $392.08 | 3 | $320.00 | |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIMS ⇒ WORK SHEETS ⇒ **INSTITUTIONAL** |
| **PRINTED REPORT TITLE:** | Institutional Claims Worksheet |
| **REPORT DESCRIPTION:** | This report resembles the paper duplicate claims reports provided to contractors in the past. This report lists institutional claim sets in OPEN status and provides space for entering by hand: 1) a "Y" or an "N" to indicate if the claim has been determined to be a duplicate or not; 2) a reason code for why the claim is or is not a duplicate; and 3) a recoupment or refund amount. This report provides the contractor with the ability to distribute the claim sets requiring research and duplicate determinations among several personnel. Once completed, these reports can be returned to the system operator for data entry. This report is limited to only institutional claims. The fields displayed on the report are: Owner FI; Region; ICN; HCSR Suffix; Set Level User Defined Code; Solicited Indicator; Set Number; PTC Date; Responsible FI; Sponsor SSAN; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Diagnosis; DRG; Amount Billed; Amount Allowed; Government Paid Amount; Dupe Flag?; Reason Code; ID Recoupment Amount. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Adjustments (Claim Set Status, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator; PTC Dates; Responsible FI; and Region. |
| **REPORT NOTES:** | The data used by this report format is claim level data. |

03/09/2002 **INSTITUTIONAL CLAIMS WORKSHEET** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Set Range = >=1,<=100
Solicited = ALL

**Region**

| ICN | S FX | USR | S ? | SET # | PTC DATE | RE FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | DIAG[1] | DRG | AMT BILLED | AMT ALLOWED | NET GOVT PAID AMT | DUPE? (Y/N) | RSN CD | RECOUP/ RFND AMT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9942291298092 | A | | | 17 | 08/18/94 | | | | 01 | | 0000 | 29633 | 000 | $554.00 | $320.00 | $272.00 | ----- | ----- | --------- |
| 9942311298375 | A | | | 17 | 10/03/94 | | | | 01 | | 0000 | 29633 | 000 | $8,038.00 | $4,480.00 | $3,808.00 | ----- | ----- | --------- |
| 9942434706972 | A | | | 58 | 10/20/94 | | | | 01 | | 0000 | 311 | 000 | $40,677.28 | $8,404.00 | $8,404.00 | ----- | ----- | --------- |
| 9942454797399 | A | | | 58 | 09/12/94 | | | | 01 | | 0000 | 29620 | 000 | $4,433.54 | $3,438.00 | $3,438.00 | ----- | ----- | --------- |
| 9941121298252 | A | | | 94 | 10/13/94 | | | | 02 | | 0001 | 31381 | 000 | $1,045.27 | $510.00 | $510.00 | ----- | ----- | --------- |
| 9942341216190 | A | | | 94 | 09/01/94 | | | | 01 | | 0001 | 31381 | 000 | $14,482.23 | $11,280.00 | $6,953.86 | ----- | ----- | --------- |

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| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIMS ⇒ WORKSHEETS ⇒ **NON-INSTITUTIONAL** |
| **PRINTED REPORT TITLE:** | Non-Institutional Claims Worksheet |
| **REPORT DESCRIPTION:** | This report resembles the paper duplicate claims reports provided to contractors in the past. This report lists the sets of non-institutional line items in OPEN status and provides space for entering by hand: 1) a "Y" or an "N" to indicate if the claim has been determined to be a duplicate or not; 2) a reason code for why the claim is or is not a duplicate; and 3) a recoupment or refund amount. This report provides the contractor with the ability to distribute the claim sets requiring research and duplicate determinations among several personnel. Once completed, these reports can be returned to the system operator for data entry. This report is limited to only non-institutional claims. The fields displayed on the report are: Owner FI; Region; ICN; HCSR Suffix; Claim Level User Defined Code; Solicited Indicator; Set Number; Responsible FI; Sponsor SSAN; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Diagnosis; Line Item Number; CPT-4 Code; Line Item Amount Billed; Line Item Allowed Amount; "Dupe? (Y/N)"; Reason Code; and Identified Recoupment or Refund Amount. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Status, Claim Type (Adjustments, Set Owner Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator, PTC Dates, Responsible FI, and Region. |
| **REPORT NOTES:** | The data used by this report format is line item level data. |

03/09/2002 **NON-INSTITUTIONAL CLAIMS WORKSHEET** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Set Range = >=500,<=800
Solicited = ALL

**99 -**

**Inactive -**

| ICN | S FX | USR CD | S ? | SET # | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | DIAG[1] | LI | CPT-4 CODE[1] | AMT BILLED CPT-4 CD | AMT ALD CPT-4 CD | DUPE? (Y/N) | RSN CD | RECOUP/ RFND AMT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19942450610144 | B | | | 546 | 99 | | | 03 | | A033 | 31400 | 1 | 90844 | $280.00 | $216.80 | ----- | ----- | --------- |
| 19942510640309 | B | | | 546 | 99 | | | 03 | | A033 | 30723 | 1 | 90844 | $560.00 | $433.60 | ----- | ----- | --------- |
| 19940830610180 | A | | | 547 | 99 | | | 03 | | A033 | 31400 | 3 | 90844 | $280.00 | $216.80 | ----- | ----- | --------- |
| 19942510640309 | B | | | 547 | 99 | | | 03 | | A033 | 30723 | 4 | 90844 | $840.00 | $650.40 | ----- | ----- | --------- |
| 19940940616755 | A | | | 548 | 99 | | | 03 | | A033 | 30723 | 1 | 99232 | $90.00 | $61.40 | ----- | ----- | --------- |
| 19942510640309 | B | | | 548 | 99 | | | 03 | | A033 | 30723 | 7 | 99232 | $90.00 | $61.40 | ----- | ----- | --------- |
| 19941740613080 | A | | | 566 | 99 | | | 03 | | 0000 | 27700 | 3 | 06879 | $65.00 | $65.00 | ----- | ----- | --------- |
| 19941740613080 | A | | | 566 | 99 | | | 03 | | 0000 | 27700 | 4 | 06877 | $97.25 | $88.41 | ----- | ----- | --------- |
| 19941820615030 | A | | | 566 | 99 | | | 03 | | 0000 | 27700 | 1 | 06870 | $65.00 | $65.00 | ----- | ----- | --------- |
| 19941820615030 | A | | | 566 | 99 | | | 03 | | 0000 | 27700 | 2 | 06876 | $97.25 | $97.25 | ----- | ----- | --------- |

**Inactive - CA/HI 88C**

| ICN | S FX | USR CD | S ? | SET # | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | DIAG[1] | LI | CPT-4 CODE[1] | AMT BILLED CPT-4 CD | AMT ALD CPT-4 CD | DUPE? (Y/N) | RSN CD | RECOUP/ RFND AMT |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19941920640028 | A | | | 531 | 99 | | | 30 | | 0000 | 4111 | 1 | 33533 | $2,100.00 | $490.70 | ----- | ----- | --------- |
| 19941920640028 | A | | | 531 | 99 | | | 30 | | 0000 | 4111 | 2 | 36489 | $240.00 | $240.00 | ----- | ----- | --------- |
| 19941920640028 | A | | | 531 | 99 | | | 30 | | 0000 | 4111 | 3 | 36620 | $180.00 | $180.00 | ----- | ----- | --------- |
| 19941920640028 | B | | | 531 | 99 | | | 30 | | 0000 | 4111 | 1 | 33533 | $2,100.00 | $2,100.00 | ----- | ----- | --------- |
| 19941920640028 | B | | | 531 | 99 | | | 30 | | 0000 | 4111 | 2 | 36489 | $240.00 | $240.00 | ----- | ----- | --------- |
| 19941920640028 | B | | | 531 | 99 | | | 30 | | 0000 | 4111 | 3 | 36620 | $180.00 | $180.00 | ----- | ----- | --------- |

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| | |
|---|---|
| **REPORT CATEGORY:** | Claim Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ CLAIM REPORTS ⇒ **CLAIM LEVEL USER CODES** |
| **PRINTED REPORT TITLE:** | Claim Level User Defined Field Definitions |
| **REPORT DESCRIPTION:** | This report displays the Owner FI; Contract Number; the Claim Level User Defined Codes; their definitions, and whether they are active or inactive. |
| **REPORT PARAMETER OPTIONS:** | Users may not customize this report. |
| **REPORT NOTES:** | The data used by this report format is claim level data. |

