

## REPORT DESCRIPTIONS AND EXAMPLES

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### REPORT CATEGORY:

Set Report

### REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ SET REPORTS ⇒ **BASIC**

### PRINTED REPORT TITLE:

Duplicate Claim System Sets

### REPORT DESCRIPTION:

This report provides set-level information regarding all of the sets loaded in the Duplicate Claim System. The fields displayed on the report are: Set Number; Institutional/Non-Institutional Indicator; Status; Set Match Type; Owner FI; Region; Load Date; Last Update Date; Multi-Contractor Set? (Y/N); Adjustments? (Y/N); Total Amount Identified For Recoupment; Total Amount Actually Recouped; and Total HCSR Adjustment Allowed Amount.

### REPORT PARAMETER OPTIONS:

Users may Customize the report by selecting: all sets, a range of sets, or a single set; status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

### REPORT NOTES:

The data used by this report format is set level data.

DUPLICATE CLAIM SYSTEM SETS

08/30/96  
Status Codes = ALL  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Match Type = ALL  
FI Code = 38  
Region Code = ALL  
Set Range = >=1,<=300

**Palmetto GBA**

Undetermined Region  
NON-INSTITUTIONAL

| SET # | STATUS | MATCH TYPE | MULTI FI? | OWNER FI | REGION | LOAD DATE  | LAST UPDATE DATE | ADJ? | ID RECOUP | ACTUAL RECOUP | ADJUSTMENT AMOUNT |
|-------|--------|------------|-----------|----------|--------|------------|------------------|------|-----------|---------------|-------------------|
| 282   | V      | E          | Y         | 38       |        | 07/01/1996 | 07/01/1996       | N    | \$500.00  | \$0.00        | \$0.00            |

**Mid-AL--88D0006**  
INSTITUTIONAL

| SET # | STATUS | MATCH TYPE | MULTI FI? | OWNER FI | REGION  | LOAD DATE  | LAST UPDATE DATE | ADJ? | ID RECOUP | ACTUAL RECOUP | ADJUSTMENT AMOUNT |
|-------|--------|------------|-----------|----------|---------|------------|------------------|------|-----------|---------------|-------------------|
| 32    | P      | E          | N         | 38       | 88D0006 | 05/22/1996 | 08/06/1996       | Y    | \$445.91  | \$0.00        | \$1,463.54        |
| 76    | O      | D          | N         | 38       | 88D0006 | 03/12/1996 | 03/12/1996       | N    | \$0.00    | \$0.00        | \$0.00            |

NON-INSTITUTIONAL

| SET # | STATUS | MATCH TYPE | MULTI FI? | OWNER FI | REGION  | LOAD DATE  | LAST UPDATE DATE | ADJ? | ID RECOUP | ACTUAL RECOUP | ADJUSTMENT AMOUNT |
|-------|--------|------------|-----------|----------|---------|------------|------------------|------|-----------|---------------|-------------------|
| 66    | O      | O          | N         | 38       | 88D0006 | 03/12/1996 | 03/12/1996       | N    | \$0.00    | \$0.00        | \$0.00            |

**Western**  
INSTITUTIONAL

| SET # | STATUS | MATCH TYPE | MULTI FI? | OWNER FI | REGION  | LOAD DATE  | LAST UPDATE DATE | ADJ? | ID RECOUP  | ACTUAL RECOUP | ADJUSTMENT AMOUNT |
|-------|--------|------------|-----------|----------|---------|------------|------------------|------|------------|---------------|-------------------|
| 2     | O      | O          | N         | 38       | 89D0002 | 03/12/1996 | 08/14/1996       | N    | \$10.15    | \$5,000.00    | \$0.00            |
| 3     | C      | E          | N         | 38       | 89D0002 | 03/12/1996 | 08/21/1996       | Y    | \$1,214.81 | \$1,214.81    | \$1,214.81        |
| 4     | O      | O          | N         | 38       | 89D0002 | 03/12/1996 | 03/24/1996       | N    | \$0.00     | \$0.00        | \$0.00            |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

**REPORT CATEGORY:**

Set Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ SET REPORTS ⇒ **USER LOG REPORT****PRINTED REPORT TITLE:**

User Log Grouped By Set Number

**REPORT DESCRIPTION:**

This report identifies the users who made changes to a set and the dates on which the changes occurred. The fields displayed on the report are: Set Number; Status; Owner FI; Region; Load Date; Update Date; User ID; Total Amount Identified For Recoupment; Total Amount Actually Recouped; and Total HCSR Adjustment Allowed Amount. The report will identify all of the sets meeting the criteria selected on the report parameter screen and list all of the changes made to those sets along with the associated User Ids. The system detects changes to: the status of a set; the Owner FI; the Region; and the three total dollar amount fields. Whenever a change to one or more of these fields occurs, a “log” record is created and will appear on this report along with the User ID associated with the change(s). The report will not show log entries generated as a result of: sets created during the monthly load process; sets to which claims have been added during the monthly load process; or sets that have been archived out of the active database to history. Users may see entries with an “SA” or “DBO” as the User ID. “SA” stands for System Administrator and “DBO” stands for Database Owner. These User Ids may appear when the system makes a change to a set. The report groups the data by Set Number in ascending order.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: all sets, a range of sets, or a single set; all User Ids or a single User ID; status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is set level data. Data used by this report format is: first, identified by the criteria selected on the Report Parameter Screen; second, by any set number range indicated on the User ID / Set Number Parameter Screen; and third, by any User ID indicated on the User ID / Set Number Parameter Screen.

**USER LOG GROUPED BY SET NUMBER**  
(TRANSACTION HISTORY)

Friday, August 30, 1996

Status Codes = ALL  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Duplicate Type = ALL  
FI Code = 38  
Region Code = ALL  
User ID = ALL  
Set Number Range = ALL

| Set Number | Status | Owner FI | Region       | Load Date  | Update Date | User_Id | Total Amount Ident Recoup | Total Amount Actual Recoup | Total Allowed HCSR Adj |
|------------|--------|----------|--------------|------------|-------------|---------|---------------------------|----------------------------|------------------------|
| 2          | O      | 38       | Undetermined | 05/28/1996 | 03/13/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 03/13/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 03/13/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | P      | 38       | Undetermined | 05/28/1996 | 03/13/1996  | dbo     | \$510.00                  | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 03/13/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 03/13/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 04/03/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 04/04/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 04/04/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 04/04/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 04/04/1996  | dbo     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | P      | 38       | Undetermined | 05/28/1996 | 05/24/1996  | KOS     | \$300.00                  | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Undetermined | 05/28/1996 | 05/24/1996  | KOS     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Western      | 03/12/1996 | 05/29/1996  | BCSC    | \$10.00                   | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Western      | 03/12/1996 | 05/31/1996  | BCSC    | \$50.00                   | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Western      | 03/12/1996 | 06/05/1996  | BCSC    | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Western      | 03/12/1996 | 07/01/1996  | REP     | \$50.00                   | \$0.00                     | \$0.00                 |
| 2          | P      | 38       | Western      | 03/12/1996 | 07/01/1996  | REP     | \$50.00                   | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Western      | 03/12/1996 | 07/01/1996  | REP     | \$0.00                    | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Western      | 03/12/1996 | 08/14/1996  | REP     | \$10.00                   | \$0.00                     | \$0.00                 |
| 2          | O      | 38       | Western      | 03/12/1996 | 08/14/1996  | REP     | \$10.15                   | \$560.00                   | \$0.00                 |
| 2          | O      | 38       | Western      | 03/12/1996 | 08/14/1996  | REP     | \$10.15                   | \$5,000.00                 | \$0.00                 |
| 3          | P      | 38       | Undetermined | 05/28/1996 | 03/20/1996  | kostep  | \$1,214.81                | \$0.00                     | \$0.00                 |
| 3          | P      | 38       | Undetermined | 05/28/1996 | 03/20/1996  | kostep  | \$1,214.81                | \$1,214.81                 | \$0.00                 |
| 3          | P      | 38       | Undetermined | 05/28/1996 | 03/20/1996  | kostep  | \$1,214.81                | \$1,214.81                 | \$0.00                 |
| 3          | C      | 38       | Undetermined | 05/28/1996 | 03/20/1996  | kostep  | \$1,214.81                | \$1,214.81                 | \$0.00                 |
| 3          | O      | 38       | Undetermined | 05/28/1996 | 03/24/1996  | fosters | \$0.00                    | \$1,214.81                 | \$0.00                 |
| 3          | P      | 38       | Undetermined | 05/28/1996 | 03/25/1996  | fosters | \$155.00                  | \$0.00                     | \$0.00                 |
| 3          | C      | 38       | Undetermined | 05/28/1996 | 03/25/1996  | fosters | \$342.51                  | \$342.51                   | \$0.00                 |
| 3          | C      | 38       | Undetermined | 05/28/1996 | 04/08/1996  | kostep  | \$342.51                  | \$342.51                   | \$0.00                 |
| 3          | C      | 38       | Western      | 03/12/1996 | 05/28/1996  | ALL     | \$342.51                  | \$342.51                   | \$1,214.81             |
| 3          | P      | 38       | Western      | 03/12/1996 | 05/29/1996  | ALL     | \$342.51                  | \$342.51                   | \$1,214.81             |

**REPORT CATEGORY:**

Set Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ SET REPORTS ⇒ EXPLANATION REPORT ⇒ **VALIDATE****PRINTED REPORT TITLE:**

Validate Status Explanations

**REPORT DESCRIPTION:**

This report provides a listing of the explanations entered when sets are resolved to a VALIDATE status. The Duplicate Claims System requires that an explanation be entered when a set is resolved to a VALIDATE status. One of the required Validate explanations describes why the amount actually recouped and the allowed amount of the HCSR adjustments submitted do not equal the amount identified for recoupment. The other required Validate explanation describes why all of the line-items of a non-institutional actual duplicate claim have not been adjusted. The fields displayed on this report are: Set Number; Status; Match Type; Owner FI; Region; Load Date; and Validate Explanations.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: all sets, a range of sets, or a single set; only sets which have adjustments associated; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is set level data.

**Friday, August 30, 1996**  
 Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Duplicate Type = ALL  
 FI Code = ALL  
 Region Code = ALL  
 Set Number Range = ALL

**VALIDATE STATUS EXPLANATIONS**

**Foundation Health Federal Services**

**Region 11**

| Set Number | Status | Match Type | Load Date  |
|------------|--------|------------|------------|
| 18599      | V      | N          | 05/21/1996 |
| 1          | no     | money      |            |

**Unisys HIMSC**

**Northern-93D0004**

| Set Number | Status    | Match Type    | Load Date  |
|------------|-----------|---------------|------------|
| 349        | V         | C             | 03/12/1996 |
| 1          | vcauseerq | erwerqrqwerwe | erqwer wer |

| Set Number | Status    | Match Type           | Load Date  |
|------------|-----------|----------------------|------------|
| 2336       | V         | E                    | 03/12/1996 |
| 1          | this is a | example and today is | 6/26/96    |

**Palmetto GBA**

**Undetermined**

| Set Number | Status | Match Type | Load Date  |
|------------|--------|------------|------------|
| 282        | V      | E          | 07/01/1996 |
| 1          | llkkhj |            |            |

| Set Number | Status                   | Match Type | Load Date  |
|------------|--------------------------|------------|------------|
| 1664       | V                        | O          | 08/29/1996 |
| 1          |                          |            |            |
| 2          | gggggggggggggggggggggggg |            |            |
| 3          | testing                  |            |            |

**REPORT CATEGORY:**

Set Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ SET REPORTS ⇒ EXPLANATION REPORT ⇒ **MODIFY****PRINTED REPORT TITLE:**Multi-Contractor Sets  
Modify FI Explanations**REPORT DESCRIPTION:**

This report provides a listing of the explanations entered when the Owner FI is changed on multi-contractor sets. The Duplicate Claims System requires that an explanation be entered when ownership of a multi-contractor set is changed from one contractor to another. The explanation entered should indicate who changed set ownership, who the change was discussed with at the receiving contractor, the date the discussions and the change took place, and why ownership was changed. The fields displayed on the report are: Set Number; Status; Match Type; Owner FI; Region; Load Date; and Modify FI Explanations.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: all sets, a range of sets, or a single set; status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is set level data.

**Friday, August 30, 1996**  
 Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Duplicate Type = ALL  
 FI Code = ALL  
 Region Code = ALL  
 Set Number Range = ALL

**MULTI-CONTRACTOR SETS  
 MODIFY FI EXPLANATIONS**

**Foundation Health Federal Services  
 Region 6**

| Set Number | Status                | Match Type | Load Date  |
|------------|-----------------------|------------|------------|
| 32061      | P                     | E          | 07/16/1996 |
| 1          | testing dcperf chgs   |            |            |
| 2          | testing dcperf chgs 2 |            |            |
| 3          | testing dcperf chgs 3 |            |            |
| 4          | testdcperf ghgs       |            |            |
| 5          | test dcperf chgs      |            |            |
| 6          | testing dcperf chgs   |            |            |
| 7          | testing               |            |            |
| 8          | testing               |            |            |
| 9          | tetsing               |            |            |
| 10         | testing               |            |            |
| 11         | testing again         |            |            |
| 12         | testing still         |            |            |
| 13         | still                 |            |            |
| 14         | again                 |            |            |
| 15         | dfghjk                |            |            |

**Unisys HIMSC  
 Undetermined**

| Set Number | Status  | Match Type | Load Date  |
|------------|---------|------------|------------|
| 433        | O       | O          | 05/31/1996 |
| 1          | cause   |            |            |
| 2          | testing |            |            |

**Northern-93D0004**

| Set Number | Status  | Match Type | Load Date  |
|------------|---------|------------|------------|
| 437        | O       | N          | 03/12/1996 |
| 1          | kjhkhkj |            |            |
| 2          | lku     |            |            |

**REPORT CATEGORY:**

Set Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ SET REPORTS ⇒ **REGION UNASSIGNED****PRINTED REPORT TITLE:**Multi-Contractor Sets  
Region Missing**REPORT DESCRIPTION:**

This report provides a listing of the multi-contractor sets in the Duplicate Claims System for which a region has not been assigned. All sets are assigned a region when they are loaded into the system and when mass changes occur. When ownership of a multi-contractor set is changed from one contractor to another, the receiving contractor must assign the applicable region to the set. If the receiving contractor does not assign a region, the set cannot be associated with a particular contract. This report will provide receiving contractors with a listing of the sets which have not had regions assigned. The fields displayed on the report are: Set Number; Status; Load Date; and Owner FI.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs.

**REPORT NOTES:**

The data used by this report format is set level data.