03/09/2002

## CLAIM LEVEL USER DEFINED FIELD DEFINITIONS



| Owner FI | Contract # | Code | Description | Active ? |
|---|---|---|---|---|
| | 96C0 | H | HCSR Dupe - Adjustment Needed | Y |
| | 96C0 | P | PRIOR HCSR DUPE 07/01/1996 | Y |

| Owner FI | Contract # | Code | Description | Active ? |
|---|---|---|---|---|
| | 95C0 | CH | No check was generated/System | Y |
| | 95C0 | ER | Emergency Room charges | Y |
| | 95C0 | OC | Turned over to TMA | Y |
| | 95C0 | RI | SHCP/TPR wrong risk indicator | Y |
| | 95C0 | TL | TFL Claim | Y |

| Owner FI | Contract # | Code | Description | Active ? |
|---|---|---|---|---|
| 07 | 96C | 20 | Tracking for PODS employee | Y |
| 07 | 96C | 21 | Tracking for PODS employee | Y |
| 07 | 96C | 22 | Tracking for PODS employee | Y |
| 07 | 96C | 25 | Tracking for PODS employee | Y |
| 07 | 96C | 27 | Tracking for PODS employee | Y |
| 07 | 96C | 76 | incorrect use of 76 modifier | Y |
| 07 | 96C | 97 | Facility fees/invalid modifier | Y |
| 07 | 96C | AD | Active Duty | Y |
| 07 | 96C | AR | To floor for correction/Recoup | Y |
| 07 | 96C | C1 | Provider is on watch | Y |
| 07 | 96C | C3 | Recoupment under $30 | Y |
| 07 | 96C | C5 | billed with 59 modifier | Y |
| 07 | 96C | CL | rebundled | Y |
| 07 | 96C | CM | Case Management Bene | Y |
| 07 | 96C | H2 | Special handling of 2-no check | Y |
| 07 | 96C | JM | Josie Moore | Y |
| 07 | 96C | LC | Late charges received | Y |
| 07 | 96C | M3 | multi sets some < 30 | Y |
| 07 | 96C | RJ | Reject but check cut | Y |
| 07 | 96C | RS | Resource Sharing | Y |
| 07 | 96C | SP | TRICARE Senior Prime | Y |
| 07 | 96C | TF | TRICARE for Life Claim | Y |
| 07 | 96C | XX | duplicate claim#/same patient | Y |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **INST BY DUPLICATE TYPE** |
| **PRINTED REPORT TITLE:** | Institutional Summary Report<br>Potentials/Actuals/Non-Duplicates<br>By Contractor<br>(grouped by Region) |
| **REPORT DESCRIPTION:** | This summary/management report shows the total number of institutional potential duplicates, actual duplicates, non-duplicates, and those not yet worked by the contractor for the initial or current load date selected or load date range specified by the user. The report lists the number of claims and the amount paid by match type. The report also shows the number of actual duplicates, non-duplicates, and potential duplicate claims as a percentage of the total number of potential duplicates loaded. For this report, potential duplicates are the universe of all non-base claims. Actual duplicates are those claims with a "Y" dupe flag in pending, validate or closed status. Non-duplicates are those non-base claims with an "N" dupe flag in pending, validate or closed status. Potential duplicates not worked are non-base claims in open status irrespective of any dupe flag value. The dollar totals on the report are for non- base claims only. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Set Status; Claim Types; Match Types; Last Dates; Set Range (Adjustments, Set Owner Type, Date Type, Set Range, FI, Region) plus Responsible FI, and Region, and Risk Indicator. |
| **REPORT NOTES:** | The data used by this report format is claim level data.<br><br>It should be noted that the total number of claims and percentages shown on this report may differ from that shown on the "Actual vs. Potential" graph report. Any discrepancy will be due to the fact that this report will count a claim more than once if it appears in two or more sets owned by the same region but which have different match types. The graph, alternatively, will not count a claim more than once if it appears in two or more sets owned by the same region. |

03/09/2002

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL

## INSTITUTIONAL SUMMARY REPORT
## POTENTIALS/ACTUALS/NON-DUPLICATES
## BY CONTRACTOR
(GROUPED BY REGION)

**FI :**

**Undetermined Region**

**Total Number of Potential Dupes:**

| Set Match Type | Number of Claims | Amount Paid Govt Contr |
|---|---|---|
| Date Overlap: | 0 | $0.00 |
| Exact: | 0 | $0.00 |
| Near: | 1 | $237.11 |
| Other: | 0 | $0.00 |
| | 1 | $237.11 |

**Total Number of Actual Dupes:**

| Set Match Type | Number of Claims | % of Potential | Amount Paid Govt Contr |
|---|---|---|---|
| Date Overlap: | 0 | 000.0 % | $0.00 |
| Exact: | 0 | 000.0 % | $0.00 |
| Near: | 1 | 100.0 % | $237.11 |
| Other: | 0 | 000.0 % | $0.00 |
| | 1 | 100 % | $237.11 |

**Total Number of Non-Dupes:**

| Set Match Type | Number of Claims | % of Potential | Amount Paid Govt Contr |
|---|---|---|---|
| Date Overlap: | 0 | 000.0 % | $0.00 |
| Exact: | 0 | 000.0 % | $0.00 |
| Near: | 0 | 000.0 % | $0.00 |
| Other: | 0 | 000.0 % | $0.00 |
| | 0 | 00 % | $0.00 |

**Total Number of Potential Not Worked:**

| Set Match Type | Number of Claims | % of Potential | Amount Paid Govt Contr |
|---|---|---|---|
| Date Overlap: | 0 | 000.0 % | $0.00 |
| Exact: | 0 | 000.0 % | $0.00 |
| Near: | 0 | 000.0 % | $0.00 |
| Other: | 0 | 000.0 % | $0.00 |
| | 0 | 00 % | $0.00 |

Note: These are counts of individual non-base claims.
These dollar totals do not include base claims.

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **NONINST BY DUPLICATE TYPE** |
| **PRINTED REPORT TITLE:** | Non-Institutional Summary Report<br>Potentials/Actuals/Non-Duplicate<br>By Contractor<br>(grouped by Region) |
| **REPORT DESCRIPTION:** | This summary/management report shows the total number of non-institutional potential duplicates, actual duplicates, non-duplicates, and those not yet worked by the contractor for the initial or current load dates selected or load date range specified by the user. The report lists the number of claims and the allowed amounts paid by match type. The report also shows the number of actual duplicates, non-duplicates, and potential duplicate claims as a percentage of the total number of potential duplicates loaded.<br><br>This report differs from the institutional report in significant ways due to the following: 1) non-institutional claims involve line-items where institutional claims do not; and 2) non-institutional line-items on the HCSRs do not carry amounts paid but only allowed amounts. In the Automated TRICARE Duplicate Claims System institutional claims will never appear in more than one set. Non-institutional **claims** may appear in more than one set since the system is identifying potential duplicates at a line-item level and not at a claim level as it does for institutional claims. The system creates non-institutional claim sets based on the dates of service of the line-items in question. By grouping potential duplicate non-institutional line items into sets based on the dates of service of the line items in question, the system avoids identifying two office visits for the same beneficiary occurring on different dates of service as potential duplicates. However, by grouping line items into sets based on dates of service, the possibility exists that a claim containing line items with different dates of service will appear in more than one set (the claim with the line item with one date of service appearing in one set and the same claim with the line item with the other date of service appearing in another). |

| | |
|---|---|
| **REPORT DESCRIPTION: (CONTINUED)** | This report does not count unique claims but rather all non-base claims appearing in sets with a particular match type, i.e., the total number of non-base claims appearing in CPT-4, Exact, Near, and Other match type sets. Since a non-institutional **claim** may appear in more than one set, the counts of the **claims** appearing on this report may be inflated. The dollars shown on this report, however, are not inflated since a line-item will never appear in more than one set. As a result, the dollars appearing on this report are the allowed amounts for the line items appearing in the sets in which their host claim appears. While the host claim may be counted more than once, the dollar amounts associated with the line items will not be counted more than once.<br><br>For this report, potential duplicates are the universe of all non-base claims. Actual duplicates are those claims with a "Y" dupe flag in pending, validate or closed status. Non-duplicates are those non-base claims with an "N" dupe flag in pending, validate or closed status. Potential duplicates not worked are non-base claims in open status irrespective of any dupe flag value. The dollar totals on the report are for non-base claims only. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Set Status, Claim Types, Match Types, Last Dates, Set Range (Adjustments, Set Owner Type, Date Type, Set Range, FI, Region) plus Responsible FI, Region, and Risk Indicator. |
| **REPORT NOTES:** | The data used by this report format is claim level and line-item data.<br><br>It should be noted that the total number of claims and percentages shown on this report may differ from that shown on the "Actual vs. Potential" graph report. Any discrepancy will be due to the fact that this report will count a claim more than once if it appears in two or more sets owned by the same region but which have different match types. The graph, alternatively, will not count a claim more than once if it appears in two or more sets owned by the same region. |

03/09/2002

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL

## NON-INSTITUTIONAL SUMMARY REPORT
## POTENTIALS/ACTUALS/NON-DUPLICATES
## BY FI/CONTRACTOR
(GROUPED BY REGION)

**FI :**

**Total Number of Potential Dupes:**

| Set Match Type | Number of Claims | Amount Paid Govt Contr |
|---|---|---|
| CPT-4 | 1,920 | $417,638.81 |
| Exact: | 5,811 | 1,936,553.92 |
| Near: | 4,886 | 1,549,943.16 |
| Other: | 6,043 | 2,720,647.50 |
| | 18,660 | $6,624,783.39 |

**Total Number of Actual Dupes:**

| Set Match Type | Number of Claims | % of Potential | Amount Paid Govt Contr |
|---|---|---|---|
| CPT-4 | 1,093 | 56.9 % | $241,349.60 |
| Exact: | 4,368 | 75.2 % | $1,490,013.09 |
| Near: | 3,278 | 67.1 % | $1,013,418.63 |
| Other: | 2,096 | 34.7 % | $935,767.95 |
| | 10,835 | 58.1 % | $3,680,549.27 |

**Total Number of Non-Dupes:**

| Set Match Type | Number of Claims | % of Potential | Amount Paid Govt Contr |
|---|---|---|---|
| CPT-4 | 697 | 36.3 % | $145,455.86 |
| Exact: | 1,025 | 17.6 % | $309,990.08 |
| Near: | 952 | 19.5 % | $322,421.75 |
| Other: | 2,503 | 41.4 % | $1,193,419.90 |
| | 5,177 | 27.7 % | $1,971.277.59 |

**Total Number of Potential Not Worked:**

| Set Match Type | Number of Claims | % of Potential | Amount Paid Govt Contr |
|---|---|---|---|
| CPT-4 | 130 | 6.8 % | $30,843.35 |
| Exact: | 418 | 7.2 % | $136,550.75 |
| Near: | 656 | 13.4 % | $214,102.78 |
| Other: | 1,444 | 23.9 % | $591.459.65 |
| | 2,648 | 14.2 % | $972,956.53 |

Note: These are counts of individual non-base claims.
These dollar totals do not include base claims.

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **SET AGING REPORT** |
| **PRINTED REPORT TITLE:** | Set Aging Report |
| **REPORT DESCRIPTION:** | This report provides the total number of sets in Open, Pending, Validate, and Closed Status grouped by region and either initial or current load date (depending on which is selected) as of the date the report is run. The report also shows the percentage each total represents of the total number of sets counted. The fields displayed on the report are: Owner FI; Region; Initial or Current Load Date; Number and Percentage of Open Sets; Number and Percentage of Pending Sets; Number and Percentage of Validate Sets; Number and Percentage of Closed Sets; and the Total Number of Sets. The report provides sub-totals for each contract region and grand totals for each contractor. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Status, Last Dates, Set Range (Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, FI, Region). |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/11/2002 **SET AGING REPORT** Page 2

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL

| Load Date | Open Sets | | Pending Sets | | Validate Sets | | Closed Sets | | Total Sets |
|---|---|---|---|---|---|---|---|---|---|
| **Undetermined Region** | | | | | | | | | |
| 5/01 | 0 | 00 % | 20 | 90.9 % | 2 | 9.1 % | 0 | 00 % | 22 |
| 6/01 | 0 | 00 % | 16 | 88.9 % | 2 | 11.1 % | 0 | 00 % | 18 |
| 7/01 | 0 | 00 % | 9 | 75 % | 3 | 25 % | 0 | 00 % | 12 |
| 8/01 | 1 | 16.7 % | 4 | 66.7 % | 1 | 16.7 % | 0 | 00 % | 6 |
| 9/01 | 1 | 11.1 % | 8 | 88.9 % | 0 | 00 % | 0 | 00 % | 9 |
| 10/01 | 0 | 00 % | 5 | 100 % | 0 | 00 % | 0 | 00 % | 5 |
| 11/01 | 1 | 16.7 % | 4 | 66.7 % | 1 | 16.7 % | 0 | 00 % | 6 |
| 12/01 | 2 | 66.7 % | 0 | 00 % | 0 | 00 % | 1 | 33.3 % | 3 |
| 1/02 | 3 | 75 % | 0 | 00 % | 1 | 25 % | 0 | 00 % | 4 |
| 2/02 | 20 | 100 % | 0 | 00 % | 0 | 00 % | 0 | 00 % | 20 |
| 3/02 | 2 | 100 % | 0 | 00 % | 0 | 00 % | 0 | 00 % | 2 |
| **Sub Total** | **31** | 6.6 % | **333** | 71.3 % | **62** | 13.3 % | **41** | 8.8 % | **467** |
| **Region** | | | | | | | | | |
| 4/97 | 1 | 0 % | 1775 | 64.3 % | 878 | 31.8 % | 106 | 3.8 % | 2760 |
| 6/97 | 0 | 00 % | 75 | 67.6 % | 30 | 27 % | 6 | 5.4 % | 111 |
| 7/97 | 0 | 00 % | 38 | 65.5 % | 20 | 34.5 % | 0 | 00 % | 58 |
| 8/97 | 0 | 00 % | 35 | 70 % | 13 | 26 % | 2 | 4 % | 50 |
| 9/97 | 0 | 00 % | 55 | 64 % | 25 | 29.1 % | 6 | 7 % | 86 |
| 10/97 | 0 | 00 % | 31 | 55.4 % | 19 | 33.9 % | 6 | 10.7 % | 56 |
| 11/97 | 0 | 00 % | 48 | 62.3 % | 24 | 31.2 % | 5 | 6.5 % | 77 |
| 12/97 | 0 | 00 % | 28 | 77.8 % | 8 | 22.2 % | 0 | 00 % | 36 |
| 1/98 | 0 | 00 % | 37 | 59.7 % | 21 | 33.9 % | 4 | 6.5 % | 62 |
| 2/98 | 0 | 00 % | 45 | 56.3 % | 22 | 27.5 % | 13 | 16.3 % | 80 |
| 3/98 | 0 | 00 % | 36 | 58.1 % | 24 | 38.7 % | 2 | 3.2 % | 62 |
| 4/98 | 0 | 00 % | 77 | 64.2 % | 34 | 28.3 % | 9 | 7.5 % | 80 |
| 5/98 | 0 | 00 % | 89 | 66.9 % | 27 | 20.3 % | 17 | 12.8 % | 133 |
| 6/98 | 0 | 00 % | 109 | 47.4 % | 37 | 16.1 % | 84 | 36.5 % | 230 |
| 7/98 | 0 | 00 % | 74 | 27.2 % | 17 | 6.3 % | 181 | 66.5 % | 272 |
| 8/98 | 0 | 00 % | 229 | 22.2 % | 71 | 6.9 % | 733 | 71 % | 1033 |
| 9/98 | 0 | 00 % | 195 | 31.9 % | 53 | 8.7 % | 364 | 59.5 % | 612 |
| 10/98 | 0 | 00 % | 121 | 28.8 % | 25 | 6 % | 274 | 65.2 % | 420 |
| 11/98 | 0 | 00 % | 346 | 39.1 % | 31 | 3.5 % | 507 | 57.4 % | 884 |
| 12/98 | 0 | 00 % | 79 | 32.9 % | 15 | 6.3 % | 146 | 60.8 % | 240 |
| 01/99 | 0 | 00 % | 193 | 36.3 % | 26 | 4.9 % | 312 | 58.8 % | 531 |
| 02/99 | 0 | 00 % | 301 | 44.7 % | 47 | 7 % | 325 | 48.3 % | 673 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **CLAIM AGING REPORT** |
| **PRINTED REPORT TITLE:** | Claim Aging Report |
| **REPORT DESCRIPTION:** | This report provides the total number of non-base claims in Open Status (Not Worked), the total number of actual duplicate claims ('Y' Duplicate Flag Value in Pending, Validate and Closed status) and the total number of non-duplicate claims ('N' Duplicate Flag Value in Pending, Validate and Closed status) as of the date the report is run. The report also provides the total allowed amounts of the non-base claims in Open Status (Not Worked), the total amounts identified for recoupment and actually recouped of the actual duplicate claims, and the total allowed amounts of the non-duplicate claims. The report shows claim counts but for non-institutional claims the allowed amount totals are the sum of the line-item allowed amounts in the system. The report is grouped by Initial or Current Load Date (depending on which is selected) and region and provides sub-totals by region and grand totals by contractor. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Status; Last Dates; Set Range (Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, FI, Region) plus Responsible FI and Region. |
| **REPORT NOTES:** | The data used by this report format is claim level data. |