08/30/96

Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Match Type = ALL  
 FI Code = ALL  
 Region Code = ALL

**MULTI-CONTRACTOR SETS:  
 REGION MISSING**

Page 1

| Set Number                                 | Owner FI | Status | Load Date  |
|--|----------|--------|------------|
| <b>AdminaStar Defense Services</b>         |          |        |            |
| 433  | 13       | O      | 05/31/1996 |
| <b>Palmetto GBA</b>                        |          |        |            |
| 282  | 38       | V      | 07/01/1996 |
| 1664                                       | 38       | V      | 08/29/1996 |
| 14637                                      | 38       | P      | 08/28/1996 |
| <b>Wisconsin Physicians Services</b>       |          |        |            |
| 268  | 45       | O      | 05/22/1996 |
| 394  | 45       | P      | 05/31/1996 |
| 407  | 45       | P      | 05/22/1996 |
| 2657                                       | 45       | O      | 03/12/1996 |
| 3478                                       | 45       | O      | 07/03/1996 |
| 13624                                      | 45       | O      | 08/09/1996 |
| 27076                                      | 45       | V      | 03/12/1996 |
| 32382                                      | 45       | O      | 07/16/1996 |
| 33327                                      | 45       | O      | 07/16/1996 |
| <b>Foundation Health Federal Services</b>  |          |        |            |
| 120  | 53       | P      | 03/12/1996 |
| 476  | 53       | O      | 03/12/1996 |
| 587  | 53       | V      | 03/12/1996 |
| 741  | 53       | P      | 03/12/1996 |
| 2588                                       | 53       | O      | 06/20/1996 |
| <b>Aetna Government Health Plans, Inc.</b> |          |        |            |
| 63   | 59       | O      | 03/12/1996 |
| 100  | 59       | P      | 06/27/1996 |
| 275  | 59       | P      | 07/01/1996 |
| 353  | 59       | C      | 03/12/1996 |
| 1435                                       | 59       | O      | 06/05/1996 |
| 1632                                       | 59       | O      | 06/05/1996 |
| 1633                                       | 59       | O      | 06/05/1996 |
| 1634                                       | 59       | O      | 06/05/1996 |
| 2196                                       | 59       | O      | 06/13/1996 |
| 7997                                       | 59       | O      | 08/01/1996 |
| 8036                                       | 59       | O      | 03/12/1996 |
| 15001                                      | 59       | P      | 06/25/1996 |
| 36862                                      | 59       | O      | 06/05/1996 |

**REPORT CATEGORY:**

Set Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ SET REPORTS ⇒ **SET COUNTS BY REGION****PRINTED REPORT TITLE:**

Set Counts By Region

**REPORT DESCRIPTION:**

This report provides the numbers of sets of each match type by contract region. The report shows the number of sets of each match type, the percentage each match type represents of the total number of sets for the region, the number of sets for each match type which have associated adjustments, and the percentage of each match type which have been adjusted. This report will show the distribution of sets for a region across match types. It will also show the user how many sets in a given match type category have associated adjustments and the percentage of that match type category which have adjustments. This report can serve as a tool for contractors to help diagnose causes for duplicate payments and to help determine workload and needed resources.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: status (All, Open, Pending, Closed, Validate); multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is set level data.

01/30/97

SET COUNTS BY REGION

Page 1

Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Match Type = ALL  
 FI Code = ALL  
 Region Code = ALL

03 - Humana MHS (Foreign)

Region 3, 4 Foreign

| Match Type           | # of Sets    | % of Total  | # of Adjusted Sets | % Adjusted   |
|----------------------|--------------|-------------|--------------------|--------------|
| CPT-4 Code           | 55           | 1.5%        | 17                 | 30.9%        |
| Date Overlap         | 77           | 2.1%        | 12                 | 15.6%        |
| Exact                | 1,757        | 47%         | 1,357              | 77.2%        |
| Near                 | 474          | 12.7%       | 125                | 26.4%        |
| Other                | 1,378        | 36.8%       | 182                | 13.2%        |
| <b>Region Totals</b> | <b>3,741</b> | <b>100%</b> | <b>1,693</b>       | <b>45.3%</b> |
| <b>FI Totals</b>     | <b>3,741</b> | <b>100%</b> | <b>1,693</b>       | <b>45.3%</b> |

45 - Wisconsin Physicians Service

Southcentral

| Match Type           | # of Sets    | % of Total  | # of Adjusted Sets | % Adjusted   |
|----------------------|--------------|-------------|--------------------|--------------|
| CPT-4 Code           | 315          | 10.9%       | 62                 | 19.7%        |
| Date Overlap         | 16           | 0.6%        | 3                  | 18.8%        |
| Exact                | 858          | 29.6%       | 317                | 36.9%        |
| Near                 | 501          | 17.3%       | 107                | 21.4%        |
| Other                | 1,206        | 41.6%       | 95                 | 7.9%         |
| <b>Region Totals</b> | <b>2,896</b> | <b>100%</b> | <b>584</b>         | <b>20.2%</b> |

Southeastern

| Match Type           | # of Sets    | % of Total  | # of Adjusted Sets | % Adjusted   |
|----------------------|--------------|-------------|--------------------|--------------|
| CPT-4 Code           | 19           | 5.7%        | 2                  | 10.5%        |
| Date Overlap         | 1            | 0.3%        | 1                  | 100%         |
| Exact                | 145          | 43.8%       | 28                 | 19.3%        |
| Near                 | 54           | 16.3%       | 6                  | 11.1%        |
| Other                | 112          | 33.8%       | 14                 | 12.5%        |
| <b>Region Totals</b> | <b>331</b>   | <b>100%</b> | <b>51</b>          | <b>15.4%</b> |
| <b>FI Totals</b>     | <b>3,227</b> | <b>100%</b> | <b>635</b>         | <b>19.7%</b> |

**REPORT CATEGORY:**

Claim Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ CLAIM REPORTS ⇒ **CLAIM BASIC****PRINTED REPORT TITLE:**Basic Duplicate Claim Report  
Institutional and Non-Institutional  
Claim and Line Item Level Data**REPORT DESCRIPTION:**

This report lists all of the claims loaded in the system grouped by claim number. The report will show institutional and non-institutional claims. This report format will allow the user to select by Duplicate Flag values. The fields displayed on the report are: ICN; HCSR Suffix; HCSR Time Stamp; Set Number; Duplicate Flag Value; Reason Code; Processed-To-Completion Date; Responsible FI Number; Sponsor Social Security Number; Patient Name, DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; Amount Identified For Recoupment; Amount Actually Recouped. For Non-Institutional claims, line item data will also be displayed. The line item fields displayed include: Line Item Number, Line Item Match Type; Procedure Code; Place of Service; Type of Service; Care Begin Date, Care End Date; Line Item Amount Billed for the Procedure; and Amount Allowed for the Procedure. The report identifies and prints all of the claims occurring in sets meeting the criteria selected on the report parameter screen.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: all sets, a range of sets, or a single set; status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs. Users may also choose claims with 'Blank', 'Y', 'N', All, or a combination of Duplicate Flag Values.

**REPORT NOTES:**

The data used by this report format is claim level and line item level data. If a non-institutional claim exists in more than one set, it will print for each set in which it exists. Each instance of these non-institutional claims existing in multiple sets will contain a different set number on the report.

**BASIC DUPLICATE CLAIM REPORT  
INSTITUTIONAL AND NON-INSTITUTIONAL  
CLAIM & LINE ITEM LEVEL DATA**

08/30/96  
Status Codes = C  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Match Type = ALL  
FI Code = ALL  
Region Code = ALL  
Dupe Flag = ALL  
Set Range = >=1,<=37000

**Foundation Health Federal Services**

| ICN        | S  | TIME   | SET#  | DUP | RSN  | PTC      | RESP | SPON       | PATIENT NAME | DDS              | PROV              | TAX ID | SUB-ID | AMT BILLED | AMT ALLOWED | AMT RECUP | AMT ACTUAL |
|------------|----|--------|-------|-----|------|----------|------|------------|--------------|------------------|-------------------|--------|--------|------------|-------------|-----------|------------|
|            |    |        |       | FLG | CODE | DATE     | FI   | SSAN       |              |                  |                   |        |        |            |             |           |            |
| 1995072530 | A  | 175665 | 18261 | Y   | D200 | 03/24/95 | 11   |            | ,CYNTHIA,A   | 30               | A002              |        |        | \$119.34   | \$93.66     | \$93.66   | \$93.66    |
|            | LI | M Type | CPT-4 |     |      | POS      | TOS  | Care Begin | Care End     | Amt Billed CPT-4 | Amt Allowed CPT-4 |        |        |            |             |           |            |
|            | 1  | N      | 99232 |     |      | 21       | 11   | 03/02/95   | 03/05/95     | \$119.34         | \$93.66           |        |        |            |             |           |            |
| 1995092530 | A  | 580250 | 18261 | N   | BASE | 05/11/95 | 11   |            | ,CYNTHIA,A   | 30               | A002              |        |        | \$119.34   | \$93.66     | \$0.00    | \$0.00     |
|            | LI | M Type | CPT-4 |     |      | POS      | TOS  | Care Begin | Care End     | Amt Billed CPT-4 | Amt Allowed CPT-4 |        |        |            |             |           |            |
|            | 1  | N      | 99232 |     |      | 11       | 01   | 03/02/95   | 03/05/95     | \$119.34         | \$93.66           |        |        |            |             |           |            |
| 1995100410 | A  | 000673 | 18462 | N   | BASE | 04/21/95 | 11   |            | ,ROBIN       | 20               | A002              |        |        | \$75.00    | \$53.67     | \$0.00    | \$0.00     |
|            | LI | M Type | CPT-4 |     |      | POS      | TOS  | Care Begin | Care End     | Amt Billed CPT-4 | Amt Allowed CPT-4 |        |        |            |             |           |            |
|            | 1  | E      | 99214 |     |      | 11       | 01   | 03/28/95   | 03/28/95     | \$75.00          | \$53.67           |        |        |            |             |           |            |
| 1995103530 | A  | 524449 | 17591 | N   | BASE | 05/02/95 | 11   |            | BABYMALE     | 04               | A003              |        |        | \$227.09   | \$141.30    | \$0.00    | \$0.00     |
|            | LI | M Type | CPT-4 |     |      | POS      | TOS  | Care Begin | Care End     | Amt Billed CPT-4 | Amt Allowed CPT-4 |        |        |            |             |           |            |
|            | 1  | N      | 99431 |     |      | 11       | 01   | 04/05/95   | 04/05/95     | \$160.00         | \$86.23           |        |        |            |             |           |            |
| 1995121410 | A  | 154478 | 18462 | Y   | D100 | 05/16/95 | 11   |            | ,ROBIN       | 20               | A002              |        |        | \$75.00    | \$53.67     | \$50.00   | \$50.00    |
|            | LI | M Type | CPT-4 |     |      | POS      | TOS  | Care Begin | Care End     | Amt Billed CPT-4 | Amt Allowed CPT-4 |        |        |            |             |           |            |
|            | 1  | E      | 99214 |     |      | 11       | 01   | 03/28/95   | 03/28/95     | \$75.00          | \$53.67           |        |        |            |             |           |            |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

**REPORT CATEGORY:**

Claim Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ CLAIM REPORTS ⇒ **INSTITUTIONAL****PRINTED REPORT TITLE:**

Institutional Claims

**REPORT DESCRIPTION:**

This report lists institutional claims grouped by current set status. This report lists institutional claims within their respective sets. The fields displayed on the report are: Set Number; ICN; HCSR Suffix (S); HCSR Time Stamp; Processed to Completion Date; Responsible FI Number; Sponsor Social Security Number; Patient Name, DEERS Dependent Suffix; Date of Birth; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; and Net Government Paid Amount. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: all sets, a range of sets, or a single set; status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; a single beginning date of care or a range of beginning care dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is claim level data. The billed, allowed and net Government paid amounts are claim level dollar amounts.

INSTITUTIONAL CLAIMS

Page 1

08/30/96  
 Status Codes = C  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = Institutional  
 Match Type = ALL  
 FI Code = ALL  
 Region Code = ALL  
 Set Range = ALL

**Unisys HIMSC**  
 INSTITUTIONAL  
 Status Code: C

| SET # | ICN        | S | TIME   | DUP FLG | PTC DATE | RS FI | SPON SSAN | PATIENT NAME | DD | DOB      | PROVIDE TAX ID | PROV SUB-ID | AMT BILLED  | AMT ALLOWED | NET GOVT PAID AMT |
|-------|------------|---|--------|---------|----------|-------|-----------|--------------|----|----------|----------------|-------------|-------------|-------------|-------------------|
| 19    | 1994179420 | A | 000000 | Y       | 07/21/94 | 13    |           | ,TRACY       | 30 | 02/06/70 |                | 0000        | \$14,168.15 | \$3,089.05  | \$3,051.85        |
| 19    | 1994277420 | A | 000000 | N       | 10/09/94 | 13    |           | ,TRACY       | 30 | 02/06/70 |                | 0000        | \$14,168.15 | \$3,089.05  | \$3,051.85        |
| 2322  | 1994069360 | A | 000000 | N       | 05/03/94 | 13    |           | ,JOHNAT      | 02 | 01/28/94 |                | 0000        | \$5,673.53  | \$6,399.02  | \$6,333.92        |
| 2322  | 1994298360 | A | 000000 | Y       | 11/07/94 | 13    |           | ,JOHNAT      | 02 | 01/28/94 |                | 0000        | \$5,673.53  | \$6,399.02  | \$6,333.92        |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

**REPORT CATEGORY:**

Claim Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ CLAIM REPORTS ⇒ NON-INSTITUTIONAL ⇒ **BY CLAIM****PRINTED REPORT TITLE:**

Non-Institutional Claims

**REPORT DESCRIPTION:**

This report lists non-institutional claims grouped by current set status. This report lists non-institutional claims within their respective sets. The fields displayed on the report are: Set Number; ICN; HCSR Suffix (S); HCSR Time Stamp; Processed to Completion Date; Responsible FI; Sponsor Social Security Number; Patient Name, DEERS Dependent Suffix; Date of Birth; Provider Tax ID; Provider Sub-ID; Amount Billed; Amount Allowed; and Net Government Paid Amount. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: all sets, a range of sets, or a single set; status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is claim level data. The billed, allowed and net Government paid amounts are claim level not line-item level dollar amounts.