03/11/2002 **CLAIM AGING REPORT** Page 2

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL

| Load Date | Not Worked | | Actual Dupes | | | Non Dupes | |
|---|---|---|---|---|---|---|---|
| | # Claims | Allowed Amount | # Claims | ID Recoup | Actual Recoup | # Claims | Allowed Amount |
| 3/01 | 0 | $0.00 | 26 | $14,316.34 | $169.94 | 24 | $32,425.10 |
| 4/01 | 0 | $0.00 | 42 | $230,687.18 | $6,588.00 | 41 | $189,877.00 |
| 5/01 | 0 | $0.00 | 28 | $31,560.32 | $4,158.24 | 26 | $29,950.37 |
| 6/01 | 0 | $0.00 | 31 | $31,408.90 | $537.52 | 30 | $7,691.67 |
| 7/01 | 0 | $0.00 | 31 | $2,089.47 | $231.37 | 30 | $2,737.69 |
| 8/01 | 0 | $0.00 | 15 | $6,999.47 | $2,821.75 | 14 | $3,891.50 |
| 9/01 | 36 | $7,937.18 | 6 | $2,731.71 | $0.00 | 6 | $1,968.86 |
| 10/01 | 12 | $1,017.54 | 13 | $2,399.71 | $844.82 | 13 | $4,555.73 |
| 11/01 | 6 | $811.75 | 1 | $4.68 | $0.00 | 1 | $72.80 |
| 12/01 | 0 | $0.00 | 30 | $8,735.29 | $299.51 | 28 | $7,141.33 |
| 01/02 | 34 | $5,531.50 | 15 | $4,118.23 | $193.47 | 16 | $5,788.98 |
| 02/02 | 10 | 3,185.11 | 18 | $2,522.91 | $162.00 | 16 | $3,150.06 |
| 03/02 | 2 | $530.09 | 6 | $542.34 | $0.00 | 6 | $1,372.60 |
| Sub Total | 100 | $19,013.17 | 584 | $453,621.50 | $83,391.60 | 570 | $430,548.86 |
| **Region** | | | | | | | |
| 4/97 | 0 | $0.00 | 1767 | $427,808.04 | $212,282.59 | 1711 | $537,212.60 |
| 6/97 | 0 | $0.00 | 68 | $20,729.76 | $8,413.46 | 67 | $31,561.76 |
| 7/97 | 0 | $0.00 | 20 | $2,6.23.94 | $985.40 | 20 | $4,567.86 |
| 8/97 | 0 | $0.00 | 27 | $2,958.76 | $688.23 | 28 | $4,141.05 |
| 9/97 | 0 | $0.00 | 12 | $2,168.48 | $180.53 | 13 | 4,587.63 |
| 10/97 | 0 | $0.00 | 15 | $3,661.52 | $954.90 | 15 | $4,367.22 |
| 11/97 | 0 | $0.00 | 17 | $3,364.29 | $990.84 | 17 | $4,983.80 |
| 12/97 | 0 | $0.00 | 45 | $11,486.51 | $2,093.35 | 44 | $8,989.16 |
| 1/98 | 0 | $0.00 | 43 | $15,353.38 | $1,596.71 | 43 | $23,223.54 |
| 2/98 | 0 | $0.00 | 57 | $28,540.11 | $17,775.83 | 58 | $30,210.54 |
| 3/98 | 0 | $0.00 | 67 | $97,489.86 | $71,005.03 | 67 | $72,594.30 |
| 4/98 | 0 | $0.00 | 41 | $23,016.00 | $4,599.77 | 43 | $25,529.03 |
| 5/98 | 0 | $0.00 | 81 | $24,988.84 | $1,449.77 | 79 | $24,833.18 |
| 6/98 | 0 | $0.00 | 58 | $47,825.37 | $42,777.06 | 55 | $64,435.61 |
| 7/98 | 0 | $0.00 | 33 | $3,948.96 | $1,972.62 | 36 | $7,549.48 |
| 8/98 | 0 | $0.00 | 43 | $10,499.09 | $4,049.96 | 45 | $18,256.71 |
| 9/98 | 0 | $0.00 | 49 | $17,161.86 | $9,048.84 | 47 | $21,615.50 |
| 10/98 | 0 | $0.00 | 28 | $11,084.28 | $8,544.03 | 30 | $10,281.84 |
| 11/98 | 0 | $0.00 | 28 | $12,495.53 | $7,220.19 | 29 | $18,505.71 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **ARCHIVED SET REPORT** |
| **PRINTED REPORT TITLE:** | Archived Set Report |
| **REPORT DESCRIPTION:** | This report provides the total number of sets in the History Database in Validate and Closed Status grouped by region and Initial Load Date as of the date the report is run. While the report contains columns for Open status and Pending status, these will always be 0% since sets in Open and Pending status are never archived to the History Database. The report also shows the percentage each total represents of the total number of sets counted. The fields displayed on the report are: Owner FI; Region; Initial Load Date; Number and Percentage of Open Sets; Number and Percentage of Pending Sets; Number and Percentage of Validate Sets; Number and Percentage of Closed Sets; and the Total Number of Sets. The report provides sub-totals for each contract region and grand totals for each contractor. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Status, Last Dates, Current Load Dates, Set Range (Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, FI, Region). |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/11/2002 **ARCHIVED SET REPORT** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL

| Initial Load Date | Open Sets | | Pending Sets | | Validate Sets | | Closed Sets | | Total Sets |
|---|---|---|---|---|---|---|---|---|---|
| **Undetermined Region** | | | | | | | | | |
| 5/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 1 | 100 % | 1 |
| 1/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 2 | 100 % | 2 |
| 2/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 5 | 100 % | 5 |
| 3/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 1 | 100 % | 1 |
| 4/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 1 | 100 % | 1 |
| **Sub Total** | **0** | 00 % | **0** | 00 % | **0** | 00 % | **10** | 100 % | **10** |
| **Region** | | | | | | | | | |
| 4/97 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 7556 | 100 % | 7556 |
| 6/97 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 282 | 100 % | 282 |
| 7/97 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 191 | 100 % | 191 |
| 8/97 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 170 | 100 % | 170 |
| 9/97 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 215 | 100 % | 215 |
| 10/97 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 150 | 100 % | 150 |
| 11/97 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 197 | 100 % | 197 |
| 12/97 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 193 | 100 % | 193 |
| 1/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 169 | 100 % | 169 |
| 2/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 205 | 100 % | 205 |
| 3/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 139 | 100 % | 139 |
| 4/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 202 | 100 % | 202 |
| 5/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 112 | 100 % | 112 |
| 6/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 208 | 100 % | 208 |
| 7/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 141 | 100 % | 141 |
| 8/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 133 | 100 % | 133 |
| 9/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 114 | 100 % | 114 |
| 10/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 130 | 100 % | 130 |
| 11/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 145 | 100 % | 145 |
| 12/98 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 145 | 100 % | 145 |
| 1/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 273 | 100 % | 273 |
| 2/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 204 | 100 % | 204 |
| 3/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 172 | 100 % | 172 |
| 4/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 168 | 100 % | 168 |
| 5/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 354 | 100 % | 354 |
| 7/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 176 | 100 % | 176 |
| 8/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 107 | 100 % | 107 |
| 9/99 | 0 | 00 % | 0 | 00 % | 0 | 00 % | 224 | 100 % | 224 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **REASON CODE REPORT** |
| **PRINTED REPORT TITLE:** | Reason Code Report |
| **REPORT DESCRIPTION:** | This report provides a list of actual duplicate and non-duplicate reason codes and the total number claims to which each code was assigned. The report counts actual duplicate claims ('Y' Duplicate Flag value) and non-duplicate claims ('N' Duplicate Flag value) in Pending, Validate and Closed sets. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Set Status (Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Indicator, Solicited Indicator, Exclude Base, PTC Dates, Set Level User Defined Code, Claim Level User Defined Code, Responsible FI, Region, and Risk Indicator. |
| **REPORT NOTES:** | The data used by this report format is claim level data. |

03/11/2002 **REASON CODE REPORT** Page 1

| | | |
|---|---|---|
| Status Codes | = | P or V or C |
| Adjusted Type | = | ALL |
| Set Owner Type | = | ALL |
| Claim Type | = | ALL |
| Match Type | = | ALL |
| FI Code | = | ALL |
| Region Code | = | ALL |
| Dupe Flag | = | ALL |
| Set Range | = | >=1,<=500 |
| Set User Code | = | ALL |
| Exclude Base | = | No |
| Solicited | = | ALL |
| Claim User Code | = | ALL |
| Covered Ind Code | = | ALL |
| Risk Ind Code | = | ALL |

| | | |
|---|---|---|
| BASE | Initial submission | 106 |
| D100 | Erroneous dupe edit override | 54 |
| D101 | Adjustment error | 1 |
| D102 | Assignment of benefits error | 9 |
| D104 | Stop Pay - Reissue | 4 |
| D200 | System failed to detect and suspend as a potential duplicate | 12 |
| D201 | Data conversion problem | 1 |
| D203 | Claims submitted by beneficiary and provider | 1 |
| D204 | Claims show different place of service | 1 |
| D207 | Multi-suffix claim - Suffix contains a duplicate payment | 1 |
| D300 | Jurisdictional error - (Multi-contractor set) | 6 |
| D900 | Other | 10 |
| N101 | Ambulance service - Separate transport | 3 |
| N105 | Additional services not previously billed | 2 |
| N108 | Technical or Facility component/Professional component | 1 |
| N112 | Multiple services rendered on the same day/same date range | 2 |
| N113 | Incorrect DEERS Dependent Suffix | 1 |
| N900 | Other | 2 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **REASON CODE BY INIT LOAD DATE** |
| **PRINTED REPORT TITLE:** | Reason Code Report by Initial Load Date |
| **REPORT DESCRIPTION:** | This report provides a list of actual duplicate and non-duplicate reason codes and the total number claims to which each code was assigned. The report counts actual duplicate claims ('Y' Duplicate Flag value) and non-duplicate claims ('N' Duplicate Flag value) in Pending, Validate and Closed sets. The report is grouped by Initial Load Date. |
| **REPORT PARAMETER OPTIONS:** | This report provides a list of actual duplicate and non-duplicate reason codes and the total number claims to which each code was assigned. The report counts actual duplicate claims ('Y' Duplicate Flag value) and non-duplicate claims ('N' Duplicate Flag value) in Pending, Validate and Closed sets. The report is grouped by Initial Load Date. |
| **REPORT NOTES:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Set Status (Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus Dupe Flag Indicator, Solicited Indicator, Exclude Base, PTC Dates, Set and Claim Level User Defined Codes, Responsible FI, and Region. |

03/11/2002 **REASON CODE REPORT BY INITIAL LOAD DATE** Page 1

| | | |
|---|---|---|
| Status Codes | = | P or V or C |
| Adjusted Type | = | ALL |
| Set Owner Type | = | ALL |
| Claim Type | = | ALL |
| Match Type | = | ALL |
| FI Code | = | ALL |
| Region Code | = | ALL |
| Dupe Flag | = | ALL |
| Set Range | = | >=1,<=500 |
| Set User Code | = | ALL |
| Exclude Base | = | No |
| Solicited | = | ALL |
| Claim User Code | = | ALL |
| Covered Ind Code | = | ALL |
| Risk Ind Code | = | ALL |