NON-INSTITUTIONAL CLAIMS

08/30/96  
 Status Codes = C  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = NonInstitutional  
 Match Type = ALL  
 FI Code = 38  
 Region Code = ALL  
 Set Range = ALL

Palmetto GBA  
 NON-INSTITUTIONAL  
 Status Code: C

| SET # | ICN        | S | TIME   | DUP FLG | PTC DATE | RS FI | SPON SSAN | PATIENT NAME | DD | DOB      | PROVIDE TAX ID | PROV SUB-ID | AMT BILLED | AMT ALLOWED | NET GOVT PAID AMT |
|-------|------------|---|--------|---------|----------|-------|-----------|--------------|----|----------|----------------|-------------|------------|-------------|-------------------|
| 388   | 1994269512 | A | 000000 | N       | 10/04/94 | 38    |           | LINDA,       | 30 | 02/15/57 |                | A001        | \$260.00   | \$166.21    | \$141.28          |
| 388   | 1994269512 | A | 000000 | N       | 10/07/94 | 38    |           | LINDA,       | 30 | 02/15/57 |                | A001        | \$70.00    | \$67.00     | \$56.95           |
| 518   | 1994125452 | A | 000000 | N       | 05/12/94 | 13    |           | LINDA,B      | 30 | 09/07/58 |                | A001        | \$155.00   | \$101.88    | \$81.50           |
| 518   | 1994291454 | A | 000000 | N       | 10/24/94 | 13    |           | LINDA,B      | 30 | 09/07/58 |                | A001        | \$155.00   | \$101.88    | \$81.50           |
| 532   | 1994285518 | A | 000000 | N       | 10/14/94 | 38    |           | ANTHONY,     | 74 | 01/08/94 |                | A003        | \$70.52    | \$67.14     | \$67.14           |
| 532   | 1994285518 | A | 000000 | N       | 10/14/94 | 38    |           | CATHERIN,    | 74 | 01/08/94 |                | A003        | \$70.52    | \$67.14     | \$67.14           |
| 4294  | 1994286324 | A | 000000 | N       | 10/13/94 | 59    |           | OLEN,        | 20 | 07/15/33 |                | B001        | \$95.00    | \$95.00     | \$71.25           |
| 4294  | 1994318324 | A | 000000 | Y       | 11/18/94 | 38    |           | OLEN,R       | 20 | 07/15/33 |                | B001        | \$95.00    | \$95.00     | \$71.25           |
| 27155 | 1995135028 | A | 575020 | N       | 07/21/95 | 38    |           | ,LARRY,      | 01 | 03/08/77 |                | C007        | \$275.52   | \$119.40    | \$119.40          |
| 27155 | 1995158024 | A | 521353 | N       | 07/18/95 | 38    |           | ,LARRY,      | 01 | 03/08/77 |                | C007        | \$45.92    | \$45.92     | \$45.92           |
| 36875 | 1995283080 | A | 093360 | N       | 10/27/95 | 38    |           | ,JOE,        | 20 | 06/18/53 |                | A001        | \$650.00   | \$118.91    | \$30.86           |
| 36875 | 1995283080 | A | 093360 | N       | 10/27/95 | 38    |           | ,JOE,        | 20 | 06/18/53 |                | A001        | \$650.00   | \$118.91    | \$30.86           |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

**REPORT CATEGORY:**

Claim Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ CLAIM REPORTS ⇒ NON-INSTITUTIONAL ⇒ **BY LINE ITEM****PRINTED REPORT TITLE:**

Non-Institutional Claims By Line Item

**REPORT DESCRIPTION:**

This report lists non-institutional claims grouped by current set status. This report displays line-item data. The fields displayed on the report are: Set Number; ICN; HCSR Suffix (S); HCSR Time Stamp; Responsible FI; Sponsor Social Security Number; Patient Name, DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Line Item Number; CPT-4 Code; Care Begin Date; Care End Date; and Amount Allowed CPT-4 Code. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: all sets, a range of sets, or a single set; status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; a single beginning date of care or a range of beginning care dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is line item level data. The allowed amounts are line item level dollar amounts.

NON-INSTITUTIONAL CLAIMS BY LINE ITEM

08/30/96  
Status Codes = C  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = NonInstitutional  
Match Type = ALL  
FI Code = 38  
Region Code = ALL  
Set Range = ALL

**Palmetto GBA**

NON-INSTITUTIONAL  
Status Code: C

| SET # | ICN        | S | TIME   | RESP FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | LI | CPT-4 CODE | CARE BEGIN | CARE END | AMT ALLOWED CPT-4 CODE |
|-------|------------|---|--------|---------|-----------|--------------|-----|-----------------|-------------|----|------------|------------|----------|------------------------|
| 388   | 1994269512 | A | 000000 | 38      |           | LINDA,       | 30  |                 | A001        | 6  | 80007      | 09/19/94   | 09/19/94 | \$35.00                |
| 388   | 1994269512 | A | 000000 | 38      |           | LINDA,       | 30  |                 | A001        | 1  | 80058      | 09/19/94   | 09/19/94 | \$32.00                |
| 388   | 1994269512 | A | 000000 | 38      |           | LINDA,       | 30  |                 | A001        | 2  | 80091      | 09/19/94   | 09/19/94 | \$35.00                |
| 518   | 1994125452 | A | 000000 | 38      |           | LINDA,B      | 30  |                 | A001        | 1  | 70486      | 03/30/94   | 03/30/94 | \$101.88               |
| 518   | 1994291454 | A | 000000 | 38      |           | LINDA,B      | 30  |                 | A001        | 1  | 70486      | 03/30/94   | 03/30/94 | \$101.88               |
| 532   | 1994285518 | A | 000000 | 38      |           | ANTHONY,     | 74  |                 | A003        | 1  | 99204      | 10/11/94   | 10/11/94 | \$67.14                |
| 532   | 1994318324 | A | 000000 | 38      |           | CATHERIN,    | 74  |                 | A003        | 1  | 99204      | 10/11/94   | 10/11/94 | \$67.14                |
| 4294  | 1994286324 | A | 000000 | 59      |           | OLEN,        | 20  |                 | B001        | 1  | 93880      | 06/06/94   | 06/06/94 | \$95.00                |
| 4294  | 1994318324 | A | 000000 | 38      |           | OLEN,R       | 20  |                 | B001        | 1  | 93880      | 06/06/94   | 06/06/94 | \$95.00                |
| 5113  | 1994292061 | A | 000000 | 59      |           | JOHN,R       | 20  |                 | 0000        | 1  | 06879      | 05/12/94   | 05/12/94 | \$295.00               |
| 5113  | 1994292061 | A | 000000 | 59      |           | JOHN,R       | 20  |                 | 0000        | 2  | 06879      | 05/12/94   | 05/12/94 | \$72.00                |
| 5113  | 1994315040 | A | 000000 | 38      |           | JOHN,R       | 20  |                 | 0000        | 1  | 06879      | 05/12/94   | 05/12/94 | \$295.00               |
| 5113  | 1994315040 | A | 000000 | 38      |           | JOHN,R       | 20  |                 | 0000        | 2  | 06879      | 05/12/94   | 05/12/94 | \$72.00                |
| 27155 | 1995135028 | A | 575020 | 38      |           | ,LARRY,      | 01  |                 | C007        | 1  | 90853      | 01/18/95   | 01/25/95 | \$59.70                |
| 27155 | 1995158024 | A | 521353 | 38      |           | ,LARRY,      | 01  |                 | C007        | 1  | 90853      | 01/18/95   | 01/18/95 | \$45.92                |
| 36875 | 1995283080 | A | 093360 | 38      |           | ,JOE,        | 20  |                 | A001        | 1  | 47000      | 09/21/95   | 09/21/95 | \$118.91               |
| 36875 | 1995348084 | A | 234402 | 38      |           | ,JOE,        | 20  |                 | A001        | 1  | 47000      | 09/21/95   | 09/21/95 | \$118.91               |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

**REPORT CATEGORY:**

Claim Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ CLAIM REPORTS ⇒ **COVERED/RISK****PRINTED REPORT TITLE:**Covered/Risk Report  
(Dependent on Covered and Risk Indicators Selected)**REPORT DESCRIPTION:**

This report provides a listing of claims based on the Covered and Risk Indicator values selected by the user. The Covered Indicator assigned to each claim identifies it as Network, Non-Network, Residual, or a Standard FI claim. The Risk Indicator identifies the claim as either At-Risk or Not At-Risk. The claims are grouped by claim number. The report can show both institutional and non-institutional claims. This report format will also allow the user to select by Duplicate Flag Values. The fields displayed on the report are: Set Number; Duplicate Flag Value; ICN; HCSR Suffix (S); HCSR Time Stamp; Processed-To-Completion Date; Responsible FI Number; Covered Indicator (CVR); Risk Indicator (R); Sponsor Social Security Number; Patient Name, DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Amount Billed; and Net Government Paid Amount. This report can be useful during transitions where certain claims will be retained by the out-going contractor and others transferred to the incoming contractor based on their Network/Non-Network and Risk/Not At-Risk status.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs. Users may choose claims with 'Blank', 'Y', 'N', All, or a combination of Duplicate Flag Values. Also, users may select claims by choosing combinations of Covered Indicator and Risk Indicator values.

**REPORT NOTES:**

The data used by this report format is claim level data. For non-institutional claims, the billed, allowed and net Government paid amounts are claim level not line-item level dollar amounts.

COVERED / RISK REPORT

08/30/96  
Status Codes = ALL  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Match Type = ALL  
FI Code = 38  
Region Code = ALL  
Dupe Flag = ALL

COVERED INDICATOR = F OR I OR R. RISK INDICATOR = A

Palmetto GBA

| ICN        | S | TIME   | SET#  | DUP<br>FLG | COV<br>IND | RISK<br>ID | PTC DATE | RESP<br>FI | SPON<br>SSAN | PATIENT<br>NAME | DDS | PROVIDER<br>TAX ID | PROV<br>SUB-ID | AMT<br>BILLED | AMT<br>ALLOWED |
|------------|---|--------|-------|------------|------------|------------|----------|------------|--------------|-----------------|-----|--------------------|----------------|---------------|----------------|
| 1994186227 | B | 000000 | 8954  |            | I          | A          | 12/06/96 | 57         |              |                 | 20  |                    | A004           | \$9,350.00    | \$180.00       |
| 1994332062 | A | 000000 | 9625  |            | 8          | A          | 01/30/95 | 59         |              |                 | 20  |                    | 0000           | \$10,419.00   | \$10,419.00    |
| 1995004392 | A | 051861 | 25384 | N          | I          | A          | 01/31/95 | 59         |              |                 | 02  |                    | 0000           | \$170.00      | \$161.04       |
| 1995012041 | A | 000000 | 11882 | N          | I          | A          | 01/23/95 | 59         |              |                 | 20  |                    | B001           | \$376.00      | \$192.71       |
| 1995038488 | A | 073487 | 3509  |            | I          | A          | 02/10/95 | 57         |              |                 | 30  |                    | A002           | \$480.00      | \$316.53       |
| 1995079414 | A | 491759 | 14637 | N          | I          | A          | 04/07/95 | 59         |              |                 | 30  |                    | 0001           | \$4,879.64    | \$1,157.19     |
| 1995123060 | A | 492891 | 21036 | N          | I          | A          | 05/11/95 | 59         |              |                 | 01  |                    | A001           | \$318.00      | \$201.54       |
| 1995123060 | A | 492891 | 21037 | N          | I          | A          | 05/11/95 | 59         |              |                 | 01  |                    | A001           | \$318.00      | \$201.54       |
| 1995130530 | A | 191700 | 28259 | N          | I          | A          | 07/18/95 | 11         |              |                 | 30  |                    | 0000           | \$271.05      | \$249.90       |
| 1995130530 | A | 191700 | 28260 | N          | I          | A          | 07/18/95 | 11         |              |                 | 30  |                    | 0000           | \$271.05      | \$249.90       |
| 1995178513 | A | 481845 | 27690 | N          | I          | A          | 07/19/95 | 72         |              |                 | 30  |                    | A005           | \$115.00      | \$75.00        |
| 1995180513 | A | 023557 | 25897 | N          | I          | A          | 07/25/95 | 72         |              |                 | 01  |                    | 0000           | \$180.00      | \$69.00        |
| 1995182510 | A | 324800 | 34998 | N          | I          | A          | 07/14/95 | 72         |              |                 | 03  |                    | B020           | \$80.00       | \$78.00        |
| 1995182513 | A | 393722 | 28923 | N          | I          | A          | 08/21/95 | 72         |              |                 | 02  |                    | B007           | \$135.00      | \$82.00        |
| 1995183513 | A | 022720 | 29883 | N          | I          | A          | 07/25/95 | 72         |              |                 | 30  |                    | A005           | \$80.00       | \$69.00        |
| 1995192513 | A | 063211 | 28382 | N          | I          | A          | 07/31/95 | 72         |              |                 | 30  |                    | A002           | \$160.00      | \$69.00        |
| 1995193510 | A | 224552 | 27663 | N          | I          | A          | 08/07/95 | 72         |              |                 | 31  |                    | A015           | \$80.00       | \$69.00        |
| 1995193510 | A | 261165 | 27662 | N          | I          | A          | 08/07/95 | 72         |              |                 | 31  |                    | A015           | \$80.00       | \$69.00        |
| 1995226530 | A | 535690 | 29694 | N          | I          | A          | 08/19/95 | 11         |              |                 | 30  |                    | 0000           | \$271.00      | \$182.60       |
| 1995241167 | A | 523963 | 32765 | N          | I          | A          | 09/07/95 | 11         |              |                 | 30  |                    | A002           | \$65.00       | \$65.00        |
| 1995241167 | A | 523950 | 35270 | N          | I          | A          | 09/07/95 | 11         |              |                 | 30  |                    | A002           | \$65.00       | \$65.00        |
| 1995241410 | A | 235313 | 31536 | N          | I          | A          | 09/12/95 | 11         |              |                 | 01  |                    | 0000           | \$1,245.00    | \$424.03       |
| 1995278042 | A | 520087 | 34523 | N          | I          | A          | 10/16/95 | 59         |              |                 | 02  |                    | 0000           | \$221.46      | \$196.95       |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

**REPORT CATEGORY:**

Claim Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ CLAIM REPORTS ⇒ PROVIDER ⇒ **CLAIM COUNTS****PRINTED REPORT TITLE:**Provider Claim Count Report  
Grouped By Provider Number and Sub-ID**REPORT DESCRIPTION:**

This report provides a total count by Provider Tax ID and Provider Sub-ID of all claims associated with selected providers. The fields displayed are: Provider Tax ID; Provider Sub-ID; and Total Number of Claims.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: All claims or actual duplicate claims only (to be counted as an actual duplicate claim, it must have a "Y" Duplicate Flag value and be in a PENDING, VALIDATE, or CLOSED set); status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; one or all FIs; one, several or all regions within selected FIs. Users may also select one, several or all Provider Tax ID numbers to be included in the report.

**REPORT NOTES:**

The data used by this report format is claim level data.