**Initial Load Date**
04/1997

| Reason Code | | Number of Claims |
|---|---|---|
| BASE | Initial submission | 106 |
| D100 | Erroneous dupe edit override | vb54 |
| D101 | Adjustment error | 1 |
| D102 | Assignment of benefits error | 9 |
| D104 | Stop Pay - Reissue | 4 |
| D200 | System failed to detect and suspend as a potential duplicate | 12 |
| D201 | Data conversion problem | 1 |
| D203 | Claims submitted by beneficiary and provider | 1 |
| D204 | Claims show different place of service | 1 |
| D207 | Multi-suffix claim - Suffix contains a duplicate payment | 1 |
| D300 | Jurisdictional error - (Multi-contractor set) | 6 |
| D900 | Other | 10 |
| N101 | Ambulance service - Separate transport | 3 |
| N105 | Additional services not previously billed | 2 |
| N108 | Technical or Facility component/Professional component | 1 |
| N112 | Multiple services rendered on the same day/same date range | 2 |
| N113 | Incorrect DEERS Dependent Suffix | 1 |
| N900 | Other | 2 |
| | Total | 217 |
| | Grand Total | 217 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **MODIFY FI** |
| **PRINTED REPORT TITLE:** | Changed Owner FI Sets |
| **REPORT DESCRIPTION:** | This report identifies multi-contractor sets which have had their ownership changed through the use of the "Modify FI" function on the system menu bar. It does not show multi-contractor sets which have had their ownership changed by the mass change process. The fields displayed on the report are: Set Number; Contractor; Changed Date; and User. The report shows each instance ownership of a multi-contractor set was changed; the name of the new owner contractor, the date ownership was changed, and the application User ID of the user who made the change. The first record listed for each set on the report shows the User as 'INITLOAD.' This means that the set was initially loaded by the system. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Set Owner Type and Last Date (Claim Set Status Adjustments, Claim Type, Match Type, Date Type, Set Range, FI, Region) plus PTC Dates, Exclude Base, and Set Range. |
| **REPORT NOTES:** | The data used by this report format is set level data.<br><br>Single-line entries with "INITLOAD" as the user may appear on this report. These single-line entries will appear for sets where a user has begun the process of changing (modifying) ownership of the multi-contractor set, enters the reason for making the change, presses the UPDATE CHANGES button, but decides to "rollback" the changes, i.e., does not complete changing the set's ownership. Such sets will be listed on this report as a single-line entry with "INITLOAD" as the user. |

03/11/2002

## CHANGED OWNER FI SETS

FOR PERIOD FROM POTENTIAL DUPLICATE SETS TO

Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Set Range = ALL

| Set Number | FI/Contractor | Changed Date | User |
|---|---|---|---|
| 544 | | 04/14/1997 | INITLOAD |
| 544 | | 05/05/1998 | OOD |
| 1757 | | 04/14/1997 | INITLOAD |
| 1757 | | 04/14/1997 | SYSTEM |
| 1757 | | 04/14/1997 | SYSTEM |
| 1757 | | 10/01/1998 | ROS |
| 2204 | | 04/14/1997 | INITLOAD |
| 2204 | | 04/14/1997 | SYSTEM |
| 2204 | | 04/14/1997 | SYSTEM |
| 2204 | | 01/14/1998 | NER |
| 2204 | | 03/01/1999 | OLE |
| 2204 | | 03/01/1999 | NER |
| 2352 | | 04/14/1997 | INITLOAD |
| 2352 | | 09/30/1998 | SYSTEM |
| 2352 | | 08/30/1999 | HAR |
| 2353 | | 04/14/1997 | INITLOAD |
| 2353 | | 09/30/1998 | SYSTEM |
| 2353 | | 08/30/1999 | HAR |
| 2354 | | 04/14/1997 | INITLOAD |
| 2354 | | 09/30/1998 | SYSTEM |
| 2354 | | 08/30/1999 | HAR |
| 2355 | | 04/14/1997 | INITLOAD |
| 2355 | | 09/30/1998 | SYSTEM |
| 2355 | | 08/30/1999 | HAR |
| 2356 | | 04/14/1997 | INITLOAD |
| 2356 | | 09/30/1998 | SYSTEM |
| 2356 | | 08/30/1999 | HAR |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **SET STATUS COUNT HISTORY** |
| **PRINTED REPORT TITLE:** | Set Status Count History |
| **REPORT DESCRIPTION:** | This report provides a count and percentage of sets within each status as of a date or range of dates grouped by contract. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: Responsible FI, Region, and As of Dates. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/11/2002 **SET STATUS COUNT HISTORY** 9

**FOR PERIOD FROM 1/1/2001 TO 12/31/2001**

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL
As of = ALL

**FI :**

**Undetermined Region**

| ON | OPEN SETS | % | PENDING SETS | % | VALIDATE SETS | % | CLOSED SETS | % | TOTAL | % |
|---|---|---|---|---|---|---|---|---|---|---|
| 12/30/2001 | 2 | 0.9 % | 58 | 27 % | 33 | 15.3 % | 122 | 56.7 % | 215 | 100 % |
| 12/30/2001 | 2 | 0.9 % | 58 | 27 % | 33 | 15.3 % | 122 | 56.7 % | 215 | 100 % |

**Region**

| ON | OPEN SETS | % | PENDING SETS | % | VALIDATE SETS | % | CLOSED SETS | % | TOTAL | % |
|---|---|---|---|---|---|---|---|---|---|---|
| 01/01/2001 | 0 | 00 % | 8277 | 27.4 % | 2532 | 8.4 % | 19420 | 64.2 % | 30229 | 100 % |
| 01/02/2001 | 0 | 00 % | 8277 | 27.4 % | 2532 | 8.4 % | 19420 | 64.2 % | 30229 | 100 % |
| 01/03/2001 | 0 | 00 % | 8277 | 27.4 % | 2532 | 8.4 % | 19420 | 64.2 % | 30229 | 100 % |
| 01/04/2001 | 744 | 2.5 % | 8271 | 27.6 % | 2529 | 8.4 % | 18466 | 61.5 % | 30010 | 100 % |
| 01/05/2001 | 746 | 2.5 % | 8273 | 27.6 % | 2530 | 8.4 % | 18468 | 61.5 % | 30017 | 100 % |
| 01/06/2001 | 743 | 2.5 % | 8273 | 27.6 % | 2530 | 8.4 % | 18468 | 61.5 % | 30014 | 100 % |
| 01/07/2001 | 743 | 2.5 % | 8273 | 27.6 % | 2530 | 8.4 % | 18468 | 61.5 % | 30014 | 100 % |
| 01/08/2001 | 743 | 2.5 % | 8273 | 27.6 % | 2530 | 8.4 % | 18468 | 61.5 % | 30014 | 100 % |
| 01/09/2001 | 743 | 2.5 % | 8273 | 27.6 % | 2530 | 8.4 % | 18468 | 61.5 % | 30014 | 100 % |
| 01/10/2001 | 743 | 2.5 % | 8273 | 27.6 % | 2530 | 8.4 % | 18468 | 61.5 % | 30014 | 100 % |
| 01/11/2001 | 584 | 1.9 % | 8340 | 27.8 % | 2539 | 8.5 % | 18557 | 61.8 % | 30020 | 100 % |
| 01/12/2001 | 565 | 1.9 % | 8349 | 27.8 % | 2539 | 8.5 % | 18567 | 61.8 % | 30020 | 100 % |
| 01/13/2001 | 565 | 1.9 % | 8346 | 27.8 % | 2539 | 8.5 % | 18571 | 61.9 % | 30021 | 100 % |
| 01/14/2001 | 565 | 1.9 % | 8346 | 27.8 % | 2539 | 8.5 % | 18571 | 61.9 % | 30021 | 100 % |
| 01/15/2001 | 565 | 1.9 % | 8346 | 27.8 % | 2539 | 8.5 % | 18571 | 61.9 % | 30021 | 100 % |
| 01/16/2001 | 565 | 1.9 % | 8346 | 27.8 % | 2539 | 8.5 % | 18571 | 61.9 % | 30021 | 100 % |
| 01/17/2001 | 555 | 1.8 % | 8351 | 27.8 % | 2539 | 8.5 % | 18574 | 61.9 % | 30019 | 100 % |
| 01/18/2001 | 392 | 1.3 % | 8397 | 28 % | 2551 | 8.5 % | 18579 | 62.2 % | 30019 | 100 % |
| 01/19/2001 | 273 | 0.9 % | 8451 | 28.2 % | 2561 | 8.5 % | 18733 | 62.4 % | 30018 | 100 % |
| 01/20/2001 | 52 | 0.2 % | 8650 | 28.8 % | 2563 | 8.5 % | 18753 | 62.5 % | 30018 | 100 % |
| 01/11/2001 | 52 | 0.2 % | 8650 | 28.8 % | 2563 | 8.5 % | 18753 | 62.5 % | 30018 | 100 % |
| 01/22/2001 | 52 | 0.2 % | 8650 | 28.8 % | 2563 | 8.5 % | 18753 | 62.5 % | 30018 | 100 % |
| 01/23/2001 | 22 | 0.1 % | 8669 | 28.9 % | 2564 | 8.5 % | 18766 | 62.5 % | 30021 | 100 % |
| 01/24/2001 | 17 | 0.1 % | 8672 | 28.9 % | 2564 | 8.5 % | 18767 | 62.5 % | 30020 | 100 % |
| 01/25/2001 | 16 | 0.1 % | 8673 | 28.9 % | 2564 | 8.5 % | 18767 | 62.5 % | 30020 | 100 % |
| 01/26/2001 | 16 | 0.1 % | 8673 | 28.9 % | 2564 | 8.5 % | 18767 | 62.5 % | 30020 | 100 % |
| 01/27/2001 | 16 | 0.1 % | 8652 | 28.8 % | 2567 | 8.6 % | 18785 | 62.6 % | 30020 | 100 % |
| 01/28/2001 | 16 | 0.1 % | 8652 | 28.8 % | 2567 | 8.6 % | 18785 | 62.6 % | 30020 | 100 % |
| 01/29/2001 | 16 | 0.1 % | 8652 | 28.8 % | 2567 | 8.6 % | 18785 | 62.6 % | 30020 | 100 % |
| 01/30/2001 | 16 | 0.1 % | 8615 | 28.7 % | 2569 | 8.6 % | 18820 | 62.7 % | 30020 | 100 % |
| 01/31/2001 | 15 | 0 % | 8607 | 28.7 % | 2570 | 8.6 % | 18837 | 62.7 % | 30029 | 100 % |
| 02/01/2001 | 15 | 0 % | 8607 | 28.7 % | 2570 | 8.6 % | 18837 | 62.7 % | 30029 | 100 % |
| 02/02/2001 | 17 | 0.1 % | 8498 | 28.3 % | 2570 | 8.6 % | 18944 | 63.1 % | 30029 | 100 % |
| 02/03/2001 | 675 | 2.3 % | 8458 | 28.9 % | 2573 | 8.8 % | 17537 | 60 % | 29243 | 100 % |
| 02/04/2001 | 675 | 2.3 % | 8458 | 28.9 % | 2573 | 8.8 % | 17537 | 60 % | 29243 | 100 % |
| 02/05/2001 | 675 | 2.3 % | 8458 | 28.9 % | 2573 | 8.8 % | 17537 | 60 % | 29243 | 100 % |
| 02/06/2001 | 675 | 2.3 % | 8438 | 28.9 % | 2574 | 8.8 % | 17556 | 60 % | 29243 | 100 % |
| 02/07/2001 | 672 | 2.3 % | 8424 | 28.8 % | 2575 | 8.8 % | 17579 | 60.1 % | 29250 | 100 % |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **PERFORMANCE STANDARD** |
| **PRINTED REPORT TITLE:** | Performance Standard |
| **REPORT DESCRIPTION:** | This report will be used to measure contractor compliance with the performance standard. The performance standard requires that no more than 10 percent of the sets remaining in OPEN status at the end of a month shall have load dates over 30 days old. The report shows the Reporting Month; Beginning Inventory; Receipts; Monthly Inventory; the total number of sets "Moved" during the reporting month; the total number of sets Moved within 30 days of set load dates; Ending Inventory; the total number of sets in Ending Inventory Over 30 Days old; the Percent Moved Within 30 Days; and the Percent Remaining Over 30 Days. The data is grouped by contract. |

**FIELD DESCRIPTIONS**

| Field Name | Definition |
|---|---|
| Reporting Month: | The month and year for which the statistics are applicable. |
| Beginning Inventory: | The total number of sets in OPEN status at the beginning of the reporting month. |
| Receipts: | The total number of new sets loaded or the number of sets which changed to OPEN status during the reporting month. |
| Monthly Inventory: | The sum of the Beginning Inventory and Receipts. |
| Moved: | The total number of sets moved for OPEN status to PENDING, VALIDATE, or CLOSED status during the reporting month. |
| Moved Within 30 Days: | Of those sets moved during the reporting month, the number moved within 30 days of their load date. |
| Ending Inventory: | The Monthly Inventory minus the number Moved. The result is the total number of remaining sets in OPEN status. |
| Ending Inventory Over 30 Days: | The total number of claim sets remaining in OPEN status with load dates over 30 days old. |
| % Moved In 30 Days: | Of those sets moved, the percentage moved within 30 days of their load date. |
| % Remaining Over 30 Days: | The percentage of claim sets remaining in OPEN status with load dates over 30 days old. |

| | |
|---|---|
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: a single reporting month or a range of reporting months; one or all FIs; one, several or all regions within selected FIs. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

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## PERFORMANCE STANDARD

Refer to report description in TRICARE Systems Manual for field definitions)

**FOR PERIOD FROM 01/2001 TO 12/2001**

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL

**Undetermined Region**

| Reporting Month | Beginning Inventory | Receipts | Monthly Inventory | Moved | Moved within 30 Days | Ending Inventory | Ending Inventory Over 30 Days | % Moved in 30 Days | **% Remaining Over 30 Days** |
|---|---|---|---|---|---|---|---|---|---|
| 01/2001 | 15 | 23 | 38 | 29 | 21 | 9 | 8 | 72.4 % | **88.9 %** |
| 02/2001 | 9 | 16 | 25 | 10 | 10 | 15 | 0 | 100.0 % | **00.0 %** |
| 03/2001 | 15 | 30 | 45 | 45 | 34 | 0 | 0 | 75.6 % | **000.0 %** |
| 04/2001 | 0 | 23 | 23 | 6 | 6 | 17 | 0 | 100.0 % | **00.0 %** |
| 05/2001 | 17 | 26 | 43 | 39 | 38 | 4 | 1 | 97.4 % | **25.0 %** |
| 06/2001 | 4 | 31 | 35 | 35 | 35 | 0 | 0 | 100.0 % | **000.0 %** |
| 07/2001 | 0 | 2 | 2 | 1 | 1 | 1 | 0 | 100.0 % | **00.0 %** |
| 08/2001 | 1 | 6 | 7 | 5 | 5 | 2 | 2 | 100.0 % | **100.0 %** |
| 09/2001 | 2 | 17 | 19 | 19 | 19 | 0 | 0 | 100.0 % | **000.0 %** |
| 10/2001 | 0 | 5 | 5 | 5 | 5 | 0 | 0 | 100.0 % | **000.0 %** |
| 11/2001 | 0 | 7 | 7 | 2 | 2 | 5 | 0 | 100.0 % | **00.0 %** |
| 12/2001 | 5 | 11 | 16 | 5 | 5 | 11 | 0 | 100.0 % | **00.0 %** |

**Region**

| Reporting Month | Beginning Inventory | Receipts | Monthly Inventory | Moved | Moved within 30 Days | Ending Inventory | Ending Inventory Over 30 Days | % Moved in 30 Days | **% Remaining Over 30 Days** |
|---|---|---|---|---|---|---|---|---|---|
| 01/2001 | 203 | 609 | 812 | 620 | 438 | 192 | 16 | 70.6 % | **8.3 %** |
| 02/2001 | 192 | 562 | 754 | 304 | 206 | 450 | 19 | 67.8 % | **4.2 %** |
| 03/2001 | 450 | 587 | 1037 | 625 | 484 | 399 | 15 | 77.4 % | **3.8 %** |
| 04/2001 | 399 | 585 | 984 | 836 | 796 | 148 | 0 | 95.2 % | **00.0 %** |
| 05/2001 | 148 | 680 | 828 | 826 | 793 | 2 | 0 | 96.0 % | **00.0 %** |
| 06/2001 | 2 | 711 | 713 | 365 | 364 | 348 | 0 | 99.7 % | **00.0 %** |
| 07/2001 | 348 | 693 | 1041 | 1017 | 925 | 24 | 4 | 91.0 % | **16.7 %** |
| 08/2001 | 24 | 586 | 610 | 606 | 588 | 4 | 1 | 97.0 % | **25.0 %** |
| 09/2001 | 4 | 687 | 691 | 657 | 654 | 34 | 1 | 99.5 % | **2.9 %** |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **DOLLAR TOTALS** |
| **PRINTED REPORT TITLE:** | Dollar Totals |
| **REPORT DESCRIPTION:** | This report summarizes the total dollars identified for recoupment and actually recouped, as well as the total allowed amount of the applicable adjustments by Regional contract. The report reflects the total dollars on the system at the time the report is run. The fields displayed on the report are: Owner FI; Region; Total Amount Identified For Recoupment; Total Amount Actually Recouped; and the Total Allowed Amount of the Associated Adjustments. The totals reflect only those sets in Pending, Validate, or Closed status. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Set Status and Claim Type (Adjustments, Set Owner Type, Match Type, Date Type, Set Range, FI, Region) plus Set Range, Set and Claim Level User Defined Codes, Responsible FI and Region. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/11/2002 **DOLLAR TOTALS** Page 1

Status Codes = P or V or C
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code = ALL
Region Code = ALL
Set Range = >=1,<=500
Set User Code = ALL

| | Total Amount Identified for Recoupment | Total Amount Actually Recouped | Total Allowed Amount of the Associated Adjustments |
|---|---|---|---|
| **Region** | $8,431.50 | $0.00 | $0.00 |
| **Totals** | $8,431.50 | $0.00 | $0.00 |

| | Total Amount Identified for Recoupment | Total Amount Actually Recouped | Total Allowed Amount of the Associated Adjustments |
|---|---|---|---|
| **Region** | $12,505.21 | $9,386.82 | $2,451.00 |
| **Totals** | $12,505.21 | $9,386.82 | $2,451.00 |

| | Total Amount Identified for Recoupment | Total Amount Actually Recouped | Total Allowed Amount of the Associated Adjustments |
|---|---|---|---|
| **Region** | $538.57 | $440.00 | $0.00 |
| **Totals** | $538.57 | $440.00 | $0.00 |

| | Total Amount Identified for Recoupment | Total Amount Actually Recouped | Total Allowed Amount of the Associated Adjustments |
|---|---|---|---|
| **Region** | $877.07 | $0.00 | $296.00 |
| **Totals** | $877.07 | $0.00 | $296.00 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **LOAD DATE REPORT** |
| **PRINTED REPORT TITLE:** | Initial Load Date Report |
| **REPORT DESCRIPTION:** | This report provides a listing of set initial load dates grouped by contract. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Set Range (Claim Set Status, Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, FI, Region). |
| **REPORT NOTES:** | The data used by this report format is set level data. |