08/30/96

Status Codes = ALL  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Match Type = ALL  
FI Code = ALL  
Region Code = ALL  
Actual Dupes Only = No

**PROVIDER CLAIM COUNT REPORT**  
GROUPED BY PROVIDER NUMBER AND SUB ID

Page 1

Tax ID: **123456789**

# Claims

Sub ID : **0000**

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|               |            |
|---------------|------------|
| Sub ID Totals | <b>298</b> |
|---------------|------------|

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Sub ID : **0001**

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|               |            |
|---------------|------------|
| Sub ID Totals | <b>20</b>  |
| Tax ID Totals | <b>318</b> |

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NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

**REPORT CATEGORY:**

Claim Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ CLAIM REPORTS ⇒ PROVIDER ⇒ **CLAIM DETAIL****PRINTED REPORT TITLE:**Provider Claim Detail Report  
Grouped By Provider Number And Sub-ID**REPORT DESCRIPTION:**

This report provides a listing of claims grouped by Provider Tax ID and Sub-ID, associated with selected providers. The fields displayed are: Set #; Duplicate Flag Value; ICN; Suffix (S); Time Stamp; Processed to Completion Date; Responsible FI; Sponsor SSAN; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Amount Allowed; Total number of claims and total Allowed Amounts by Provider Sub-ID; and Total number of claims and total Allowed Amounts by Provider Tax-ID.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: All claims or actual duplicate claims only (to be counted as an actual duplicate claim, it must have a "Y" Duplicate Flag value and be in a PENDING, VALIDATE, or CLOSED set); status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; one or all FIs; one, several or all regions within selected FIs. Users may also select one, several or all Provider Tax ID numbers to be included in the report.

**REPORT NOTES:**

The data used by this report format is claim level data.

**PROVIDER CLAIM DETAIL REPORT**  
**GROUPED BY PROVIDER NUMBER AND SUB ID**

09/06/96  
Status Codes = ALL  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Match Type = ALL  
FI Code = ALL  
Region Code = ALL  
Actual Dupes Only = ALL

| SET #         | DUP FLG | ICN       | SUFFIX | TIME   | SPON SSAN | PATIENT NAME | DDS | AMT ALLOWED | PTC DATE | RESP FI |
|---------------|---------|-----------|--------|--------|-----------|--------------|-----|-------------|----------|---------|
| Sub ID : 0000 |         |           |        |        |           |              |     |             |          |         |
| 268           | N       | 199409813 | A      | 000000 |           |              | 30  | \$91.50     | 04/13/94 | 45      |
| 268           | Y       | 199426451 | A      | 000000 |           |              | 30  | \$71.37     | 09/27/94 | 38      |
| 407           | N       | 199406613 | A      | 000000 |           |              | 30  | \$77.00     | 03/11/94 | 45      |
| 407           | Y       | 199428451 | A      | 000000 |           |              | 30  | \$61.00     | 10/25/94 | 38      |
| 650           | N       | 199426926 | A      | 000000 |           |              | 30  | \$136.02    | 10/06/94 | 13      |
| 650           | Y       | 199426926 | A      | 000000 |           |              | 30  | \$120.22    | 10/09/94 | 13      |
| 1259          | N       | 199420039 | A      | 000000 |           |              | 30  | \$85.00     | 07/28/94 | 13      |
| 1259          | Y       | 199426639 | A      | 000000 |           |              | 30  | \$85.00     | 10/06/94 | 13      |
| 1863          | N       | 199426348 | A      | 000000 |           |              | 03  | \$86.25     | 09/30/94 | 57      |
| 1863          | Y       | 199427148 | A      | 000000 |           |              | 03  | \$86.25     | 10/10/94 | 59      |
| 2283          | N       | 199427212 | A      | 000000 |           |              | 30  | \$205.34    | 10/05/94 | 45      |
| 2283          | Y       | 199428012 | A      | 000000 |           |              | 30  | \$60.20     | 10/26/94 | 45      |
| 2657          | N       | 199427612 | B      | 000000 |           |              | 30  | \$121.20    | 10/11/94 | 45      |
| 2657          | Y       | 199429836 | A      | 000000 |           |              | 30  | \$107.60    | 11/08/94 | 13      |
| 2898          | N       | 199409142 | A      | 000000 |           |              | 30  | \$95.00     | 04/27/94 | 13      |
| 2898          | Y       | 199432442 | A      | 000000 |           |              | 30  | \$173.50    | 11/21/94 | 13      |
| 2948          | N       | 199430013 | B      | 000000 |           |              | 31  | \$33.25     | 11/03/94 | 45      |
| 2948          | Y       | 199431151 | A      | 000000 |           |              | 31  | \$42.00     | 11/22/94 | 38      |
| 2964          | N       | 199429051 | A      | 000000 |           |              | 30  | \$189.97    | 11/04/94 | 38      |
| 2964          | Y       | 199429713 | A      | 000000 |           |              | 30  | \$141.60    | 10/31/94 | 45      |
| 2984          | N       | 199430406 | A      | 000000 |           |              | 30  | \$325.05    | 11/23/94 | 59      |
| 2984          | Y       | 199431106 | A      | 000000 |           |              | 30  | \$198.00    | 11/14/94 | 59      |
| 3248          | N       | 199421313 | A      | 000000 |           |              | 31  | \$169.20    | 08/16/94 | 45      |
| 3248          | Y       | 199423651 | A      | 000000 |           |              | 31  | \$254.97    | 11/11/94 | 38      |
| 3454          | N       | 199336112 | A      | 000000 |           |              | 30  | \$83.00     | 10/20/94 | 45      |
| 3454          | Y       | 199430712 | A      | 000000 |           |              | 30  | \$91.50     | 11/14/94 | 45      |
| 3478          | N       | 199429713 | A      | 000000 |           |              | 30  | \$91.50     | 10/31/94 | 45      |
| 3478          | Y       | 199430651 | A      | 000000 |           |              | 30  | \$98.00     | 11/18/94 | 38      |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

**REPORT CATEGORY:**

Claim Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ CLAIM REPORTS ⇒ PROVIDER ⇒ **CPT-4****PRINTED REPORT TITLE:**Provider CPT-4 Report  
Grouped By Provider Tax-ID and Sub-ID  
(CPT-4 Claim Level Match Types Only)**REPORT DESCRIPTION:**

This report shows line items which appear on non-institutional **claims** which carry a CPT-4 match type ('C') at the claim level (see REPORT NOTES below). Due to the way the Duplicate Claims System assigns match types to claims and sets, this report must be used very carefully. Users have the option in this report of selecting actual duplicate claims only. The user may think that the report is showing only actual duplicate line items identified by the CPT-4 match type criteria. In fact, the report is showing the line-items of actual ('Y') non-institutional duplicate claims which have been assigned a match type of CPT-4 (see REPORT NOTES below). As a result, line items identified using the OTHER match type may appear on this report along with the line items identified under the CPT-4 criteria which caused the claim to be assigned the match type of CPT-4. This report will not show any line items identified under the EXACT or NEAR match criteria since line items identified using the EXACT and NEAR match would force the claim(s) to be assigned a higher level match type than CPT-4. This report looks for only those actual duplicate non-institutional **claims** with a match type of CPT-4 and then lists the line items on those claims.

This report can be used by Program Integrity staff to obtain a listing of the claims carrying a match type of CPT-4 and their associated line items. Using the Provider Claim Count Report, users can identify the provider numbers associated with high volumes of non-institutional claims involving line items whose last two digits of the procedure code have been changed. Then using the Provider CPT-4 Report and entering those provider numbers identified, the user can generate a listing of the non-institutional claims with line item details associated with those provider numbers.

The fields displayed on this report are: Set #; Duplicate Flag Value; ICN; Suffix (S); Time Stamp; Processed to Completion Date; Responsible FI; Sponsor SSAN; Patient Name; DEERS Dependent Suffix; Line Item Number; CPT-4 Code; Line Item Match Type; and Amount Allowed CPT-4. The report is grouped by Provider Number and Sub-ID and provides sub-totals for each provider Sub-ID and grand totals for each provider Tax-ID. The sub-totals and grand totals sum the number of line items and the total Allowed dollars.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: All claims or actual duplicate claims only (to be counted as an actual duplicate claim, it must have a “Y” Duplicate Flag value and be in a PENDING, VALIDATE, or CLOSED set); status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; **set** match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; one or all FIs; one, several or all regions within selected FIs. Users may also select one, several or all Provider Tax ID numbers to be included in the report.

## REPORT NOTES:

Match types are applied at the line-item, claim, and set levels based on a hierarchy. The most stringent match type applicable is assigned at each level. The hierarchy for institutional claims is as follows: Exact, Near, Date Overlap and Other. For non-institutional claims, the hierarchy is as follows: Exact, Near, CPT-4, and Other. For both claim types, Exact Match criteria is the most stringent with Near Match next. Other Match is the least stringent. When the Duplicate Claims System identifies non-institutional potential duplicates, it is doing so at a **line item** level. When a line item is identified as a potential duplicate, the system labels the **line item** with the Match Type used to identify it as a potential duplicate. If a non-institutional **claim** contains line items identified as potential duplicates using more than one match type criteria (one line item identified under Exact Match criteria and another line item under CPT-4 criteria), the system uses the match type hierarchy and labels the **claim** with the most stringent match type appearing on the line items. If the **set** contains **claims** labeled with different match types (one claim labeled ‘Near’ and another labeled ‘CPT-4’), the system uses the match type hierarchy and labels the **set** with the most stringent match type appearing on the claims.

**PROVIDER CPT-4 REPORT**  
**GROUPED BY PROVIDER TAX ID AND SUBID**  
**(CPT-4 CLAIM LEVEL MATCH TYPES ONLY)**

09/06/96  
Status Codes = ALL  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = NonInstitutional  
Match Type = ALL  
FI Code = ALL  
Region Code = ALL  
Actual Dupes Only = No

| SET #                 | DUP FLG | ICN       | SUFFIX | TIME   | SPON SSAN | PATIENT NAME | DDS | LI Match | LINE ITEM # | CPT-4 CODE | AMT ALLOWED CPT-4 CODE | PTC DATE        | RESP FI |
|-----------------------|---------|-----------|--------|--------|-----------|--------------|-----|----------|-------------|------------|------------------------|-----------------|---------|
| Tax ID:123456789      |         |           |        |        |           |              |     |          |             |            |                        |                 |         |
| Sub ID : 0000         |         |           |        |        |           |              |     |          |             |            |                        |                 |         |
| 650                   | N       | 199426926 | A      | 000000 |           |              | 30  | C        | 1           | 86295      | \$34.02                | 10/06/94        | 13      |
| 650                   |         | 199426926 | A      | 000000 |           |              | 30  | C        | 6           | 86293      | \$34.02                | 10/09/94        | 13      |
| 2984                  |         | 199430406 | A      | 000000 |           |              | 30  | C        | 2           | 82088      | \$150.00               | 11/23/94        | 59      |
| 2984                  | N       | 199431106 | A      | 000000 |           |              | 30  | C        | 1           | 82024      | \$84.00                | 11/14/94        | 59      |
| 9868                  | N       | 199426229 | B      | 000000 |           |              | 30  | C        | 2           | 80006      | \$41.00                | 09/29/94        | 45      |
| 9868                  |         | 199427829 | B      | 000000 |           |              | 30  | C        | 2           | 80019      | \$41.00                | 01/17/95        | 45      |
| 11827                 | N       | 199425653 | A      | 000000 |           |              | 30  | C        | 1           | 80050      | \$36.00                | 10/01/94        | 38      |
| 11827                 |         | 199500653 | B      | 314246 |           |              | 30  | C        | 1           | 80019      | \$36.00                | 02/15/95        | 38      |
| 15007                 | N       | 199434742 | C      | 373322 |           |              | 30  | C        | 3           | 80012      | \$50.00                | 03/02/95        | 13      |
| 15007                 |         | 199505342 | A      | 194329 |           |              | 30  | C        | 3           | 80019      | \$59.54                | 03/25/95        | 13      |
| 23819                 | N       | 199509306 | A      | 201900 |           |              | 01  | C        | 1           | 82627      | \$82.88                | 04/20/95        | 59      |
| 23819                 |         | 199517706 | A      | 132473 |           |              | 01  | C        | 1           | 82672      | \$51.00                | 07/03/95        | 59      |
| 26156                 | N       | 199521513 | A      | 272000 |           |              | 30  | C        | 5           | 83735      | \$16.49                | 08/07/95        | 45      |
| 26156                 |         | 199521513 | A      | 272000 |           |              | 30  | C        | 6           | 83540      | \$16.49                | 08/07/95        | 45      |
| 26156                 |         | 199521513 | A      | 272000 |           |              | 30  | C        | 1           | 83550      | \$16.49                | 08/08/95        | 45      |
| 26156                 |         | 199521513 | A      | 272000 |           |              | 30  | C        | 3           | 83718      | \$16.49                | 08/08/95        | 45      |
| 30256                 | N       | 199527751 | A      | 015415 |           |              | 30  | C        | 5           | 80091      | \$44.75                | 10/10/95        | 38      |
| 30256                 |         | 199527751 | A      | 355849 |           |              | 30  | C        | 1           | 80018      | \$44.75                | 10/13/95        | 38      |
| 33878                 | N       | 199523306 | A      | 262618 |           |              | 20  | C        | 2           | 86688      | \$60.00                | 08/31/95        | 59      |
| 33878                 |         | 199523306 | A      | 504868 |           |              | 20  | C        | 2           | 86677      | \$75.00                | 11/02/95        | 59      |
| <b># Line Items</b>   |         |           |        |        |           |              |     |          |             |            |                        |                 |         |
| <b>Sub ID Totals</b>  |         |           |        |        |           |              |     |          |             |            | <b>20</b>              | <b>\$989.92</b> |         |
| <b>Prov ID Totals</b> |         |           |        |        |           |              |     |          |             |            | <b>20</b>              | <b>\$989.92</b> |         |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

## REPORT CATEGORY:

Claim Report

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ CLAIM REPORTS ⇒ **REASON CODE EXPLANATIONS**

## PRINTED REPORT TITLE:

Reason Code Explanation Report

## REPORT DESCRIPTION:

This report provides a listing of the explanations associated with reason codes. The Duplicate Claims System requires that an explanation be entered when certain reason codes are used to describe why a claim is or is not a duplicate claim. This report prints the reason code explanation associated with a claim. Individual claim data is grouped within their respective sets. The fields displayed on this report are: Set Number; Set Status; Duplicate Flag Value; Reason Code; ICN; Suffix (S); Time stamp; Processed To Completion Date; Responsible FI; Owner FI; Region; Load Date; and Reason Code Explanations.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: set status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs. A secondary report parameter screen enables users to select one or more reason codes, and beginning and ending set numbers. Users also can include/exclude BASE claims. "All" is the default for reason code selection, resulting in a selection of all claims meeting the selection criteria, including claims with "blank" reason codes and/or "blank" explanation fields.

## REPORT NOTES:

The data used by this report format is claim level data.