03/11/2002 **INITIAL LOAD DATE REPORT** Page 1

Status Codes = ALL
Adjusted Type = ALL
Set Owner Type = ALL
Claim Type = ALL
Match Type = ALL
FI Code =
Region Code = ALL

**Undetermined Region**

| Initial Load Date | Number of Sets |
|---|---|
| 11/10/1998 | 1 |
| 08/31/2000 | 1 |
| 06/06/2001 | 1 |
| 08/02/2001 | 1 |
| 12/03/2001 | 1 |
| 02/12/2002 | 1 |
| 03/04/2002 | 1 |
| Region Total | 7 |

| Initial Load Date | Number of Sets |
|---|---|
| 04/14/1997 | 2 |
| 04/15/1997 | 3 |
| 04/16/1997 | 15 |
| 04/17/1997 | 12 |
| 04/18/1997 | 18 |
| 04/21/1997 | 4 |
| 04/23/1997 | 10 |
| 06/05/1997 | 28 |
| 06/30/1997 | 41 |
| 07/30/1997 | 62 |
| 08/22/1997 | 72 |
| 09/08/1997 | 67 |
| 10/02/1997 | 72 |
| 11/05/1997 | 47 |
| 12/17/1997 | 41 |
| 01/02/1998 | 42 |
| 02/03/1998 | 30 |
| 03/02/1998 | 53 |
| 04/03/1998 | 35 |
| 05/04/1998 | 53 |
| 06/03/1998 | 58 |
| 07/02/1998 | 47 |
| 08/06/1998 | 64 |
| 09/08/1998 | 75 |
| 10/05/1998 | 84 |
| 11/10/1998 | 71 |
| 12/14/1998 | 55 |
| 01/06/1999 | 100 |
| 02/01/1999 | 114 |
| 03/02/1999 | 52 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **USER ACTIVITY DETAIL** |
| **PRINTED REPORT TITLE:** | User Activity Detail Report |
| **REPORT DESCRIPTION:** | This report provides a listing of transaction dates and times and associated User IDs grouped by set number. The report shows changes in Set Status; FI; User ID; Amount Identified For Recoupment; Amount Actually Recouped; and the Adjustment Amount. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: Set Range, Transaction Dates, and User IDs. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

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Set Range = >=1,<=500
User Id's =

## USER ACTIVITY DETAIL REPORT



| SET # | STAT | FI | REGION | INIT LOAD DATE | TRANSACTION DATE/TIME | USER | ID'D RECOUP | ACTUAL RECOUP | ADJUSTED AMOUNT |
|---|---|---|---|---|---|---|---|---|---|
| 17 | O | | | | 4/14/97 04:35:52 PM | CLAIMADD | $0.00 | $0.00 | $0.00 |
| 17 | O | | | | 4/14/97 04:35:52 PM | CLAIMADD | $0.00 | $0.00 | $0.00 |
| 17 | O | | Region | | 4/14/97 04:57:51 PM | SYSTEM | $0.00 | $0.00 | $0.00 |
| 17 | O | | Region | | 10/27/97 01:26:01 PM | AIT | $0.00 | $0.00 | ($748.00) |
| 17 | O | | Region | | 2/9/98 09:39:39 AM | OLE | $0.00 | $0.00 | $0.00 |
| 17 | O | | Region | | 6/24/97 08:35:08 AM | OBB | $0.00 | $0.00 | ($748.00) |
| 17 | O | | Region | | 8/4/98 05:00:59 AM | OLE | $0.00 | $0.00 | $0.00 |
| 17 | P | | Region | | 11/5/98 07:42:45 AM | OLE | $100.00 | $10.01 | $0.00 |
| 17 | O | | Region | | 11/5/98 08:44:41 AM | OLE | $0.00 | $0.00 | $0.00 |
| 17 | P | | Region | | 11/9/98 08:21:16 AM | KOS | $50.00 | $0.00 | $0.00 |
| 17 | O | | Region | | 11/9/98 08:21:46 AM | KOS | $0.00 | $0.00 | $0.00 |
| 17 | P | | Region | | 2/8/99 08:01:42 AM | OLE | $3,808.00 | $0.00 | $0.00 |
| 17 | O | | Region | | 6/21/99 12:28:08 PM | OBB | $3,808.00 | $0.00 | $0.00 |
| 17 | P | | Region | | 6/21/99 02:43:55 PM | LAC | $3,808.00 | $0.00 | $0.00 |
| 17 | P | | Region | | 10/26/99 12:50:35 PM | OBB | $3,808.00 | $0.00 | ($748.00) |
| 17 | P | | Region | | 11/1/99 08:05:08 AM | OBB | $3,808.00 | $0.00 | $0.00 |
| 17 | O | | Region | | 11/19/99 07:08:02 AM | DAM | $3,808.00 | $0.00 | $0.00 |
| 17 | P | | Region | | 11/29/99 09:00:04 AM | LAC | $3,808.00 | $0.00 | $0.00 |
| 17 | C | | Region | | 4/2/01 07:16:23 AM | OBB | $0.00 | $0.00 | $0.00 |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Summary/Management Report |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **USER ACTIVITY SUMMARY** |
| **PRINTED REPORT TITLE:** | User Activity Summary Report |
| **REPORT DESCRIPTION:** | This report provides a summary of the transactions performed by individual user ID. The report provides the total number of updates performed by a USER ID, the total number of sets updated by that USER ID, and the net change in status in the following categories: sets moved from OPEN to PENDING and PENDING to OPEN; OPEN to VALIDATE and VALIDATE to OPEN; OPEN to CLOSED and CLOSED to OPEN; PENDING to CLOSED and CLOSED to PENDING; PENDING to VALIDATE and VALIDATE to PENDING; and VALIDATE to CLOSED and CLOSED to VALIDATE. This report can provide management with a summary view of user activity by individual USER ID. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: Set Range, Transaction Dates, and User IDs. |
| **REPORT NOTES:** | The data used by this report format is set level data. |

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Page 1

Set Range = >=1,<=500
User Id's =

## USER ACTIVITY SUMMARY REPORT

| USER | TOTAL UPDATES | SETS UPDATED | NET CHANGE IN STATUS FROM: | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | | O-P | O-V | O-C | P-C | P-V | V-C |
| | 1 | 1 | | | | | | |
| GUI | 2 | 1 | 0 | | | | | |
| DAM | 1 | 1 | -1 | | | | | |
| AIT | 1 | 1 | | | | | | |
| LAC | 4 | 3 | 2 | | | 2 | | |
| OBE | 15 | 7 | -2 | | 1 | 4 | 1 | |
| OLE | 14 | 9 | 9 | | | | | |
| KOS | 21 | 4 | 0 | | | 0 | | |
| NDE | 1 | 1 | | | | | | |
| RKO | 4 | 4 | 4 | | | | | |
| OYD | 8 | 6 | 0 | | | | 1 | |
| AND | 3 | 3 | | | | | | |
| ARD | 9 | 3 | 3 | | | | | |
| ITT | 5 | 5 | 5 | | | | | |
| CAL | 4 | 3 | 2 | | | | | |
| CCA | 4 | 2 | -1 | | | | | |
| UTL | 4 | 1 | 0 | | | | | |
| AMS | 2 | 2 | -2 | | | | | |
| AMU | 1 | 1 | | | | | | |
| HAR | 2 | 2 | 2 | | | | | |
| MIT | 10 | 9 | 9 | | | 1 | | |
| TET | 2 | 1 | 0 | | | | | |
| HOM | 8 | 6 | 5 | | 1 | -1 | | |
| REP | 111 | 4 | 3 | -1 | -1 | 1 | 1 | |
| ELD | 53 | 46 | 28 | 18 | | | | |
| EAT | 7 | 5 | 4 | | | | 1 | |
| OOD | 3 | 3 | | | | | | |
| EWN | 14 | 12 | | | | | 2 | |
| ARS | 1 | 1 | | | | | | |
| ORN | 1 | 1 | | 1 | | | | |
| UNE | 17 | 7 | 4 | 1 | | | -2 | |
| MIL | 1 | 1 | | | | | | |
| NAT | 4 | 3 | 2 | | 1 | | 1 | |

**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Graphs |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ GRAPHS ⇒ **ACTUAL VS. POTENTIAL** |
| **PRINTED REPORT TITLE:** | Total Actual Duplicates as a Percentage of Total Potential Duplicates |
| **REPORT DESCRIPTION:** | This report provides the user with a graph which shows the total number of distinct actual duplicate claims ('Y' Duplicate Flag values in Pending, Validate, or Closed status) as a percentage of the total number of distinct potential duplicates in the system (all non-base claims). The data displayed is grouped by region. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: All "Standard" parameters minus Claim Set Status and Set Range (Adjustments, Set Owner Type, Claim Type, Match Type, Date Type, FI, Region). |
| **REPORT NOTES:** | The data used by this report format is set level data.<br><br>If a claim appears in more than one set and the sets are owned by different regions, the claim will be counted once for each region. |