REASON CODE EXPLANATION REPORT

08/30/96

Status Codes = ALL  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Match Type = ALL  
FI Code = 38  
Region Code = ALL  
Set Number Range = >=1,<=15000

Palmetto GBA

Undetermined Region

| SET # | STATUS | LOAD DATE  | ICN        | S | TIME   | RESP FI | PTC DATE   | DUP FLG | RSN CODE | REASON CODE EXPLANATION |
|-------|--------|------------|------------|---|--------|---------|------------|---------|----------|-------------------------|
| 1664  | V      | 08/29/1996 | 1994088080 | A | 000000 | 38      | 04/12/1994 | N       | BASE     | testing                 |
| 1664  | V      | 08/29/1996 | 1994256081 | A | 000000 | 59      | 10/17/1994 | N       | N200     | testing                 |
| 14637 | P      | 08/28/1996 | 1994305414 | A | 000000 | 38      | 11/11/1994 | Y       | D101     | testing                 |

Mid-Atl.-88D0006

| SET # | STATUS | LOAD DATE  | ICN        | S | TIME   | RESP FI | PTC DATE   | DUP FLG | RSN CODE | REASON CODE EXPLANATION |
|-------|--------|------------|------------|---|--------|---------|------------|---------|----------|-------------------------|
| 701   | P      | 03/12/1996 | 1993235452 | A | 000000 | 38      | 06/14/1994 | Y       | D200     | testing                 |

Western

| SET # | STATUS | LOAD DATE  | ICN        | S | TIME   | RESP FI | PTC DATE   | DUP FLG | RSN CODE | REASON CODE EXPLANATION |
|-------|--------|------------|------------|---|--------|---------|------------|---------|----------|-------------------------|
| 3     | C      | 03/12/1996 | 1994111490 | A | 000000 | 38      | 04/26/1994 | Y       | D100     | testing                 |
| 8     | P      | 03/12/1996 | 1994012046 | A | 000000 | 38      | 02/01/1994 | Y       | D101     | testing                 |
| 1257  | P      | 05/19/1996 | 1993308381 | C | 000000 | 38      | 10/21/1994 | Y       | D200     | klhpol-po\              |
| 5352  | C      | 03/12/1996 | 1994322046 | A | 000000 | 38      | 12/13/1994 | Y       | D200     | It just did.            |
| 7668  | P      | 03/12/1996 | 1994332413 | A | 000000 | 38      | 12/09/1994 | Y       | D200     | testing                 |

Mid-Atl.-94D0001

| SET # | STATUS | LOAD DATE  | ICN        | S | TIME   | RESP FI | PTC DATE   | DUP FLG | RSN CODE | REASON CODE EXPLANATION |
|-------|--------|------------|------------|---|--------|---------|------------|---------|----------|-------------------------|
| 2343  | C      | 03/12/1996 | 1994138242 | A | 000000 | 38      | 05/24/1994 | Y       | D204     | testing                 |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

## REPORT CATEGORY:

Claim Report

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ CLAIM REPORTS ⇒ **ADJUSTMENTS**

## PRINTED REPORT TITLE:

Claims With Associated Adjustments

## REPORT DESCRIPTION:

This report provides a listing of claims, grouped in their respective sets, and any associated adjustment claims which have been submitted. Only sets which contain one or more claims which have associated adjustments will be listed. The fields displayed on the report are: Set Number, Duplicate Flag Value; Reason Code; ICN; HCSR Suffix (S); HCSR Time Stamp; Responsible FI; Sponsor Social Security Number; Patient Name; DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Claim Level Allowed; Line Item Number; Line Item Allowed Amount; Adjustment Flag; Adjustment Line Item; and Adjustment Allowed Amount.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: all sets, a range of sets, or a single set; status (All, Open, Pending, Closed, Validate); multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

## REPORT NOTES:

The data used by this report format is claim and line item level data.

CLAIMS WITH ASSOCIATED ADJUSTMENTS

08/30/96  
Status Codes = C  
Adjusted Sets Only = Yes  
Set Owner Type = ALL  
Claim Type = ALL  
Match Type = ALL  
FI Code = 38  
Region Code = ALL  
Dupe Flag = ALL  
Set Range = ALL

Palmetto GBA

| SET# | ICN        | S | TIME   | DUP<br>FLG | RSN<br>CODE | RESP<br>FI       | SPON<br>SSAN | PATIENT NAME              | DDS | PROVIDER<br>TAX ID | PROV<br>SUB-ID | AMT<br>ALLOWED | LINE<br>ITEM | AMT ALLOWED<br>CPT4 |
|------|------------|---|--------|------------|-------------|------------------|--------------|---------------------------|-----|--------------------|----------------|----------------|--------------|---------------------|
| 3    | 1994111490 | A | 000000 | Y          | D100        | 38               |              |                           | 30  |                    | 0000           | \$1,214.81     |              |                     |
|      |            |   |        |            | Adjust Flag | Adjust Line Item |              | Adjustment Allowed Amount |     |                    |                |                |              |                     |
|      |            |   |        | Y          | BASE        | 0                |              | (\$1,214.81)              | 30  |                    | 0000           | \$1,214.81     |              |                     |
| 3    | 1994111490 | B | 000000 | N          | BASE        | 38               |              |                           | 30  |                    | 0000           | \$1,214.81     |              |                     |
| 8    | 1994012046 | A | 000000 | Y          | D101        | 38               |              |                           | 30  |                    | 0000           | \$1,665.44     |              |                     |
|      |            |   |        |            | Adjust Flag | Adjust Line Item |              | Adjustment Allowed Amount |     |                    |                |                |              |                     |
|      |            |   |        | Y          | BASE        | 0                |              | (\$1,665.44)              | 30  |                    | 0000           | \$1,943.52     |              |                     |
| 8    | 1994012046 | B | 000000 | N          | BASE        | 38               |              |                           | 30  |                    | 0000           | \$1,943.52     |              |                     |
| 15   | 1994188242 | A | 000000 | Y          | D100        | 38               |              |                           | 20  |                    | 0000           | \$431.50       |              |                     |
|      |            |   |        |            | Adjust Flag | Adjust Line Item |              | Adjustment Allowed Amount |     |                    |                |                |              |                     |
|      |            |   |        | Y          | BASE        | 0                |              | (\$431.50)                | 20  |                    | 0000           | \$8,353.93     |              |                     |
| 15   | 1994188242 | B | 000000 | N          | BASE        | 38               |              |                           | 20  |                    | 0000           | \$8,353.93     |              |                     |
| 21   | 1994076512 | A | 000000 | N          | BASE        | 38               |              |                           | 02  |                    | 0000           | \$9,016.35     |              |                     |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

## REPORT CATEGORY:

Claim Report

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ CLAIMS ⇒ WORKSHEETS ⇒ **INSTITUTIONAL**

## PRINTED REPORT TITLE:

Institutional Claims Worksheet

## REPORT DESCRIPTION:

This report resembles the paper duplicate claims reports provided to contractors in the past. This report lists institutional claim sets in OPEN status and provides space for entering by hand: 1) a “Y” or an “N” to indicate if the claim has been determined to be a duplicate or not; 2) a reason code for why the claim is or is not a duplicate; and 3) a recoupment or refund amount. This report provides the contractor with the ability to distribute the claim sets requiring research and duplicate determinations among several personnel. Once completed, these reports can be returned to the system operator for data entry. This report is limited to only institutional claims. The fields displayed on the report are: Set Number; ICN; HCSR Suffix (S); HCSR Time Stamp; Responsible FI Number; Sponsor Social Security Number; Patient Name, DEERS Dependent Suffix; Date of Birth; Provider Tax ID; Provider Sub-ID; Diagnosis; DRG Number; Amount Billed; Net Government Paid Amount; “Dupe? (Y/N)” ; Reason Code (Rsn CD); and Recoup or Refund Amount. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: all sets, a range of sets, or a single set; only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; a single beginning date of care or a range of beginning care dates; one or all FIs; one, several or all regions within selected FIs.

## REPORT NOTES:

The data used by this report format is claim level data.

INSTITUTIONAL CLAIMS WORKSHEET

08/30/96  
Status Codes = O  
Adjusted Sets Only = Yes  
Set Owner Type = ALL  
Claim Type = Institutional  
Match Type = E  
FI Code = 38  
Region Code = ALL  
Set Range = >=1,<=12000

Palmetto GBA

Western

| SET # | ICN        | S | TIME   | PTC DATE | RS FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | DIAG | DRG | AMT BILLED | AMT ALLOWED | NET GOVT PAID AMT | DUPE? (Y/N) | RSN CD | RECOUP/ RFND AMT |
|-------|------------|---|--------|----------|-------|-----------|--------------|-----|-----------------|-------------|------|-----|------------|-------------|-------------------|-------------|--------|------------------|
| 5370  | 1994278411 | A | 000000 | 11/04/94 | 38    |           |              | 01  |                 | 0000        | 7706 | 630 | \$3,873.46 | \$524.49    | \$253.49          | ----        | ----   | -----            |
| 5370  | 1994278411 | B | 000000 | 12/02/94 | 38    |           |              | 01  |                 | 0000        | 7706 | 630 | \$3,873.46 | \$524.49    | \$499.49          | ----        | ----   | -----            |

Mid-Atl.-94D0001

| SET # | ICN        | S | TIME   | PTC DATE | RS FI | SPON SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | DIAG  | DRG | AMT BILLED  | AMT ALLOWED | NET GOVT PAID AMT | DUPE? (Y/N) | RSN CD | RECOUP/ RFND AMT |
|-------|------------|---|--------|----------|-------|-----------|--------------|-----|-----------------|-------------|-------|-----|-------------|-------------|-------------------|-------------|--------|------------------|
| 30    | 1993323516 | A | 000000 | 12/07/93 | 38    |           |              | 30  |                 | 0000        | 66011 | 371 | \$6,025.40  | \$2,249.47  | \$2,221.57        | ----        | ----   | -----            |
| 30    | 1993323516 | B | 000000 | 10/25/94 | 38    |           |              | 30  |                 | 0000        | 66011 | 371 | \$6,025.40  | \$2,249.47  | \$2,221.57        | ----        | ----   | -----            |
| 111   | 1994066242 | A | 000000 | 03/15/94 | 38    |           |              | 30  |                 | 0000        | 64241 | 000 | \$3,484.82  | \$3,484.82  | \$3,459.82        | ----        | ----   | -----            |
| 111   | 1994066242 | B | 000000 | 10/11/94 | 38    |           |              | 30  |                 | 0000        | 64241 | 000 | \$3,484.82  | \$3,484.82  | \$3,459.82        | ----        | ----   | -----            |
| 2315  | 1993308243 | A | 000000 | 11/16/93 | 38    |           |              | 30  |                 | 0000        | 650   | 000 | \$1,573.70  | \$1,570.70  | \$316.53          | ----        | ----   | -----            |
| 2315  | 1993308243 | B | 000000 | 11/22/94 | 38    |           |              | 30  |                 | 0000        | 650   | 000 | \$1,573.70  | \$1,570.70  | \$3.00            | ----        | ----   | -----            |
| 5379  | 1994299510 | A | 000000 | 11/29/94 | 38    |           |              | 01  |                 | 0000        | 29620 | 000 | \$11,376.00 | \$7,320.00  | \$7,233.40        | ----        | ----   | -----            |
| 5379  | 1994308510 | A | 000000 | 12/20/94 | 38    |           |              | 01  |                 | 0000        | 29620 | 000 | \$11,376.00 | \$7,320.00  | \$7,233.40        | ----        | ----   | -----            |
| 10420 | 1994335240 | A | 000000 | 12/13/94 | 38    |           |              | 31  |                 | 0000        | 56211 | 000 | \$6,756.86  | \$6,744.86  | \$456.33          | ----        | ----   | -----            |
| 10420 | 1944341240 | A | 000000 | 12/16/94 | 38    |           |              | 31  |                 | 0000        | 56211 | 000 | \$6,756.86  | \$6,744.86  | \$456.33          | ----        | ----   | -----            |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

## REPORT CATEGORY:

Claim Report

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ CLAIMS ⇒ WORKSHEETS ⇒ NON-INSTITUTIONAL

## PRINTED REPORT TITLE:

Non-Institutional Claims Worksheet

## REPORT DESCRIPTION:

This report resembles the paper duplicate claims reports provided to contractors in the past. This report lists the sets of non-institutional line items in OPEN status and provides space for entering by hand: 1) a “Y” or an “N” to indicate if the claim has been determined to be a duplicate or not; 2) a reason code for why the claim is or is not a duplicate; and 3) a recoupment or refund amount. This report provides the contractor with the ability to distribute the claim sets requiring research and duplicate determinations among several personnel. Once completed, these reports can be returned to the system operator for data entry. This report is limited to only non-institutional claims. The fields displayed on the report are: Set Number; ICN; HCSR Suffix (S); HCSR Time Stamp; Responsible FI Number; Sponsor Social Security Number; Patient Name, DEERS Dependent Suffix; Provider Tax ID; Provider Sub-ID; Diagnosis; Line Item Number; CPT-4 Code; Line Item Amount Billed; Line Item Allowed Amount; “Dupe? (Y/N)” ; Reason Code (Rsn CD); and Recoup or Refund Amount. The report identifies and prints all of the sets meeting the criteria selected on the report parameter screen. The report groups the claims in ascending set number order.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: all sets, a range of sets, or a single set; only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; a single beginning date of care or a range of beginning care dates; one or all FIs; one, several or all regions within selected FIs.

## REPORT NOTES:

The data used by this report format is line item level data.

NON-INSTITUTIONAL CLAIMS WORKSHEET

08/30/96  
 Status Codes = O  
 Adjusted Sets Only = Yes  
 Set Owner Type = Multi FI  
 Claim Type = NonInstitutional  
 Match Type = E  
 FI Code = 38  
 Region Code = ALL  
 Set Range = >=1,<=10000

Palmetto GBA

Western

| SET # | ICN       | S | TIME   | RE FI | SSAN | PATIENT NAME | DDS | PROVIDER TAX ID | PROV SUB-ID | DIAG | LI | CPT-4 CODE | AMT BILLED CPT-4 CD | AMT ALD CPT-4 CD | DUPE? (Y/N) | RSN CD | RECOUP/ RFND AMT |
|-------|-----------|---|--------|-------|------|--------------|-----|-----------------|-------------|------|----|------------|---------------------|------------------|-------------|--------|------------------|
| 4292  | 199428632 | A | 000000 | 59    |      |              | 20  | A095            | A095        | 7802 | 1  | 93307      | \$200.00            | \$84.83          | ----        | ----   | -----            |
| 4292  | 199428632 | A | 000000 | 59    |      |              | 20  | A095            | A095        | 7802 | 2  | 93320      | \$250.00            | \$53.05          | ----        | ----   | -----            |
| 4292  | 199431832 | A | 000000 | 38    |      |              | 20  | A095            | A095        | 7802 | 1  | 93307      | \$200.00            | \$84.83          | ----        | ----   | -----            |
| 4292  | 199431832 | A | 000000 | 38    |      |              | 20  | A095            | A095        | 7802 | 1  | 93320      | \$250.00            | \$53.05          | ----        | ----   | -----            |
| 4293  | 199428632 | A | 000000 | 59    |      |              | 20  | B001            | B001        | 7802 | 1  | 70470      | \$209.00            | \$140.55         | ----        | ----   | -----            |
| 4293  | 199428632 | A | 000000 | 59    |      |              | 20  | B001            | B001        | 7802 | 2  | 71010      | \$23.00             | \$10.74          | ----        | ----   | -----            |
| 4293  | 199431832 | A | 000000 | 38    |      |              | 20  | B001            | B001        | 7802 | 1  | 70470      | \$209.00            | \$140.55         | ----        | ----   | -----            |
| 4293  | 199431832 | A | 000000 | 38    |      |              | 20  | B001            | B001        | 7802 | 2  | 71010      | \$23.00             | \$10.74          | ----        | ----   | -----            |
| 7669  | 199427841 | A | 000000 | 59    |      |              | 02  | A001            | A001        | 3829 | 1  | 99282      | \$49.55             | \$43.00          | ----        | ----   | -----            |
| 7669  | 199433241 | A | 000000 | 38    |      |              | 02  | A001            | A001        | 3829 | 1  | 99282      | \$49.55             | \$43.00          | ----        | ----   | -----            |
| 7669  | 199502341 | A | 000000 | 38    |      |              | 02  | A001            | A001        | 3829 | 1  | 99282      | \$49.55             | \$43.00          | ----        | ----   | -----            |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979.

## REPORT CATEGORY:

Summary/Management

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **INST BY DUPLICATE TYPE**

## PRINTED REPORT TITLE:

Institutional Summary Report  
Potentials/Actuals/Non-Duplicates  
By Contractor  
(grouped by Region)

## REPORT DESCRIPTION:

This summary/management report shows the total number of institutional potential duplicates, actual duplicates, non-duplicates, and those not yet worked by the contractor for the load date or load date range specified by the user. The report lists the number of claims and the amount paid by match type. The report also shows the number of actual duplicates, non-duplicates, and potential duplicate claims as a percentage of the total number of potential duplicates loaded. For this report, potential duplicates are the universe of all non-base claims. Actual duplicates are those claims with a “Y” dupe flag in pending, validate or closed status. Non-duplicates are those non-base claims with an “N” dupe flag in pending, validate or closed status. Potential duplicates not worked are non-base claims in open status irrespective of any dupe flag value. The dollar totals on the report are for non-base claims only.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; a single load date or a range of load dates; one or all FIs; one, several or all regions within selected FIs.

## REPORT NOTES:

The data used by this report format is claim level data.

It should be noted that the total number of claims and percentages shown on this report may differ from that shown on the “Actual vs. Potential” graph report. Any discrepancy will be due to the fact that this report will count a claim more than once if it appears in two or more sets owned by the same region but which have different match types. The graph, alternatively, will not count a claim more than once if it appears in two or more sets owned by the same region.

08/30/96  
 Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = Institutional  
 Match Type = ALL  
 FI Code = 38  
 Region Code = ALL

INSTITUTIONAL SUMMARY REPORT  
 POTENTIALS/ACTUALS/NON-DUPLICATES  
 BY CONTRACTOR  
 (GROUPED BY REGION)

**FI: 38**

**Undetermined Region**

**Total Number of Potential Dupes:**

| <u>Set Match Type</u> | <u>Number of Claims</u> | <u>Amount Paid<br/>Govt Contr</u> |
|-----------------------|-------------------------|-----------------------------------|
| Date Overlap:         | 0                       | \$0.00                            |
| Exact:                | 1                       | \$1,119.99                        |
| Near:                 | 0                       | \$0.00                            |
| Other:                | 0                       | \$0.00                            |
|                       | 1                       | \$1,119.99                        |

**Total Number of Actual Dupes:**

| <u>Set Match Type</u> | <u>Number of Claims</u> | <u>% of Potential</u> | <u>Amount Paid<br/>Govt Contr</u> |
|-----------------------|-------------------------|-----------------------|-----------------------------------|
| Date Overlap:         | 0                       | 0.0 %                 | \$0.00                            |
| Exact:                | 1                       | 100.0 %               | \$1,119.99                        |
| Near:                 | 0                       | 0.0 %                 | \$0.00                            |
| Other:                | 0                       | 0.0 %                 | \$0.00                            |
|                       | 1                       | 100 %                 | \$1,119.99                        |

**Total Number of Non-Dupes:**

| <u>Set Match Type</u> | <u>Number of Claims</u> | <u>% of Potential</u> | <u>Amount Paid<br/>Govt Contr</u> |
|-----------------------|-------------------------|-----------------------|-----------------------------------|
| Date Overlap:         | 0                       | 0.0 %                 | \$0.00                            |
| Exact:                | 0                       | 0.0 %                 | \$0.00                            |
| Near:                 | 0                       | 0.0 %                 | \$0.00                            |
| Other:                | 0                       | 0.0 %                 | \$0.00                            |
|                       | 0                       | 0 %                   | \$0.00                            |

**Total Number of Potential Not Worked:**

| <u>Set Match Type</u> | <u>Number of Claims</u> | <u>% of Potential</u> | <u>Amount Paid<br/>Govt Contr</u> |
|-----------------------|-------------------------|-----------------------|-----------------------------------|
| Date Overlap:         | 0                       | 0.0 %                 | \$0.00                            |
| Exact:                | 0                       | 0.0 %                 | \$0.00                            |
| Near:                 | 0                       | 0.0 %                 | \$0.00                            |
| Other:                | 0                       | 0.0 %                 | \$0.00                            |
|                       | 0                       | 0 %                   | \$0.00                            |

Note: These are counts of individual non-base claims.  
 These dollar totals do not include base claims.

## REPORT CATEGORY:

Summary/Management

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **NONINST BY  
DUPLICATE TYPE**

## PRINTED REPORT TITLE:

Non-Institutional Summary Report  
Potentials/Actuals/Non-Duplicate  
By Contractor  
(grouped by Region)

## REPORT DESCRIPTION:

This summary/management report shows the total number of non-institutional potential duplicates, actual duplicates, non-duplicates, and those not yet worked by the contractor for the load date or load date range specified by the user. The report lists the number of claims and the allowed amounts paid by match type. The report also shows the number of actual duplicates, non-duplicates, and potential duplicate claims as a percentage of the total number of potential duplicates loaded.

This report differs from the institutional report in significant ways due to the following: 1) non-institutional claims involve line-items where institutional claims do not; and 2) non-institutional line-items on the HCSRs do not carry amounts paid but only allowed amounts. In the Automated TRICARE Duplicate Claims System institutional claims will never appear in more than one set. Non-institutional **claims** may appear in more than one set since the system is identifying potential duplicates at a line-item level and not at a claim level as it does for institutional claims. The system creates non-institutional claim sets based on the dates of service of the line-items in question. By grouping potential duplicate non-institutional line items into sets based on the dates of service of the line items in question, the system avoids identifying two office visits for the same beneficiary occurring on different dates of service as potential duplicates. However, by grouping line items into sets based on dates of service, the possibility exists that a claim containing line items with different dates of service will appear in more than one set (the claim with the line item with one date of service appearing in one set and the same claim with the line item with the other date of service appearing in another).

This report does not count unique claims but rather all non-base claims appearing in sets with a particular match type, i.e., the total number of non-base claims appearing in CPT-4, Exact, Near, and Other match type sets. Since a non-institutional **claim** may appear in more than one set, the counts of the **claims** appearing on this report may be inflated. The dollars shown on this report, however, are not inflated since a line-item will never appear in more than one set. As a result, the dollars appearing on this report are the allowed amounts for the line items appearing in the sets in which their host claim appears. While the host claim may

be counted more than once, the dollar amounts associated with the line items will not be counted more than once.

For this report, potential duplicates are the universe of all non-base claims. Actual duplicates are those claims with a “Y” dupe flag in pending, validate or closed status. Non-duplicates are those non-base claims with an “N” dupe flag in pending, validate or closed status. Potential duplicates not worked are non-base claims in open status irrespective of any dupe flag value. The dollar totals on the report are for non-base claims only.

### REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; a single load date or a range of load dates; one or all FIs; one, several or all regions within selected FIs.

### REPORT NOTES:

The data used by this report format is claim level and line-item data.

It should be noted that the total number of claims and percentages shown on this report may differ from that shown on the “Actual vs. Potential” graph report. Any discrepancy will be due to the fact that this report will count a claim more than once if it appears in two or more sets owned by the same region but which have different match types. The graph, alternatively, will not count a claim more than once if it appears in two or more sets owned by the same region.

08/30/96

Status Codes = ALL  
 Adjusted Sets Only = Yes  
 Set Owner Type = ALL  
 Claim Type = NonInstitutional  
 Match Type = ALL  
 FI Code = 38  
 Region Code = ALL

NON-INSTITUTIONAL SUMMARY REPORT  
 POTENTIALS/ACTUALS/NON-DUPLICATES  
 BY CONTRACTOR  
 (GROUPED BY REGION)

**FI: 38**

**Undetermined Region**

**Total Number of Potential Dupes:**

| <u>Set Match Type</u> | <u>Number of Claims</u> | <u>Amount Allowed<br/>Procedure Code</u> |
|-----------------------|-------------------------|--|
| CPT-4:                | 0                       | \$0.00                                   |
| Exact:                | 0                       | \$0.00                                   |
| Near:                 | 0                       | \$0.00                                   |
| Other:                | 2                       | \$2,640.68                               |
|                       | <u>2</u>                | <u>\$2,640.68</u>                        |

**Total Number of Actual Dupes:**

| <u>Set Match Type</u> | <u>Number of Claims</u> | <u>% of Potential</u> | <u>Amount Allowed<br/>Procedure Code</u> |
|-----------------------|-------------------------|-----------------------|--|
| CPT-4:                | 0                       | 0.0 %                 | \$0.00                                   |
| Exact:                | 0                       | 0.0 %                 | \$0.00                                   |
| Near:                 | 0                       | 0.0 %                 | \$0.00                                   |
| Other:                | 1                       | 50.0 %                | \$1,315.83                               |
|                       | <u>1</u>                | <u>50 %</u>           | <u>\$1,315.83</u>                        |

**Total Number of Non-Dupes:**

| <u>Set Match Type</u> | <u>Number of Claims</u> | <u>% of Potential</u> | <u>Amount Allowed<br/>Procedure Code</u> |
|-----------------------|-------------------------|-----------------------|--|
| CPT-4:                | 0                       | 0.0 %                 | \$0.00                                   |
| Exact:                | 0                       | 0.0 %                 | \$0.00                                   |
| Near:                 | 0                       | 0.0 %                 | \$0.00                                   |
| Other:                | 1                       | 50.0 %                | \$1,324.85                               |
|                       | <u>1</u>                | <u>50 %</u>           | <u>\$1,324.85</u>                        |

**Total Number of Potential Not Worked:**

| <u>Set Match Type</u> | <u>Number of Claims</u> | <u>% of Potential</u> | <u>Amount Allowed<br/>Procedure Code</u> |
|-----------------------|-------------------------|-----------------------|--|
| CPT-4:                | 0                       | 0.0 %                 | \$0.00                                   |
| Exact:                | 0                       | 0.0 %                 | \$0.00                                   |
| Near:                 | 0                       | 0.0 %                 | \$0.00                                   |
| Other:                | 0                       | 0.0 %                 | \$0.00                                   |
|                       | <u>0</u>                | <u>0 %</u>            | <u>\$0.00</u>                            |

Note: These are counts of individual non-base claims.  
 These dollar totals do not include base claims.

**REPORT CATEGORY:**

Summary/Management Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**

REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **SET AGING REPORT**

**PRINTED REPORT TITLE:**

Set Aging Report

**REPORT DESCRIPTION:**

This report provides the total number of sets in Open, Pending, Validate, and Closed Status grouped by region and load date as of the date the report is run. The report also shows the percentage each total represents of the total number of sets counted. The fields displayed on the report are: Load Date; Number and Percentage of Open Sets; Number and Percentage of Pending Sets; Number and Percentage of Validate Sets; Number and Percentage of Closed Sets; and the Total Number of Sets. The report provides sub-totals for each contract region and grand totals for each contractor.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is set level data.

08/30/96

SET AGING REPORT

Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Match Type = ALL  
 FI Code = 38  
 Region Code = ALL

| Load Date                  | Open Sets |            | Pending Sets |               | Validate Sets |               | Closed Sets |            | Total Sets |
|----------------------------|-----------|------------|--------------|---------------|---------------|---------------|-------------|------------|------------|
| <b>Undetermined Region</b> |           |            |              |               |               |               |             |            |            |
| 7/96                       | 0         | 0 %        | 0            | 0 %           | 2             | 100 %         | 0           | 0 %        | 2          |
| 8/89                       | 0         | 0%         | 2            | 40%           | 3             | 60%           | 0           | 0%         | 5          |
| <b>Sub Total</b>           | <b>0</b>  | <b>0 %</b> | <b>2</b>     | <b>28.6 %</b> | <b>5</b>      | <b>71.4 %</b> | <b>0</b>    | <b>0 %</b> | <b>7</b>   |

**Palmetto GBA**

**Mid-Atl.-88D0006**

|                  |            |               |          |              |          |              |          |            |            |
|------------------|------------|---------------|----------|--------------|----------|--------------|----------|------------|------------|
| 3/96             | 122        | 96.8 %        | 4        | 3.2%         | 0        | 0 %          | 0        | 0 %        | 126        |
| 5/96             | 0          | 0 %           | 2        | 50 %         | 2        | 50 %         | 0        | 0 %        | 4          |
| <b>Sub Total</b> | <b>122</b> | <b>93.8 %</b> | <b>6</b> | <b>4.6 %</b> | <b>2</b> | <b>1.5 %</b> | <b>0</b> | <b>0 %</b> | <b>130</b> |

**Palmetto GBA**

**Western**

|                  |             |               |           |              |          |              |           |              |             |
|------------------|-------------|---------------|-----------|--------------|----------|--------------|-----------|--------------|-------------|
| 3/96             | 7832        | 99.6 %        | 9         | 0.1 %        | 6        | 0.1%         | 18        | 0.2 %        | 7865        |
| 5/96             | 0           | 0%            | 4         | 100%         | 0        | 0%           | 0         | 0%           | 4           |
| <b>Sub Total</b> | <b>7832</b> | <b>99.5 %</b> | <b>13</b> | <b>0.2 %</b> | <b>6</b> | <b>0.1 %</b> | <b>18</b> | <b>0.2 %</b> | <b>7869</b> |

**Palmetto GBA**

**Mid-Atl.-94D0001**

|                  |              |               |           |              |           |              |           |              |              |
|------------------|--------------|---------------|-----------|--------------|-----------|--------------|-----------|--------------|--------------|
| 3/96             | 10098        | 99.7 %        | 12        | 0.1 %        | 11        | 0.1 %        | 6         | 0.1 %        | 10127        |
| 5/96             | 4            | 20 %          | 6         | 30 %         | 4         | 20 %         | 6         | 30 %         | 20           |
| <b>Sub Total</b> | <b>10102</b> | <b>99.6 %</b> | <b>18</b> | <b>0.2 %</b> | <b>15</b> | <b>0.1 %</b> | <b>12</b> | <b>0.1 %</b> | <b>10147</b> |

**Palmetto GBA**

**6 State Northern**

|                  |             |               |          |              |          |            |          |            |             |
|------------------|-------------|---------------|----------|--------------|----------|------------|----------|------------|-------------|
| 3/96             | 1633        | 99.9 %        | 2        | 0.1 %        | 0        | 0 %        | 0        | 0 %        | 1635        |
| 5/96             | 0           | 0%            | 2        | 100%         | 0        | 0%         | 0        | 0%         | 2           |
| <b>Sub Total</b> | <b>1633</b> | <b>99.8 %</b> | <b>4</b> | <b>0.2 %</b> | <b>0</b> | <b>0 %</b> | <b>8</b> | <b>0 %</b> | <b>1637</b> |

**REPORT CATEGORY:**

Summary/Management Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**

REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **CLAIM AGING REPORT**

**PRINTED REPORT TITLE:**

Claim Aging Report

**REPORT DESCRIPTION:**

This report provides the total number of non-base claims in Open Status (Not Worked), the total number of actual duplicate claims ('Y' Duplicate Flag Value in Pending, Validate and Closed status) and the total number of non-duplicate claims ('N' Duplicate Flag Value in Pending, Validate and Closed status) as of the date the report is run. The report also provides the total allowed amounts of the non-base claims in Open Status (Not Worked), the total amounts identified for recoupment and actually recouped of the actual duplicate claims, and the total allowed amounts of the non-duplicate claims. The report shows claim counts but for non-institutional claims the allowed amount totals are the sum of the line-item allowed amounts in the system. The report is grouped by load date and region and provides sub-totals by region and grand totals by contractor.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is claim level data.