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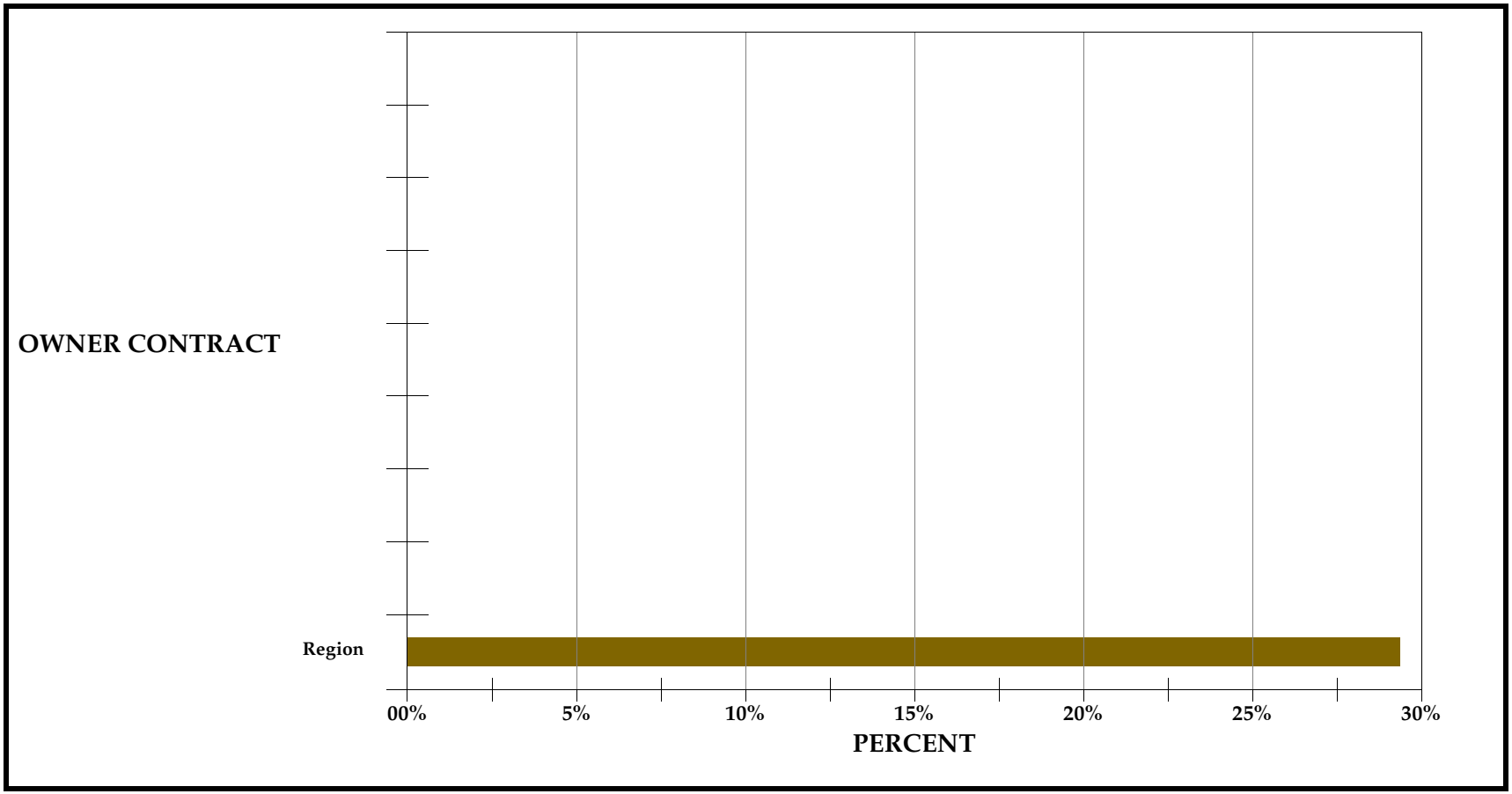
## TOTAL ACTUAL DUPLICATES AS A PERCENTAGE OF TOTAL POTENTIAL DUPLICATES

Page 1

| | | |
|---|---|---|
| Status Codes | = | ALL |
| Adjusted Type | = | ALL |
| Set Owner Type | = | ALL |
| Claim Type | = | ALL |
| Duplicate Type | = | ALL |
| FI Code | = | |
| Region Code | = | ALL |




**NOTICE: All data appearing in this system is subject to the Privacy Act of 1974 and Public Law 93-979.**

| | |
|---|---|
| **REPORT CATEGORY:** | Graphs |
| **REPORT MENU HIERARCHY AND FORMAT NAME:** | REPORT ⇒ GRAPHS ⇒ **USER ACTIVITY SUMMARY** |
| **PRINTED REPORT TITLE:** | User Activity Summary |
| **REPORT DESCRIPTION:** | This report provides the user with a graphical representation of the data in the User Activity Detail Report. The graph provides a summary of the transactions performed by individual user ID. The report provides the total number of updates performed by a USER ID, the total number of sets updated by that USER ID, and the net change in status in the following categories: sets moved from OPEN to PENDING and PENDING to OPEN; OPEN to VALIDATE and VALIDATE to OPEN; OPEN to CLOSED and CLOSED to OPEN; PENDING to CLOSED and CLOSED to PENDING; PENDING to VALIDATE and VALIDATE to PENDING; and VALIDATE to CLOSED and CLOSED to VALIDATE. This report can provide management with a summary view of user activity by individual USER ID. |
| **REPORT PARAMETER OPTIONS:** | Users may customize the report by selecting: Set Range, Transaction Dates, and User IDs. |
| **REPORT NOTES:** | The data used by this report format is set level data.<br><br>This report is best viewed on screen or printed to a color printer. |

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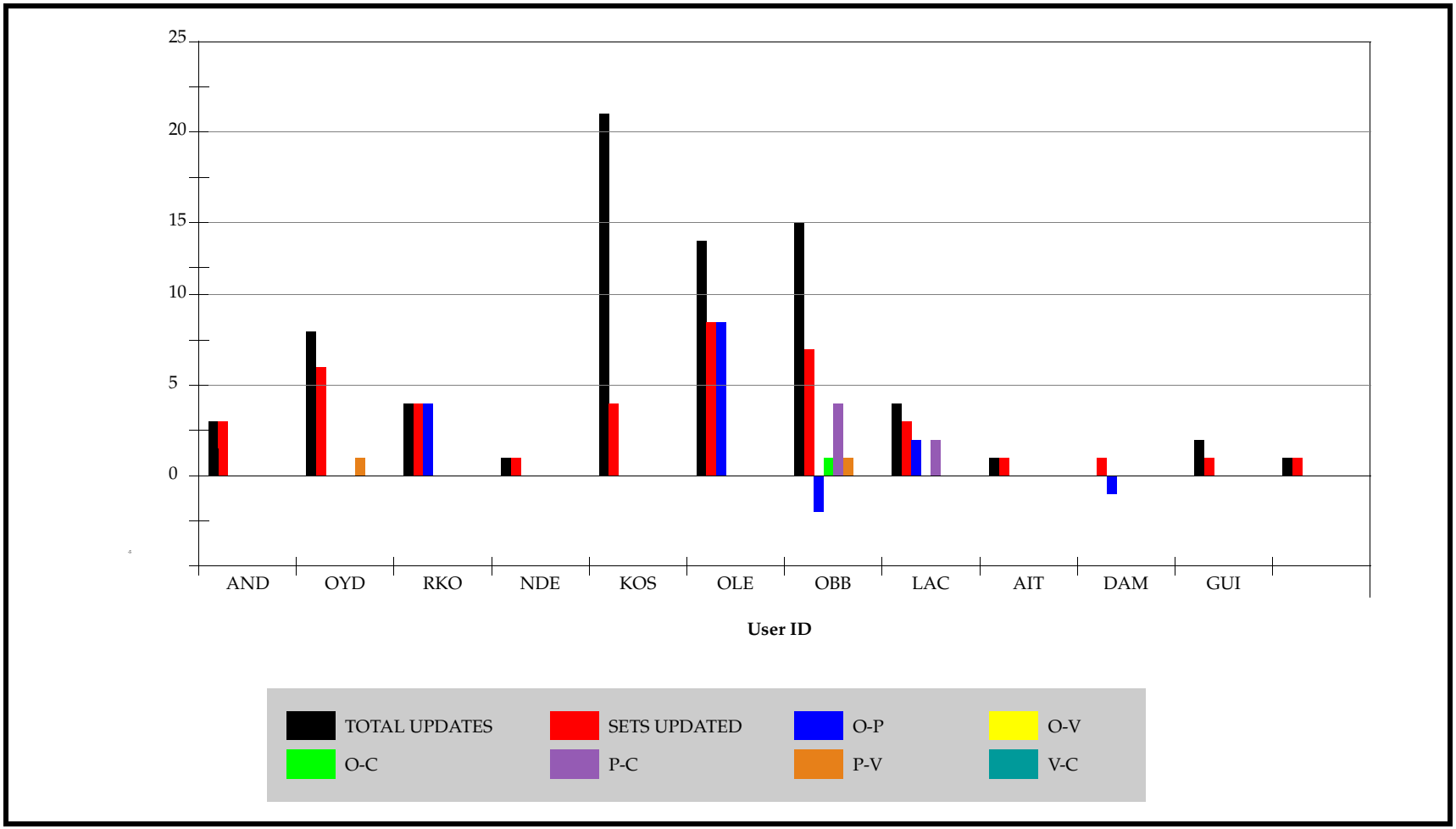
Set Range = >=1,<=500
User Id's =

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