08/30/96

CLAIM AGING REPORT

Status Codes = ALL  
 Adjusted Sets Only = Yes  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Match Type = E  
 FI Code = 38  
 Region Code = ALL

| Load Date                  | Not Worked |                | Actual Dupes |             |               | Non Dupes |                |
|----------------------------|------------|----------------|--------------|-------------|---------------|-----------|----------------|
|                            | # Claims   | Allowed Amount | # Claims     | ID Recoup   | Actual Recoup | # Claims  | Allowed Amount |
| <b>Undetermined Region</b> |            |                |              |             |               |           |                |
| 3/96                       | 0          | \$0.00         | 1            | \$1.00      | \$0.00        | 0         | \$0.00         |
| Sub Total                  | 0          | \$0.00         | 1            | \$1.00      | \$0.00        | 0         | \$0.00         |
| FI Total                   | 0          | \$0.00         | 1            | \$1.00      | \$0.00        | 0         | \$0.00         |
| <b>Palmetto GBA</b>        |            |                |              |             |               |           |                |
| <b>Mid-Atl.-88D0006</b>    |            |                |              |             |               |           |                |
| 3/96                       | 10         | \$382.20       | 0            | \$0.00      | \$0.00        | 0         | \$0.00         |
| 4/96                       | 0          | \$0.00         | 1            | \$445.91    | \$0.00        | 0         | \$0.00         |
| Sub Total                  | 10         | \$382.20       | 1            | \$445.91    | \$0.00        | 0         | \$0.00         |
| <b>Western</b>             |            |                |              |             |               |           |                |
| 3/96                       | 311        | \$37,773.85    | 7            | \$9,736.56  | \$9,736.56    | 1         | \$43.00        |
| 4/96                       | 8          | \$226.71       | 7            | \$9,736.56  | \$9,736.56    | 1         | \$43.00        |
| 5/96                       | 4          | \$223.88       | 7            | \$9,736.56  | \$9,736.56    | 1         | \$43.00        |
| Sub Total                  | 323        | \$38,224.44    | 21           | \$29,209.68 | \$29,209.68   | 3         | \$129.00       |
| <b>Mid-Atl.-94D0001</b>    |            |                |              |             |               |           |                |
| 3/96                       | 439        | \$158,564.60   | 3            | \$1,219.00  | \$4,369.00    | 0         | \$0.00         |
| 4/96                       | 0          | \$0.00         | 3            | \$1,219.00  | \$4,369.00    | 0         | \$0.00         |
| 5/96                       | 1          | \$2,249.47     | 0            | \$0.00      | \$0.00        | 1         | \$101.88       |
| 6/96                       | 0          | \$0.00         | 0            | \$0.00      | \$0.00        | 1         | \$101.88       |
| Sub Total                  | 440        | \$160,814.07   | 6            | \$2,438.00  | \$8,738.00    | 2         | \$203.76       |
| <b>6 State Northern</b>    |            |                |              |             |               |           |                |
| 3/96                       | 40         | \$31,220.43    | 0            | \$0.00      | \$0.00        | 0         | \$0.00         |
| Sub Total                  | 40         | \$31,220.43    | 0            | \$0.00      | \$0.00        | 0         | \$0.00         |
| FI Total                   | 813        | \$230,641.14   | 28           | \$32,093.59 | \$37,947.68   | 5         | \$332.76       |

**REPORT CATEGORY:**

Summary/Management Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**

REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **REASON CODE REPORT**

**PRINTED REPORT TITLE:**

Reason Code Report by Load Date

**REPORT DESCRIPTION:**

This report provides a list of actual duplicate and non-duplicate reason codes and the total number claims assigned to each code listed. The report counts actual duplicate claims ('Y' Duplicate Flag value) and non-duplicate claims ('N' Duplicate Flag value) in Pending, Validate and Closed sets. The report is grouped by Load Date.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is claim level data.

08/30/96

REASON CODE REPORT BY LOAD DATE

Page 1

Status Codes = P or C or V  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Match Type = ALL  
 FI Code = ALL  
 Region Code = ALL

|             |  | Load Date<br>3/96 |                     |
|-------------|--|-------------------|---------------------|
| Reason Code |  |                   | Number of<br>Claims |
|             |  |                   | 0                   |
| BASE        | Initial submission   |                   | 74                  |
| D100        | Erroneous dupe edit override                                 |                   | 28                  |
| D101        | Adjustment error   |                   | 3                   |
| D102        | Assignment of benefits error                                 |                   | 4                   |
| D200        | System failed to detect and suspend as a potential duplicate |                   | 9                   |
| D201        | Data conversion problem                                      |                   | 5                   |
| D202        | Claims processed on same day/in same batch                   |                   | 4                   |
| D203        | Claims submitted by beneficiary and provider                 |                   | 3                   |
| D204        | Claims show different place of service                       |                   | 5                   |
| D205        | Claims show different type of service                        |                   | 1                   |
| D206        | Claims show different first names                            |                   | 1                   |
| D208        | Paid wrong provider  |                   | 1                   |
| D300        | Jurisdictional error - (Multi-contractor set)                |                   | 7                   |
| D900        | Other  |                   | 2                   |
| N102        | Same procedure(s)/service(s) but different encounters        |                   | 2                   |
| N103        | Same condition but different equipment/supplies              |                   | 1                   |
| N104        | Different psychological tests billed under same procedure    |                   | 2                   |
| N105        | Additional services not previously billed                    |                   | 2                   |
| N106        | Same procedures - Different providers assistant services     |                   | 4                   |
|             |  | 5/96              |                     |

| Reason Code |  |  | Number of<br>Claims |
|-------------|--|--|---------------------|
|             |  |  | 0                   |
| BASE        | Initial submission   |  | 25                  |
| D100        | Erroneous dupe edit override                                 |  | 12                  |
| D101        | Adjustment error   |  | 2                   |
| D102        | Assignment of benefits error                                 |  | 1                   |
| D200        | System failed to detect and suspend as a potential duplicate |  | 6                   |
| D204        | Claims show different place of service                       |  | 2                   |
| D300        | Jurisdictional error - (Multi-contractor set)                |  | 1                   |
| N100        | Twins  |  | 1                   |

**REPORT CATEGORY:**

Summary/Management Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **MODIFY FI****PRINTED REPORT TITLE:**

Changed Owner FI Sets

**REPORT DESCRIPTION:**

This report identifies multi-contractor sets which have had their ownership changed through the use of the “Modify FI” function on the system menu bar. It does not show multi-contractor sets which have had their ownership changed by the mass change process. The fields displayed on the report are: Set Number; Contractor; Changed Date; and User. The report shows each instance ownership of a multi-contractor set was changed; the name of the new owner contractor, the date ownership was changed, and the application User ID of the user who made the change. The first record listed for each set on the report shows the User as ‘INITLOAD.’ This means that the set was initially loaded by the system.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single processed-to-completion date or a range of processed-to-completion dates; a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is set level data.

Single-line entries with “INITLOAD” as the user may appear on this report. These single-line entries will appear for sets where a user has begun the process of changing (modifying) ownership of the multi-contractor set, enters the reason for making the change, presses the UPDATE CHANGES button, but decides to “rollback” the changes, i.e., does not complete changing the set’s ownership. Such sets will be listed on this report as a single-line entry with “INITLOAD” as the user.

08/30/96

CHANGED OWNER FI SETS

Page 1

Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = Multi FI  
 Claim Type = ALL  
 Match Type = ALL  
 FI Code = ALL  
 Region Code = ALL

| Set Number | Contractor                          | Changed Date | User     |
|------------|-------------------------------------|--------------|----------|
| 63         | Aetna Government Health Plans, Inc. | 03/12/1996   | INITLOAD |
| 63         | Foundation Health Federal Services  | 03/13/1996   | dbo      |
| 63         | Aetna Government Health Plans, Inc. | 03/25/1996   | fosters  |
| 63         | Foundation Health Federal Services  | 03/27/1996   | dbo      |
| 63         | Aetna Government Health Plans, Inc. | 03/27/1996   | dbo      |
| 100        | Aetna Government Health Plans, Inc. | 03/12/1996   | INITLOAD |
| 100        | Foundation Health Federal Services  | 03/13/1996   | dbo      |
| 100        | Aetna Government Health Plans, Inc. | 03/28/1996   | dbo      |
| 100        | Foundation Health Federal Services  | 05/02/1996   | dbo      |
| 100        | Aetna Government Health Plans, Inc. | 05/13/1996   | dbo      |
| 100        | Foundation Health Federal Services  | 05/13/1996   | dbo      |
| 100        | Aetna Government Health Plans, Inc. | 06/27/1996   | REP      |
| 120        | Foundation Health Federal Services  | 03/12/1996   | INITLOAD |
| 120        | Aetna Government Health Plans, Inc. | 03/25/1996   | fosters  |
| 120        | Foundation Health Federal Services  | 03/28/1996   | dbo      |
| 173        | AdminaStar Defense Services         | 03/12/1996   | INITLOAD |
| 173        | Foundation Health Federal Services  | 07/08/1996   | REP      |
| 268        | Palmetto GBA                        | 03/12/1996   | INITLOAD |
| 268        | Wisconsin Physicians Services       | 05/22/1996   | fosters  |
| 275        | Aetna Government Health Plans, Inc. | 03/12/1996   | INITLOAD |
| 275        | Foundation Health Federal Services  | 03/13/1996   | dbo      |
| 275        | Aetna Government Health Plans, Inc. | 07/01/1996   | FOS      |
| 282        | Palmetto GBA                        | 03/12/1996   | INITLOAD |
| 282        | Aetna Government Health Plans, Inc. | 05/31/1996   | BCSC     |
| 282        | Palmetto GBA                        | 07/01/1996   | FOS      |
| 291        | Aetna Government Health Plans, Inc. | 03/12/1996   | INITLOAD |
| 353        | Aetna Government Health Plans, Inc. | 03/12/1996   | INITLOAD |
| 382        | Aetna Government Health Plans, Inc. | 03/12/1996   | INITLOAD |
| 394        | AdminaStar Defense Services         | 03/12/1996   | INITLOAD |

**REPORT CATEGORY:**

Summary/Management Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**

REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **SET STATUS COUNT HISTORY**

**PRINTED REPORT TITLE:**

Set Status Count History

**REPORT DESCRIPTION:**

This report provides a count and percentage of sets within each status as of a date or range of dates grouped by contract.

**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: a single “as of” date or a range of “as of” dates; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is set level data.

08/30/96

SET STATUS COUNT HISTORY

Page 1

Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Match Type = ALL  
 FI Code = 38  
 Region Code = ALL  
 As of = >=8/22/96,<=8/30/96

FI: 38

Undetermined Region

| ON         | OPEN SETS | %   | PENDING SETS | %      | VALIDATE SETS | %      | CLOSED SETS | %   | TOTAL | %     |
|------------|-----------|-----|--------------|--------|---------------|--------|-------------|-----|-------|-------|
| 08/22/1996 | 0         | 0 % | 0            | 0 %    | 1             | 100 %  | 0           | 0 % | 1     | 100 % |
| 08/23/1996 | 0         | 0 % | 0            | 0 %    | 1             | 100 %  | 0           | 0 % | 1     | 100 % |
| 08/24/1996 | 0         | 0 % | 0            | 0 %    | 1             | 100 %  | 0           | 0 % | 1     | 100 % |
| 08/25/1996 | 0         | 0 % | 0            | 0 %    | 1             | 100 %  | 0           | 0 % | 1     | 100 % |
| 08/26/1996 | 0         | 0 % | 0            | 0 %    | 1             | 100 %  | 0           | 0 % | 1     | 100 % |
| 08/27/1996 | 0         | 0 % | 0            | 0 %    | 1             | 100 %  | 0           | 0 % | 1     | 100 % |
| 08/28/1996 | 0         | 0 % | 1            | 50 %   | 1             | 50 %   | 0           | 0 % | 2     | 100 % |
| 08/29/1996 | 0         | 0 % | 1            | 33.3 % | 2             | 66.7 % | 0           | 0 % | 3     | 100 % |

Mid-Atl.-88D0006

| ON         | OPEN SETS | %      | PENDING SETS | %     | VALIDATE SETS | %     | CLOSED SETS | %   | TOTAL | %     |
|------------|-----------|--------|--------------|-------|---------------|-------|-------------|-----|-------|-------|
| 08/22/1996 | 60        | 93.8 % | 3            | 4.7 % | 1             | 1.6 % | 0           | 0 % | 64    | 100 % |
| 08/23/1996 | 60        | 93.8 % | 3            | 4.7 % | 1             | 1.6 % | 0           | 0 % | 64    | 100 % |
| 08/24/1996 | 60        | 93.8 % | 3            | 4.7 % | 1             | 1.6 % | 0           | 0 % | 64    | 100 % |
| 08/25/1996 | 60        | 93.8 % | 3            | 4.7 % | 1             | 1.6 % | 0           | 0 % | 64    | 100 % |
| 08/26/1996 | 60        | 93.8 % | 3            | 4.7 % | 1             | 1.6 % | 0           | 0 % | 64    | 100 % |
| 08/27/1996 | 60        | 93.8 % | 3            | 4.7 % | 1             | 1.6 % | 0           | 0 % | 64    | 100 % |
| 08/28/1996 | 60        | 93.8 % | 3            | 4.7 % | 1             | 1.6 % | 0           | 0 % | 64    | 100 % |
| 08/29/1996 | 60        | 93.8 % | 3            | 4.7 % | 1             | 1.6 % | 0           | 0 % | 64    | 100 % |

Western

| ON         | OPEN SETS | %      | PENDING SETS | %     | VALIDATE SETS | %     | CLOSED SETS | %     | TOTAL | %     |
|------------|-----------|--------|--------------|-------|---------------|-------|-------------|-------|-------|-------|
| 08/22/1996 | 3786      | 99.5 % | 6            | 0.2 % | 3             | 0.1 % | 9           | 0.2 % | 3804  | 100 % |
| 08/23/1996 | 3786      | 99.5 % | 6            | 0.2 % | 3             | 0.1 % | 9           | 0.2 % | 3804  | 100 % |
| 08/24/1996 | 3786      | 99.5 % | 6            | 0.2 % | 3             | 0.1 % | 9           | 0.2 % | 3804  | 100 % |
| 08/25/1996 | 3786      | 99.5 % | 6            | 0.2 % | 3             | 0.1 % | 9           | 0.2 % | 3804  | 100 % |
| 08/26/1996 | 3786      | 99.5 % | 6            | 0.2 % | 3             | 0.1 % | 9           | 0.2 % | 3804  | 100 % |
| 08/27/1996 | 3786      | 99.5 % | 6            | 0.2 % | 3             | 0.1 % | 9           | 0.2 % | 3804  | 100 % |
| 08/28/1996 | 3786      | 99.5 % | 6            | 0.2 % | 3             | 0.1 % | 9           | 0.2 % | 3804  | 100 % |
| 08/29/1996 | 3786      | 99.5 % | 6            | 0.2 % | 3             | 0.1 % | 9           | 0.2 % | 3804  | 100 % |

Mid-Atl.-94D0001

| ON         | OPEN SETS | %      | PENDING SETS | %     | VALIDATE SETS | %     | CLOSED SETS | %     | TOTAL | %     |
|------------|-----------|--------|--------------|-------|---------------|-------|-------------|-------|-------|-------|
| 08/22/1996 | 4928      | 99.6 % | 9            | 0.2 % | 7             | 0.1 % | 6           | 0.1 % | 4950  | 100 % |
| 08/23/1996 | 4928      | 99.6 % | 9            | 0.2 % | 7             | 0.1 % | 6           | 0.1 % | 4950  | 100 % |
| 08/24/1996 | 4928      | 99.6 % | 9            | 0.2 % | 7             | 0.1 % | 6           | 0.1 % | 4950  | 100 % |
| 08/25/1996 | 4928      | 99.6 % | 9            | 0.2 % | 7             | 0.1 % | 6           | 0.1 % | 4950  | 100 % |
| 08/26/1996 | 4928      | 99.6 % | 9            | 0.2 % | 7             | 0.1 % | 6           | 0.1 % | 4950  | 100 % |
| 08/27/1996 | 4928      | 99.6 % | 9            | 0.2 % | 7             | 0.1 % | 6           | 0.1 % | 4950  | 100 % |

**REPORT CATEGORY:**

Summary/Management Report

**REPORT MENU HEIRARCHY AND FORMAT NAME:**REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **PERFORMANCE STANDARD****PRINTED REPORT TITLE:**

Performance Standard Report

**REPORT DESCRIPTION:**

This report will be used to measure contractor compliance with the performance standard. The performance standard requires that no more than 10 percent of the sets remaining in OPEN status at the end of a month shall have load dates over 30 days old. The report shows the Reporting Month; Beginning Inventory; Receipts; Monthly Inventory; the total number of sets "Moved" during the reporting month; the total number of sets Moved within 30 days of set load dates; Ending Inventory; the total number of sets in Ending Inventory Over 30 Days old; the Percent Moved Within 30 Days; and the Percent Remaining Over 30 Days. The data is grouped by contract.

**FIELD DEFINITIONS:**

| FIELD NAME                     | DEFINITION   |
|--------------------------------|--|
| Reporting Month:               | The month and year for which the statistics are applicable.  |
| Beginning Inventory:           | The total number of sets in OPEN status at the beginning of the reporting month.                                   |
| Receipts:                      | The total number of new sets loaded or the number of sets which changed to OPEN status during the reporting month. |
| Monthly Inventory:             | The sum of the Beginning Inventory and Receipts.   |
| Moved:                         | The total number of sets moved for OPEN status to PENDING, VALIDATE, or CLOSED status during the reporting month.  |
| Moved Within 30 Days:          | Of those sets moved during the reporting month, the number moved within 30 days of their load date.                |
| Ending Inventory:              | The Monthly Inventory minus the number Moved. The result is the total number of remaining sets in OPEN status.     |
| Ending Inventory Over 30 Days: | The total number of claim sets remaining in OPEN status with load dates over 30 days old.                          |
| % Moved In 30 Days:            | Of those sets moved, the percentage moved within 30 days of their load date.                                       |

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| FIELD NAME                | DEFINITION  |
|---------------------------|---|
| % Remaining Over 30 Days: | The percentage of claim sets remaining in OPEN status with load dates over 30 days old. |

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**REPORT PARAMETER OPTIONS:**

Users may customize the report by selecting: a single reporting month or a range of reporting months; one or all FIs; one, several or all regions within selected FIs.

**REPORT NOTES:**

The data used by this report format is set level data.

**PERFORMANCE STANDARD  
(REFER TO REPORT DESCRIPTION IN ADP MANUAL FOR FIELD DEFINITIONS)  
FOR PERIOD FROM 07/96 TO 09/96**

09/06/96  
Status Codes = 0  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Match Type = ALL  
FI Code = 38  
Region Code = ALL

**Palmetto GBA**

**Undetermined Region**

| Reporting Month | Beginning Inventory | Receipts | Monthly Inventory | Moved | Moved within 30 Days | Ending Inventory | Ending Inventory Over 30 Days | % Moved in 30 Days | % Remaining Over 30 Days |
|-----------------|---------------------|----------|-------------------|-------|----------------------|------------------|-------------------------------|--------------------|--------------------------|
| 07/1996         | 0                   | 1        | 1                 | 1     | 0                    | 0                | 0                             | 0.0 %              | 0.0 %                    |
| 08/1996         | 0                   | 3        | 3                 | 3     | 3                    | 0                | 0                             | 100.0 %            | 0.0 %                    |
| 09/1996         | 0                   | 0        | 0                 | 0     | 0                    | 0                | 0                             | 0.0 %              | 0.0 %                    |

**Mid-Atl. - 88D0006**

| Reporting Month | Beginning Inventory | Receipts | Monthly Inventory | Moved | Moved within 30 Days | Ending Inventory | Ending Inventory Over 30 Days | % Moved in 30 Days | % Remaining Over 30 Days |
|-----------------|---------------------|----------|-------------------|-------|----------------------|------------------|-------------------------------|--------------------|--------------------------|
| 07/1996         | 63                  | 0        | 63                | 0     | 0                    | 63               | 63                            | 0.0 %              | 100.0 %                  |
| 08/1996         | 63                  | 0        | 63                | 3     | 0                    | 60               | 60                            | 0.0 %              | 100.0 %                  |
| 09/1996         | 60                  | 0        | 60                | 0     | 0                    | 60               | 60                            | 0.0 %              | 100.0 %                  |

**Western**

| Reporting Month | Beginning Inventory | Receipts | Monthly Inventory | Moved | Moved within 30 Days | Ending Inventory | Ending Inventory Over 30 Days | % Moved in 30 Days | % Remaining Over 30 Days |
|-----------------|---------------------|----------|-------------------|-------|----------------------|------------------|-------------------------------|--------------------|--------------------------|
| 07/1996         | 3798                | 4        | 3802              | 5     | 0                    | 3797             | 3793                          | 0.0 %              | 99.9 %                   |
| 08/1996         | 3797                | 3        | 3800              | 16    | 0                    | 3784             | 3781                          | 0.0 %              | 99.9 %                   |
| 09/1996         | 3784                | 0        | 3784              | 0     | 0                    | 3784             | 3784                          | 0.0 %              | 100.0 %                  |

**Mid-Atl. - 94D0001**

| Reporting Month | Beginning Inventory | Receipts | Monthly Inventory | Moved | Moved within 30 Days | Ending Inventory | Ending Inventory Over 30 Days | % Moved in 30 Days | % Remaining Over 30 Days |
|-----------------|---------------------|----------|-------------------|-------|----------------------|------------------|-------------------------------|--------------------|--------------------------|
| 07/1996         | 4941                | 1        | 4942              | 3     | 0                    | 4939             | 4938                          | 0.0 %              | 100.0 %                  |
| 08/1996         | 4939                | 1        | 4940              | 12    | 0                    | 4928             | 4927                          | 0.0 %              | 100.0 %                  |
| 09/1996         | 4928                | 0        | 4928              | 0     | 0                    | 4928             | 4928                          | 0.0 %              | 100.0 %                  |

NOTICE: All data appearing in this system is subject to the privacy act of 1974 and Public Law 93-979

## REPORT CATEGORY:

Summary/Management Report

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **DOLLAR TOTALS**

## PRINTED REPORT TITLE:

Dollar Summary Report

## REPORT DESCRIPTION:

This report summarizes the total dollars identified for recoupment and actually recouped, as well as the total allowed amount of the applicable adjustments by Regional contract. The report reflects the total dollars on the system at the time the report is run. The fields displayed on the report are: Owner FI; Region; Total Amount Identified For Recoupment; Total Amount Actually Recouped; and the Total Allowed Amount of the Associated Adjustments. The totals reflect only those sets in Pending, Validate, or Closed status.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: all sets, a range of sets, or a single set; only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

## REPORT NOTES:

The data used by this report format is set level data.

**08/30/96**  
 Status Codes = P or C or V  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Match Type = ALL  
 FI Code = 38  
 Region Code = ALL  
 Set Range = >=1, <=12000

**DOLLAR TOTALS**

**Palmetto GBA**

|                            | <b>Total Amount<br/>Identified for<br/>Recoupment</b> | <b>Total Amount<br/>Actually<br/>Recouped</b> | <b>Total Allowed<br/>Amount of the<br/>Associated Adjustments</b> |
|----------------------------|---|---|---|
| <b>Undetermined Region</b> | \$552.03  | \$41.03                                       | \$1,208.22  |
| <b>Mid-Atl. - 88D0006</b>  | \$1,370.49  | \$568.00                                      | \$2,244.12  |
| <b>Western</b>             | \$14,356.27   | \$1,996.31                                    | \$11,256.92   |
| <b>Mid-Atl. - 94D0001</b>  | \$17,552.63   | \$13,333.02                                   | \$59,366.12   |
| <b>6 State Northern</b>    | \$576.13  | \$500.00                                      | \$0.00  |
| <b>Totals</b>              | \$34,407.55   | \$16,438.36                                   | \$74,075.38   |

## REPORT CATEGORY:

Summary/Management Report

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ SUMMARY/MANAGEMENT REPORTS ⇒ **LOAD DATE REPORT**

## PRINTED REPORT TITLE:

Load Date Report

## REPORT DESCRIPTION:

This report provides a listing of set load dates grouped by contract.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: status (All, Open, Pending, Closed, Validate); only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

## REPORT NOTES:

The data used by this report format is set level data.

Status Codes = ALL  
 Adjusted Sets Only = No  
 Set Owner Type = ALL  
 Claim Type = ALL  
 Match Type = ALL  
 FI Code = ALL  
 Region Code = ALL

**LOAD DATE REPORT****Page 1****Foundation Health Federal Services**

| Region 6 | Load Date  | Number of Sets |
|----------|------------|----------------|
|          | 03/21/1996 | 2              |
|          | 07/16/1996 | 1              |

**Foundation Health Federal Services**

| Region 11 | Load Date  | Number of Sets |
|-----------|------------|----------------|
|           | 03/12/1996 | 289            |
|           | 03/15/1996 | 211            |
|           | 03/20/1996 | 188            |
|           | 03/21/1996 | 390            |
|           | 05/21/1996 | 1              |

**Unisys HIMSC**

| Undetermined Region | Load Date  | Number of Sets |
|---------------------|------------|----------------|
|                     | 05/31/1996 | 1              |

| Northern-88D0004 | Load Date  | Number of Sets |
|------------------|------------|----------------|
|                  | 03/12/1996 | 1              |

| Northern-93D0004 | Load Date  | Number of Sets |
|------------------|------------|----------------|
|                  | 03/12/1996 | 587            |
|                  | 03/15/1996 | 379            |
|                  | 03/20/1996 | 332            |
|                  | 03/21/1996 | 416            |
|                  | 05/19/1996 | 1              |
|                  | 05/23/1996 | 1              |

**Palmetto GBA**

| Undetermined Region | Load Date  | Number of Sets |
|---------------------|------------|----------------|
|                     | 07/01/1996 | 1              |
|                     | 08/28/1996 | 1              |
|                     | 08/29/1996 | 1              |

## REPORT CATEGORY:

Graphs

## REPORT MENU HEIRARCHY AND FORMAT NAME:

REPORT ⇒ GRAPHS ⇒ **ACTUAL VS. POTENTIAL**

## PRINTED REPORT TITLE:

Total Actual Duplicates as a Percentage of Total Potential Duplicates

## REPORT DESCRIPTION:

This report provides the user with a graph which shows the total number of distinct actual duplicate claims ('Y' Duplicate Flag values in Pending, Validate, or Closed status) as a percentage of the total number of distinct potential duplicates in the system (all non-base claims). The data displayed is grouped by region.

## REPORT PARAMETER OPTIONS:

Users may customize the report by selecting: only sets which have adjustments associated with them; multi-FI sets, single FI sets, or both; institutional sets, non-institutional sets, or both; match type (All, Exact, Near, Date Overlap, CPT-4, Other); a single load date or a range of load dates; a single last update date or a range of last update dates; one or all FIs; one, several or all regions within selected FIs.

## REPORT NOTES:

The data used by this report format is set level data.

If a claim appears in more than on set and the sets are owned by different regions, the claim will be counted once for each region.

TOTAL ACTUAL DUPLICATES AS A PERCENTAGE OF TOTAL POTENTIAL DUPLICATES

08/30/96  
Status Codes = ALL  
Adjusted Sets Only = No  
Set Owner Type = ALL  
Claim Type = ALL  
Duplicate Type = ALL  
FI Code = 38  
Region Code = ALL



